



**GOVERNMENT OF INDIA
MINISTRY OF ROAD TRANSPORT & HIGHWAYS
NATIONAL HIGHWAYS AUTHORITY OF INDIA**

**REQUEST FOR PROPOSAL
(RFP)**

CONSULTANCY SERVICES FOR AUTHORITY'S ENGINEER FOR SUPERVISION OF UPGRADATION TO TWO LANE WITH PAVED SHOULDERS OF BELAKERI PORT TO KUMTA -SIRSI ROAD FROM KM. 0.000 TO KM. 4.265 OF BELEKERI PORT LINK ROAD AND FROM KM. 4.965 TO KM. 60.000 OF SH-69 (EXCLUDING STRETCH OF NH-66) IN KARNATAKA STATE ON EPC MODE (PACKAGE - I) UNDER BHARATMALA PARIYOJANA PHASE I.

**Plot No. G-5 & 6, Sector - 10, Dwarka
New Delhi - 110 075.**

April, 2018

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REQUEST FOR PROPOSAL (RFP)**SECTION 1: INFORMATION TO CONSULTANTS**

Sub.: Consultancy services for Authority's Engineer for Supervision of Upgradation to two lane with paved shoulders of Belakeri Port to Kumta -Sirsi road from Km. 0.000 to Km. 4.265 of Belekeri Port link road and from Km. 4.965 to Km. 60.000 of SH-69 (excluding Stretch of NH-66) in Karnataka State on EPC Mode (Package - I) under Bharatmala Pariyojana Phase I.

GENERAL:-

1. The National Highways Authority of India(NHAI) (the 'Employer') invites proposals from eligible consultant for engaging Authority's Engineer (AE) on the basis of International Competitive Bidding for the following contract package in the State of Karnataka.

TABLE 1: DETAILS OF PROJECT

S No	Consultancy Package	NH No.	State	Project Stretch	Project Length (Km) / Project Cost (Cr.)	Assignment period (months)
1	Consultancy services for Authority's Engineer for Supervision of Upgradation to two lane with paved shoulders of Belakeri Port to Kumta -Sirsi road from Km. 0.000 to Km. 4.265 of Belekeri Port link road and from Km. 4.965 to Km. 60.000 of SH-69 (excluding Stretch of NH-66) in Karnataka State on EPC Mode (Package - I) under Bharatmala Pariyojana Phase I.		Karnataka	Belakeri Port to Kumta -Sirsi road from Km. 0.000 to Km. 4.265 of Belekeri Port link road and from Km. 4.965 to Km. 60.000 of SH-69 (excluding Stretch of NH-66)	58.92 Km. / 370.40 Crore	24 months (Construction period) + 48 months (Maintenance Period)

The RFP shall be received through "INFRACON" (www.infracon.nic.in) and will be evaluated based on details furnished on "INFRACON". As such before submitting the proposal, the Consultant (the firm and all key personnel) shall mandatorily register and enlist themselves, on the MoRTH portal "INFRACON" and upload all relevant information to enable correct evaluation of RFP.

All the bidders registered on "INFRACON" shall form a Team on "INFRACON" which would be assigned unique INFRACON Team ID. Bidders while submitting the RFP proposal shall furnish registration details including INFRACON Team ID. A copy of INFRACON Operation Procedure is enclosed for bidder's reference.

2. Selection of AE shall be as per selection procedures given in the Model Agreement for Engineering Procurement and Construction. The selected AE shall be intimated to the Contractor.
3. The proposal shall be submitted in English Language and all correspondence would be in the same language.
4. National Highway Authority of India (Name of Employer) *intends to appoint a Consultant to act as Authority's Engineer for implementation of this EPC project.* As per the Terms and Conditions of the EPC Agreement (s), the Authority's Engineer shall perform all the duties as per TOR given in this RFP alongwith any amendment thereof. The selection of Authority's Engineer shall follow the laid down procedures given in the Contract Agreement signed between Employer and Contractor.
5. The interested consultancy firms may download the RFP document from the official website of the Employer w.e.f. 09.04.2018 to 23.05.2018 upto 1100 hrs. The Consultant who download the RFP document from the website will be required to pay the non- refundable fee of Rs. 5,000/- at the time of the submission of the Bid proposal, through e-payment gateway. The RFP will be invited through e-tendering portal. Refer Procedure under e-tendering for submission of RFP through e-tendering.
6. Unqualified bidders would be informed regarding their non qualification, without any explanation.
7. The proposal should be submitted by consultancy firms in two parts. The two parts of the proposal are **Part 1: Technical Proposal** and **Part 2: Financial Proposal**. For a given EPC Project, Stage -1 of the Evaluation shall consider the evaluation of the Technical Proposal (i.e. Part 1). The firms scoring the qualifying marks (minimum 75%) as mentioned in RFP shall only be considered for further evaluation. Under stage 2, the financial proposal of such firms as selected above shall be opened and evaluated. Proposals will finally be ranked according to their combined technical and financial scores as specified in clause 5 of section 2.
8. The total time period for the assignment as Authority's Engineer will be for Construction Period of 24 months + Maintenance Period of 48 Months with reduced man power.
9. Consultants may apply either as a sole firm or forming Joint Venture with other consultants. In case of Joint Venture, the maximum number of Joint Venture partners is limited to 2 (i.e. one lead + 1 JV partners). Formulation of more than one JV/association with different partners for the same work is not allowed and all such proposal involving the firms shall be treated as non-responsive. If the consultant submits bids as sole applicant and also in JV/Association with another consultant, both bids shall be summarily rejected. No consultant shall submit more than one bid.

10. (A) The Applicant whether a sole applicant or lead member with joint venture may include any number of Associate to provide technology in assignment (refer para 10 (iii) of data sheet). The associate firm can provide equipment based road inspection services for any of the 4 equipment viz., (i) Network Survey Vehicle including all modules required as per technical specifications, (ii) Falling Weight Deflectometer, (iii) Mobile Bridge Inspection Unit and (iv) Retro Reflectometer. However, the associate(s) cannot be common for 2 or more bidders. If any associate is common with 2 or more bidders, all those bids shall be declared non-responsive. Hence, the bidder may ensure on his own that the associate proposed by him is not proposed by any other bidder participating in the same assignment and the bidder is solely responsible in this regard.

(B) In addition, the applicant whether a sole applicant or lead member with joint venture may also include an Associate for providing key personnel. In such case, the applicant should submit an MOU with associate regarding role and responsibility of Associate Company. However the maximum no. of key personnel from Associate firm during RFP proposal and implementation of contract should be limited to two (2).

11. Consulting firms meeting the following criteria are only eligible for applying for this assignment. Firms not meeting these criteria, need not apply.

* The construction period to be indicated in the RFP by concerned Technical Division inviting the RFP.

A). Eligibility criteria for sole applicant firm.

S.No.	Experience of the firm in last 7 years		Annual Turnover***
	Preparation of DPR (NH/SH/Equivalent)	Project Supervision/IC (NH/SH/Equivalent)	
1 (a).	The firm should have minimum experience of preparation of detailed Project Report/ Feasibility Study cum Preliminary Design Report of 2/4/6** -laning of aggregate length equal to 118 Km. or more of similar category for which RFP is invited.	The firm should have minimum experience of Project Supervision/Independent Engineer/ Authority's Engineer of 2/4/6** -laning of aggregate length equal to 177 Km. or more of similar category** for which RFP is invited.	Annual turnover (updated average of last 3 years) of the firm from consultancy business should be equal to or more than ₹ 7.41 Crore.
1 (b)		Firm should also have experience of Project Supervision/Independent Engineer/ Authority' Engineer of at least one	

		project of similar category of two/four/six** laning of length equal to 23.50 Km.	
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**Similar project means 2/4/6 lane as applicable for the project for which RFP is invited. For 2-lane projects experience of 4/6 lane also to be considered with a multiplication factor of 1.5. Experience of 4/6 lane shall be considered interchangeably for 4/6 laning projects. For 4/6 laning projects, experience of 2 lane will be considered with a multiplication factor of 0.4, but only for those 2 lane projects whose cost of consultancy services was more than Rs.3.0 crores.

- B) Eligibility Criteria for partners in case of JV (not more than 1 JV partners shall be allowed) shall be as under:

The lead partner must fulfill atleast 50% of requirements at 1(a) of table in para (A) above and other JV partner should fulfill atleast 30% of eligibility criteria as indicated at 1(a) of table in para (A) above. Also the lead partner and JV partner jointly should meet the eligibility criteria as mentioned at 1(a) of table in para (A) above. Lead partner should meet the criteria 1 (b) of table in para (A) above.

Note: The weightage given for experience of a firm would depend on the role of the firm in the respective assignments. The firm's experience would get full credit if it was the sole firm in the respective assignment. If the applicant firm has completed projects as JV with some other firms, weightage shall be given as per the JV share***. However if the applicant firm has executed the project as associate with some other firms, 25% weightage shall be given to the applicant firm for the projects completed under such association.

*** For weightage of experience in any past Consultancy assignment, experience certificate from the client shall be submitted. In absence of clear demarcation of JV share in client certificate, the weightage will be treated as 60 % for lead partner and 40% for minor partner. Annual turnover duly certified by Chartered Accountant shall be accepted. In case of non-availability of such documents no weightage of turnover/experience will be considered.

12. Following enhancement factor will be used for the cost of services provided and for the turnover from consultancy business to a common base value for works completed in India:

Year of completion of services / turnover	Enhancement factor
Financial year in which RFP invited	1.00
One year prior to RFP	1.10
Two year prior to RFP	1.21
Three year prior to RFP	1.33

Applicant should indicate actual figures of costs and amount for the works executed by them without accounting for the above mentioned factors.

In case the financial figures and values of services provided are in foreign currency, the above enhancement factors will not be applied. Instead, current market exchange rate

(State Bank of India BC Selling rate as on last date of submission of the bid) will be applied for the purpose of conversion of amount in foreign currency into Indian Rupees.

13. The Bidder including individual or any of its Joint Venture Member should, in the last 2 years, have neither failed to perform for the consultancy services pertaining to Expressways, National Highways, ISC (Inter State Connectivity) & EI (Economic Importance) works, as evidenced by imposition of a penalty by an arbitral or judicial authority or a judicial pronouncement or arbitration award against the Bidder including individual or any of its Joint Venture Member, as the case may be, nor has been expelled or terminated by Ministry of Road Transport & Highways or its implementing agencies for breach by such Bidder including individual or any of its Joint Venture Member. Consultants (sole firm or lead firm and any of the JV partners) who do not fulfil the aforesaid condition as on last date of submission of proposal, need not apply as their RFP proposal will not be entertained.
14. Employer will not be responsible for any delay, loss or non-receipt of RFP document sent by post/courier. Further, Employer shall not be responsible for any delay in receiving the proposal and reserves the right to accept/reject any or all applications without assigning any reason thereof.
15. The two parts of the Proposal (Technical proposal and Financial proposal) must be submitted on-line with all pages numbered serially, along with an index of submission as per procedure under e-tendering. The technical proposal is also required to be submitted in a hard bound form exactly as per submission made online with all pages numbered serially alongwith an index of submission. (Hard bound implies binding between two covers through stitching or otherwise whereby it may not be possible to replace any paper without disturbing the documents). Spiral bound form, loose form, etc. will be not accepted. The Financial proposal is to be submitted online only. Submission in any other form shall not be acceptable. In the event, any of the instructions mentioned herein have not been adhered to, the Employer may reject the Proposal.
16. Employer will be at liberty to keep the credentials submitted by the Consultants at bidding stage, in public domain and the same may be uploaded by Employer on Employer's web-site. Consultants should have no objection if Employer uploads the information pertaining to their credentials as well as of their key personnel.
17. The individual key personnel proposed in the bid by the consultants or any replacement thereof should undertake that they shall have no objection in uploading/hoisting of their credentials by Employer in public domain.
18. RFP online submission must be received not later than 1100 hrs on 23.05.2018 and physical submission must be received not later than 1100 Hrs on 24.05.2018 in the manner specified in the RFP document at the address given below.

Address of Employer:

Dr. A. K. Sharma, General Manager (PC)

N.H.A.I Dwarka Sec. 10 New Delhi - 75

Email Address:- aksharma@nhai.org;

Telephone No. 011-25074100/200; (Extn. 1315)

SECTION 2. LETTER OF INVITATION TO CONSULTANTS

1 INTRODUCTION

- 1.1 Bids are invited from consulting firms either as a sole firm/ joint venture with other consultant willing to act as AE to submit a proposal for providing consulting services required for the assignment named in the attached Letter of Invitation.
- 1.2 A brief description of the assignment and its objectives are given in the Terms of Reference (TOR).
- 1.3 The assignment shall be implemented in Construction Period (24 Months) and Maintenance Period (48 Months).
- 1.4 This RFP is neither an agreement nor an offer by the Authority to the prospective Applicants or any other person. The purpose of this RFP is to provide interested parties with information that may be useful to them in the formulation of their Proposals pursuant to this RFP. This RFP includes statements and assumptions, which reflect various assessments arrived at by the Authority in relation to the Consultancy. Such assessments and statements do not purport to contain all the information that each Applicant may require. The information contained in this RFP, may not be complete, accurate, adequate or correct. Each Applicant should, therefore, conduct its own investigations about the assignment and the local conditions before submitting the proposal by paying a visit to the Client and the project site, sending written queries to the client, before the date and time specified in the Data Sheet.
- 1.5 Please note that (i) the costs of preparing the proposal and negotiating for the contract, including a visit to site, are not reimbursable as a direct cost of assignment and (ii) Employer is not bound to accept any of the proposals received by it and reserves the right to annul the selection process at any time prior to contract award, without thereby incurring any liability to the Consultants.
- 1.6 Deleted
- 1.7 Deleted
- 1.8 Consultant have an obligation to disclose any situation of actual or potential conflict that impacts their capacity to serve the best interest of their Client, or than may reasonably be perceived as having this effect. Failure to disclose said situations may lead to the disqualification of the Consultant or the termination of its Contract and/or any other action as deemed fit by the Authority at any stage.
- 1.9 It is the Employer's policy that the consultants observe the highest standard of ethics during the selection and execution of such contracts. In pursuance of this policy, the Employer:
- (a) Defines, for the purpose of this paragraph, the terms set forth below as follows:
- (i) "corrupt practice" means the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence the action of a public official in the selection process or in contract execution;
 - (ii) "fraudulent practice" means a misrepresentation or omission of facts in order to influence a selection process or the execution of a contract;
 - (iii) "collusive practices" means a scheme or arrangement between two or more consultants with or without the knowledge of the Client, designed

to establish prices at artificial, non-competitive levels;

- (iv) “coercive practices” means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in a procurement process, or affect the execution of a contract.
 - (b) will reject a proposal for award if it determines that the Consultant recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive or coercive practices in competing for the contract in question;
 - (c) will declare a firm ineligible, either indefinitely or for a stated period of time, to be awarded a contract if it at any time determines that the firm has engaged in corrupt or fraudulent practices in competing for, or in executing, a contract; and
 - (d) will have the right to require that a provision be included requiring consultants to permit the Employer to inspect their accounts and records relating to the performance of the contract and to have them audited by authorized representatives of Employer.
- 1.10 Consultants, their JV partner, their Sub-Consultants, and their associates shall not be under a declaration of ineligibility for corrupt and fraudulent practices. Furthermore, the Consultants shall be aware of the provisions on fraud and corruption stated in the specific clauses in the General Conditions of Contract.
- 1.11 Consultants shall furnish information on commissions and gratuities, if any, paid or to be paid to agents relating to this proposal and during execution of the assignment if the Consultant is awarded the Contract, in the Financial Proposal.
- 1.12 The Data Sheet indicates how long Consultants’ Proposals must remain valid after the submission date. During this period, Consultants shall maintain the availability of Professional staff nominated in the Proposal. The Client will make its best effort to complete the process within this period. Should the need arise, however, the Client may request Consultants to extend the validity period of their proposals. Consultants who agree to such extension shall confirm that they maintain the availability of the Professional staff nominated in the Proposal, or in their confirmation of extension of validity of the Proposal, consultants could seek replacement upto a maximum of 50% key personnel . If any consultant seeks any replacement(s), while extending the bid validity, then the same shall be evaluated for ascertaining suitability of replacement as per the provisions of the RFP and remuneration shall not be reduced for any such replacement(s). However, the technical evaluation shall take into account of the originally submitted CV(s) only irrespective of replacement sought.

2. CLARIFICATIONS AND AMENDMENT OF RFP DOCUMENTS

- 2.1 The Consultants may request a clarification of any of the RFP documents up to the number of days indicated in the Data Sheet before the Proposal submission date. Any request for clarification must be sent in writing by paper mail, facsimile, or electronic mail to the Client’s address indicated in the Data Sheet. The Client will respond by cable, facsimile, or electronic mail to such requests and will send copies of the response (including an explanation of the query but without identifying the source of inquiry) to all consultants who have purchased the RFP document. Clarification/amendment will also be hosted on Employer’s web-site.
- 2.2 At any time before the submission of Proposals, the Client may for any reason, whether at its own initiative or in response to a clarification requested by a Consulting firm, modify the RFP documents by amendment. Any amendment shall be issued in writing through addendum. Addendum may be sent by mail, cable, telex, facsimile

or electronic mail to consultants or/and will be hosted on Employer's website which will be binding on them. The Client may at its discretion extend the deadline for the submission of Proposals.

3. PREPARATION OF PROPOSAL

3.1 You are requested to submit your proposal in Two Parts strictly using the formats enclosed herewith (refer section 3,4 and 5) in 2 separate envelopes/ packages and put together in one single outer envelope/package. The two parts shall be:

Part 1: Technical Proposal and

Part 2: Financial Proposal.

The proposal shall be written in the English language as specified in the Data Sheet. All pages of the Proposal shall be signed by an authorized representative. The representative's authorization shall be confirmed by written Power of Attorney duly notarized to be submitted with the proposal. In case of JV or inclusion of Associate company, a MoU indicating the specific Projects, input and role of each Partner etc. shall be submitted with the proposal.

Part 1: Technical Proposal

3.2 You are expected to examine all terms and conditions included in the documents. Failure to act or to provide all requested information will be at your own risk and may result in rejection of your proposal.

3.3 During preparation of the Technical proposal you may give particular attention to the following :

- i. The man-months for the assignment shall be that stated in the Terms of Reference . The same shall be considered for the purpose of evaluation as well as award. In case the man months of TOR are amended in view of Client's own initiative or in response to clarification sought by any Consulting firm, the man months so amended and published shall be considered for the purpose of evaluation as well as award.
- ii. The Consultants should prefer to field as many of their permanent staff as possible.. The permanent staff would be considered those already employed with the firm prior to one year from the month during which this Tender Notice is issued. Applicant shall submit the details of the period of employment of the proposed personnel with the firm.
- iii. No alternative to key professional staff may be proposed and only one Curriculum Vitae (CV) may be submitted for each position and
- iv. A good working knowledge of the language specified in the data sheet is essential for key professional staff on this assignment. Reports must be in the language (s) specified in the data sheet
- v. Deleted

3.4 Your Technical Proposal must provide the following information, using but not limited to the formats attached in the Section 3 &4.

- i. A brief description of the firm's organisation and an outline of recent experience of the Consultants and, in the case of Joint Venture, for each partner, on assignments of a similar nature. The information which you shall provide on each assignment should indicate, *inter-alia*, the profiles of the staff provided, duration, contract amount and firm's involvement. ***The details of assignments on hand shall also be furnished by the consultant and their JV***

partner, separately.

- ii. Any comments or suggestions on the ToR and a description of the methodology (work plan) which the firm proposes to execute the services, illustrated with bar charts of activities.
- iii. Site Appreciation: limited to four A4 size pages in 1.5 space and 12 font including photographs, if any;
- iv. Proposed methodology for the execution of the services illustrated with bar charts of activities, including any change proposed in the methodology of services indicated in the TOR, and procedure for quality assurance: Maximum 4 pages;
- v. The proposed methodology should be accompanied by the consultants initial view, key challenges they foresee and potential solutions. It should also include details on adoption of superior technology along with proof: limited to six A4 size pages in 1.5 space and 12 font including photographs;
- vi. The proposal shall indicate as to whether the firm is having the facilities for carrying out the following field activities or these are proposed to be outsourced to specialized agencies.
 - Surface defects detection and roughness measurement using Network Survey Vehicle
 - Pavement strength measurement using FWD
 - Bridge inspection using Mobile Bridge Inspection Unit
 - Road signs inspection using Retro Reflectometer
- vii. Proposed Quality Audit Methodology including Quality Assurance Plan
- viii. In case the consultant envisages outsourcing any or all of the above services to the expert agencies, the details of the same indicating the arrangement made with the agencies need to be furnished. These agencies would however, be subject to approval of the client to ensure quality input by such agencies before award of the work. For out-sourced services, proposed firms/consultants should have such experience on similar projects.
- ix. The composition of the proposed staff team, the tasks which shall be assigned to each and their timing;
- x. Requirement for submission of CVs.
 - a. CVs strictly in the prescribed format and recently signed in blue ink on each page by both the proposed professional staff and the Managing Director/Head or the authorized representative of the firm.
 - b. Key information should include years with the firm and degree of responsibility held in various assignments. In CV format, at summary, the individual shall declare his qualification & total experience (in years) against the requirements specified in TOR for the position (Ref. Enclosure-B of TOR). If any information is found incorrect, at any stage, action including termination and debarment from future projects upto 2 years may be taken by Employer on the personnel and the Firm.
 - c. Deleted.

- d. CVs of Key Personnel having intermittent inputs will be considered only if the assignments on hand as on 7 days before due date of proposal including those for which LOA has been received from the Client or for which Consultant has been declared as H1 are such that the key personnel is able to proportionately devote the given man months for this project.
 - e. All the CVs which are to be evaluated should be complete in all respects including signing and certification by the individual and the firm. .
 - f. If a CV score less than 70% marks, whatever marks it score will be carried forward for maximum 3 nos key personnel for determining the total score of the firm. However, if the Key Personnel does not fulfill the minimum academic qualification (as mentioned at Enclosure-B of TOR of RFP), the overall score of his CV will be evaluated as zero. If the Key Personnel does not fulfill the minimum qualification related to experience (as mentioned at Enclosure-B of TOR of RFP), then zero marks will only be assigned for that sub criteria, but the marks obtained by the CV of the Key Personnel will be carried forward for maximum 3 nos key personnel for determining the total score of the firm. In case, a firm is H-1, then all such Key Personnel (whose CV scores less than 70% or who does not fulfill the minimum qualification) will have to be replaced by the firm before signing the contract. The reduction in remuneration of such replacements shall be 10% for each replacement. In case more than 3 CV scores less than 70% marks or Team leader cum Highway Engineer scores less than 70% marks, the proposal shall be considered non-responsive.
 - g. In case CV of a person is turned out to be fake/incorrect/inflated during the assignment, the consultancy firms shall have to refund the salary and perks drawn including interest @12% per annum in respect of the person apart from other consequences. In addition to above, 10% of the salary and perks to be refunded shall be recovered from the Firm as penalty.
 - h. It is also clarified that any key personnel, if debarred during the period between receipt of bid and award of the contract and is required to be replaced as per the provisions of the RFP, then the replacement shall not be considered as part of replacement by the consultant and hence no deduction in remuneration shall be affected. However, in this case the original CV will be considered for evaluation purpose
- xi. Deployment Schedule for each key personnel should be formulated and incorporated in the Technical Proposal which will be reviewed on quarterly basis.
 - xii. Estimates of the total time effort (person x months) to be provided for the services, supported by bar chart diagrams showing the time proposed (person x months) for each professional staff and sub professional staff.
 - xiii. Any additional information.

3.5 The technical proposal must not include any financial information.

Part 2: Financial Proposal

- 3.6 Your Financial Proposal must be strictly using the formats attached in Section 5. No additional items/quantities other than that specified in the formats should be proposed by the Consultants since the same shall not be considered for the evaluation/award. Consultants shall be paid billing rates for services as per financial proposal submitted by

them basis . Beginning 13th months from the last date of submission of bid, billing rates shall be increased to cover all items of the contract i.e. remuneration, vehicle hire, office rent, consumables, furniture etc @ 5% every 12 months. However, for evaluation and award of the Bid proposals, the quoted initial rate (as applicable for first 12 months from last date of submission of bid) shall be multiplied by the total time input for each position on this contract, i.e. without considering the increase in the billing rates. All payments shall be made in Indian Rupees and shall be subjected to applicable Indian laws withholding taxes if any.

3.7 The Financial Proposal should clearly identify as a separate amount, the local taxes (including social security), duties, fees, levies and other charges imposed under the applicable law, on the consultants, the sub-consultants, and their personnel (other than nationals or permanent residents of the government's country); unless the Data Sheet specifies otherwise. This cost, however, will not be considered in evaluation.

3.8 Consultants may express the price of their services in the Indian Rupees only.

3.9 Goods & Service tax as applicable shall be paid to the consultant while making payment for services rendered. The consultants shall then deposit the same with the tax authorities and provide a proof of having done so within next 90 days in line with policy circulars issued by Employer. Employer shall pay only the Goods & service tax..

4. SUBMISSION, RECEIPT AND OPENING OF PROPOSALS

4.1 PREPARATION & SUBMISSION OF APPLICATIONS:

- i. Detailed RFP may be downloaded from E-tendering portal of Employer and the Application may be submitted online following the instructions appearing on the screen.
- ii. The following shall be the form of various documents in the Application:

A. Only Electronic Form (to be uploaded on the E-tendering portal of Employer)

- (a) Technical proposal as indicated in para 'B' below
- (b) Financial proposal as per format prescribed in SECTION-5 OF RFP.

B. Hard copy in Original (identical to proposal sto be submitted in Sealed Envelop and also Electronic form to be uploaded on the E-tendering portal of Employer

(I) Technical Proposal in Hard Bound Form including

- (a) Power of Attorney for signing the Application
- (b) If applicable, the Power of Attorney for Lead Member of JV;
- (c) Copy of Memorandum of Understanding between JV partners, if applicable;
- (d) Copy of Memorandum of Understanding with Associate, if applicable.
- (e) Firms credentials as per format prescribed in SECTION-3 OF RFP.
- (f) Technical proposal as per format prescribed in SECTION-4 OF RFP.

(II) Demand Draft towards cost of RFP of Rs.5,000/- (Rupees Five thousand only) in favour of National Highways Authority of India;

(III) Bidders have to comply with the OM No. 13030/09/2008-vig dated 28th January, 2013(copy enclosed) regarding Integrity pact.

- iii. The Applicant shall submit the original documents specified above in point no.4.1 (ii) B together with their respective enclosures and seal it in an envelope and mark the envelope as “Technical Proposal” for the Project for which proposal is submitted and name and address of the Applicant. The envelope must be clearly marked “**DO NOT OPEN, EXCEPT IN THE PRESENCE OF THE EVALUATION COMMITTEE**”. In addition, the Application due date should be indicated on the right hand corner of the envelope. The original documents should be submitted online before 11:00 hours Indian Standard Time on the Application due date i.e. on 23.05.2018 and physically submission before 1100 Hrs on 24.05.2018, at the below mentioned address in the manner and form as detailed in the RFP. A receipt thereof should be obtained from the below mentioned person.

(Mention Address of Employer’s Representative)

Dr. A. K. Sharma, General Manager (PC)
N.H.A.I Dwarka Sec. 10 New Delhi - 75
Email Address:- aksharma@nhai.org;
Telephone No. 011-25074100/200; (Extn. 1315)

- iv. The Applicant shall upload scanned copies of the Technical Proposal and Financial Proposal as specified in point nos. 4.1 (ii) A & B above on the E-tendering portal of Employer before 11:00 hours Indian Standard Time on the Application due date i.e. on 23.05.2018. Hard copy of the documents as specified in point nos. 4.1 (ii) B above only is required to be submitted before 1100 Hrs on 24.05.2018. Financial Proposal is to be submitted On-line only and no hard submission is to be made. In the event of any discrepancy between the original and the copy (in electronic form), the original shall prevail.

4.2 Modification / Substitution/ Withdrawal of bids:

- (i) The Bidder may modify, substitute or withdraw its e- bid, prior to the Bid Due Date. No Bid shall be modified, substituted or withdrawn by the Bidder on or after the Bid Due Date.
- (ii) Any alteration/ modification in the Bid or additional information supplied subsequent to the Bid Due Date, unless the same has been expressly sought for by the Employer, shall be disregarded.
- (iii) For modification of e-bid, bidder has to detach its old bid from e-tendering portal and upload / resubmit digitally signed modified bid.
- (iv) For withdrawal of bid, bidder has to click on withdrawal icon at e-tendering portal and can withdraw its e-bid.
- (v) Before withdrawal of a bid, it may specifically be noted that after withdrawal of a bid for any reason, bidder cannot re-submit e-bid again.

4.3 OPENING AND EVALUATION OF APPLICATIONS:

- (i) Opening of Proposals will be done through online for Financial Proposal and both on-line and manually for Technical Proposal.

- (ii) For participating in the tender, the authorized signatory holding Power of Attorney shall be the Digital Signatory. In case the authorized signatory holding Power of Attorney and Digital Signatory are not the same, the bid shall be considered non-responsive.

The Employer shall open Envelope containing Technical Proposal received as mentioned in point nos. 4.1 (ii) B at 11:30 hours Indian Standard Time on 24.05.2018 in the presence of the Applicants who choose to attend. The Employer will subsequently open the Technical Proposal as mentioned in point no.4.1 (ii) A(a) above and evaluate the Applications in accordance with the provisions set out in the RFP.

- (iii) The Financial Proposal 4.1 (ii) A (b) will be opened of the short listed applicants who qualify for financial opening as per RFP. The date of opening of Financial Proposal will be notified later on.

5 PROPOSAL EVALUATION

- 5.1 A two -stage procedure shall be adopted for evaluating the proposals.

5.2 Deleted

Technical Proposal

- 5.3 The Evaluation Committee appointed by the Employer shall carry out its evaluation applying the evaluation criteria and point system specified in the data sheet. Each responsive proposal shall be attributed a technical score (S_T). Only those Applicants whose Technical proposal score 75 marks or more out of 100 shall qualify for further consideration. However, if the number of such pre-qualified applications is less than two, the Employer may, in its sole discretion, pre-qualify the applicant(s) whose technical score is less than 75 marks.

Financial Proposal

- 5.4 After the evaluation of Technical Proposals is completed and the shortlist of firms is finalised, the Employer may notify those consultants whose proposals were not considered as per conditions of RFP. The Employer shall simultaneously notify the shortlisted firms indicating the date and time set for opening of the Financial Proposals.
- 5.5 The Financial Proposals shall be opened publicly in the presence of the consultants' representatives who choose to attend. The name of the consultant, the technical scores, and the proposed prices shall be read aloud and recorded when the Financial Proposals are opened. The Client shall prepare minutes of the public opening.
- 5.6 The Evaluation Committee will determine whether the submitted Financial Proposals are complete (i.e. whether they have included cost of all items of the corresponding proposals; if not, then the cost towards such missing items will be considered as NIL, but the Consultant shall, however, be required to carry out such obligations without any additional compensation.) and without computational error. In case under such circumstances, if Client feels that the work cannot be carried out within the overall cost as per the submitted financial proposal, such proposals shall be considered non responsive.
- 5.7 The lowest financial proposal (F_M) will be given a financial score (S_F) of 100 points. The financial scores of other proposals will be computed as follows:

$$S_F = 100 \times F_M / F$$
(F= amount of financial proposal)
- 5.8 Proposals will finally be ranked according to their combined technical (S_T) and financial (S_F) scores as follows:

$$S = S_T \times T_w + S_F \times F_w$$
Where S is the combined score, and T_w and F_w are weights assigned to Technical Proposal and Financial Proposal, that shall be 0.80 and 0.20 respectively.
- 5.9 The selected Consultant shall be the first Ranked Applicant (H-1, having the highest

combined score). In the event the proposals of two or more consultants have the same scores in the final ranking, the proposal with the highest technical score should be ranked first.

6 AWARD OF CONTRACT

- 6.1 After completion of the evaluation process, the Client shall award the Contract to the selected Consultant.
- 6.2 The successful firm with whom the contract is signed is expected to commence the assignment on the date and at the location specified in the data sheet.

DATASHEET**(As Mentioned in Letter of Invitation to Consultants)****Sub clause No. in Letter of Invitation to Consultants**

- 1 **Pre-Proposal Conference shall be held at: NHAI HQ on 04.05.2018 at 15.00 hrs.**
- 2 The proposal shall be valid for 120 days after the last date of submission.
- 3 Clarification may be requested 7 days prior to Pre Proposal Conference. The address for requesting clarification is:
Dr. A. K. Sharma, General Manager (PC)
National Highways Authority of India
 G-5 & G-6, Sector 10, Dwarka
 New Delhi 110 075.
 (Tel:- 011-25074100/4200 Extn. 1315)
 (Fax:- 011-25074100 Extn. 1315)
 (E-mail: aksharma@nhai.org;)
- 4 **The Language** of documents and correspondence will be English
- 5 All the personnel shall have working knowledge of English and all the reports etc shall be written in English.
- 6 NHAI shall reimburse only Goods and service tax. Consultant has to assess all other taxes and should inbuilt them in their financial proposal. These taxes (other than Goods and service tax) should not be provided separately. Consultants are requested to consult Tax Consultants for details.
7. The Consultants to ensure that their firms and key personnel should register their credentials / modules on www.infracon.nic.in as stipulated in MoRT&H Circular No. RW-NH-35075/1/2010-S&R® dt. 28.10.2015.
- 8 The Consultants to state cost in INR .
- 9 The time and date of online submission: **1100 hrs on 23.05.2018 and physical submission upto 1100 Hrs on 24.05.2018.**
- 10 **The points assigned to Technical Evaluation criteria are:**

S. No.	Description	Marks
1	Relevant experience for the assignment	35
2	The quality of methodology and work plan proposed	05
3	Experience in use of technology for road inspection	20
4	Qualifications and competence of the key staff for the assignment	40
	Total	100

i. Sub criteria for Relevant Experience of the firm for the assignment

Average Annual Turnover (last 3 years) from consultancy business (Min 5 crore)	2
Nos of key personnel with the firm	2
Experience as Independent Engineer/Authority Engineer/Construction Supervision in Number of Highway Projects of length equal to 40% of project length of similar category for which RFP invited of 2/ 4/6**-laning or more in last 7 years*	12
Experience in DPR preparation for Number of Highway Projects (of length 40% of project length of similar category for which RFP invited of 2/4/6**-laning or more) in last 7 years *	6
In hand DPRs for NHAI Projects (presently under progress)	10
Experience in Construction Supervision/DPR /Design Review of Major structures having length of more than 500 metre in last 7 years.	3

* Consultants should give details of the experience of the firm considering the completed and the on-going highway assignments, separately for PPP and non-PPP Projects along with experience certificates from clients. This list of the completed works should also include those assignments which are substantially (90% of Contract value) completed. No Qualification/Experience etc. shall be considered without proof of experience.

Experience of Consultant for having offered consultancy services to a private organization shall also be considered as relevant experience for current assignment, provided the experience is duly endorsed by the respective Government Agency.

** Similar projects means 2/4/6 lane as applicable for the project for which RFP is invited. For 2-lane projects experience of 4/6 lane also to be considered with a multiplication factor of 1.5. Experience of 4/6 lane shall be considered interchangeably for 4/6 laning projects. For 4/6 laning projects, experience of 2 lane will be considered with a multiplication factor of 0.4, but only for those 2 lane projects whose cost of consultancy services was more than Rs.3.0 crores

In case of JV the turnover and experience details of Lead and JV partners to be added.

Employer's certificate should be submitted substantiating the experience claimed by the firm.

ii. Sub criteria for Adequacy of the proposed work plan and methodology

Site Appreciation	1
Quality of Approach and Methodology (approach and initial view on project plan including key challenges envisaged and potential solutions)	2
Internal Quality Audit Methodology to be adopted (Quality Assurance Plan)	2
Total	5

iii. **Sub criteria for Experience in use of technology for road inspection**

S.No	Description	Maximum Points	Sub-Points
1	Experience in Network Survey Vehicle (NSV) or better technology for pavement inspection	7	
1.1	Own an equipment/MOU with Associate		4
1.2	1-2 projects		5
1.3	3-5 projects		6
1.4	> 5 projects		7
2	Experience in Falling Weight Deflectometer (FWD) or better technology for pavement strength measurement	4	
2.1	Own an equipment/MOU with Associate		2
2.2	1-3 projects		3
2.3	> 3 projects		4
3	Experience in Mobile Bridge Inspection Unit or better technology for bridge inspection	5	
3.1	Own an equipment/MOU with Associate		2
3.2	1-2 projects		3
3.3	3-5 projects		4
3.4	> 5 projects		5
4	Experience in Retro reflectometer technology	4	
4.1	Own an equipment/MOU with Associate		2
4.2	1-3 projects		3
4.3	> 3 projects		4
	Total	20	

Note: A. The consultants owning the equipments shall be required to submit proof of ownership.
B. The experience of the associate firms in use of technology shall also be counted in the evaluation. The experience of firm or associate firm in NSV or equivalent technology, FWD or equivalent technology, MBIU or equivalent technology and Retro reflectometer or equivalent technology shall be supported by experience certificate. The experience of a firm/ associate firm for a private concessionaire/contractor shall be considered only if the experience certificate is authenticated by the concerned competent Government department/authority.

iv. **Qualification and competence of following professional/sub-professional staff for the assignment shall be evaluated. The weightage for various key staff are as under:-**

S. No	Staff Position	Marks.
1	Team Leader Cum Senior Highway Engineer	12
2	Resident cum Highway Engineer	6
3	Bridge/Structural Engineer	6
4	Senior Pavement Specialist	6
5	Senior Quality cum Material Expert	6
6	Road safety Expert	4
	Total	40

Sub criteria for qualification of key Personnel (i.e. Professional staff)

General qualifications	25
Adequacy for the project	70
Employment with firm	5
Total	100

The technical proposal should score at least 75 points to be considered responsive for financial evaluation.

- v) Detailed evaluation criteria which is to be used for evaluation of technical bids is as indicated below as Appendix-EC.
 - vi) The Consultant should carryout self evaluation based on the evaluation criteria at Appendix-EC. While submitting the self evaluation along with bid, Consultant shall make references to the documents which has been relied upon in his self-evaluation.
 - vii) Result of technical evaluation shall be made available on the website giving opportunity to the bidders to respond within 7 days in case they have any objection.
 - viii) The weightage given to technical proposal is 80%.
The weightage given to financial proposal is 20%.
11. Commencement of Assignment: The firm shall begin carrying out the services within one month of signing of the Consultancy Agreement.

1. Evaluation Criteria for Assessment of Experience of the Firm.

S. No.	Description	Max. Marks	Reference/Details of projects Claimed for self assessment	Marks self assessed by the bidder
1	Average Annual Turnover (last 3 years) from consultancy business < 2% of TPC (₹ 7.41 Cr.) - 0 marks 2% of TPC(₹ 7.41 Cr.) - 1.5 marks Add for additional turnover 0.25 (Zero point two five) marks for every 1% of TPC above 2% of TPC subject to maximum 0.5 marks.	2		
2	Numbers of key personnel (as defined in RFP) with the firm in highway sector with more than one year with the firm. ≤ 20 personnel - 0 marks 21 to 30 - Linearly varying from 0.2 to 2 > 30 personnel - 2 marks	2		
3	Experience as Independent Engineer/Authority Engineer/ Construction Supervision in Number of Highway Projects of 2/4/6-laning** of length (40% of project length = 23.57 Km.) or more in last 7 years. 1 project - 9 marks Add 1(one) mark extra for completed assignment of Authority Engineer/Independent Engineer and add 0.5 (Zero point five) marks extra for completed assignment of supervision consultancy subject to maximum 3 (three) marks.	12		
4	Experience in DPR/Feasibility Study cum Preliminary Design Report preparation for Number of Highway Projects of 2/4/6-laning** of length (40% of project length = 23.57 Km.) or more in last 7 years. 1 project - 4 marks Add 0.5 (Zero point five) marks extra for each additional project subject to maximum 2 marks.	6		
5	In hand DPRs for NHAI Projects	10		

	For DPR project length > the length of project(58.92 Km. for which RFP invited -5 Marks For DPR project length > two times the length of project for which RFP invited -7.5 Marks For project length > three times the length of project for which RFP invited -10 Marks			
6	Experience in Construction Supervision/DPR/Design Review of Major structures having length of more than 500 metre in last 7 years. 1 project - 2.0 marks Add 0. 5 (Zero point five) marks extra for each additional project subject to maximum 1.0 marks.	3		

Note 1: In case of JV the turnover and experience details of Lead and JV partners to be added.

Note 2: Employer's certificate/ certificate from Statutory Auditor should be submitted substantiating the experience/turnover claimed by the firm.

Note 3: **For 4/6 laning projects, experience of 2 lane will be considered with a multiplication factor of 0.4, but only for those 2 lane projects whose cost of consultancy services was more than Rs.3.0 crores.

2. Evaluation Criteria for Adequacy of the Proposed Work Plan and Methodology

Criteria for Adequacy of The Proposed Work Plan and Methodology			
Description	Maximum Marks	Reference/Details of projects Claimed for self assessment	Marks self assessed by the bidder
Site Appreciation (i) Average 0.50 (ii) Good 0.75 (iii) Very Good 1.00	1		
Quality of Approach and Methodology (Review of scope of work and design review, construction supervision methodology, contract management approach, safety review/audit and O&M stage, initial view on project plan including key challenges envisaged and potential solutions to be judged).€ (i) Average 0.75	2		

(ii) Good 1.50 (iii) Very Good 2.00			
Quality Audit Methodology and Quality Assurance Plan (i) Average 0.75 (ii) Good 1.50 (iii) Very Good 2.00	2		
Total Marks	5		

3. Evaluation Criteria for assessment of experience in use of technology for road inspection

Evaluation sheet for assessment of experience in use of technology for road inspection				
S.No	Description	Maximum Points	Reference/Details of projects Claimed for self assessment	Marks self assessed by the bidder
1	Experience in Network Survey Vehicle (NSV) or better technology for pavement inspection <ul style="list-style-type: none"> • Own an equipment/MOU with Associate - 4 • 1-2 projects- 5 • 3-5 projects- 6 • >5 projects- 7 	7		
2	Experience in Falling Weight Deflectometer (FWD) or better technology for pavement strength measurement <ul style="list-style-type: none"> • Own an equipment/MOU with Associate - 2 • 1-3 projects- 3 • >3 projects- 4 	4		
3	Experience in Mobile Bridge Inspection Unit (MBIU) or better technology for bridges inspection <ul style="list-style-type: none"> • Own an equipment/MOU with Associate -2 • 1-2 projects -3 • 3-5 projects -4 	5		

Evaluation sheet for assessment of experience in use of technology for road inspection				
S.No	Description	Maximum Points	Reference/Details of projects Claimed for self assessment	Marks self assessed by the bidder
	<ul style="list-style-type: none"> >5 projects- 5 			
4	Experience in Retro reflectometer technology <ul style="list-style-type: none"> Own an equipment/MOU with Associate - 2 1-3 projects- 3 >3 projects- 4 	4		
	Total	20		

4. Evaluation Criteria for assessment of score of Key Staff for adequacy of the Assignment.

4.4 Team Leader cum Senior Highway Engineer

S. No.	Description	Max. Points	Reference/Details of projects Claimed for self assessment	Marks self assessed by the bidder
1	General Qualification	25		
	I) Graduate in Civil Engineering II) Post Graduation in Management/ Construction/ Transportation/Highway Engineering/Structural Engineering or equivalent specialised stream of civil engineering III) Degree/Diploma/Certificate in Project Management	21 03 01	25	
2	Adequacy for the Project	70		
a)	Professional Experience in Highway Projects:			
i)	Total Professional Experience in handling Highway projects	10		

	<p>< 12 years -0 12 years -7 Add 1 marks extra for each additional year of experience subject to maximum 3 (three) marks.</p>			
ii)	<p>Experience as Team Leader or similar capacity in Highway Development Projects(similar configuration (2/4/6 laning**) and above) < 5 years -0 5 years -11 Add 1 marks extra for each additional year of experience subject to maximum 4 (four) marks.</p>	15		
iii)	<p>Experience as Team Leader or similar capacity in Highway Development projects (of length 40% of project length or more of similar configuration (2/4/6 laning**) and above) on EPC 1 projects -3 marks add 1 mark extra for additional projects subject to maximum 2 (two) marks</p>	5		
b)	<p>Experience as Team Leader or similar capacity of project Preparation including design of major highway Project (of length 40% of project length or more of similar configuration (2/4/6 laning**) and above). < 2 projects -0 2 Projects -11 Add 2 mark for each additional project subject to maximum 4 marks.</p>	15		
c)	<p>Experience in position of Team Leader/Project Manager or similar capacity in Construction Supervision/IC involving length 40% of project length or more of similar configuration (2/4/6** laning) and above < 2 projects - 0 2 Projects - 16 Add 2 marks extra for each additional project subject to maximum 4 (four) marks</p>	20		
d)	<p>Experience as Team Leader or similar capacity in Operation and Maintenance of Major Highway (of length 40% of project length or more of similar</p>	5		

	configuration (2/4/6 laning**) and above). 1 project - 3 marks Add 1 mark extra for each additional project subject to maximum 2 (two) marks			
3	Employment with the Firm < 1 year -0 1 year -3 Add 0.5 marks for each subsequent year subject to maximum 2 marks	5		
	Total :	100		

Note:

- (1) Similar Capacity includes the following positions
 - i) On behalf of Consultant: Team Leader/Resident Engineer (Construction Supervision/IE/AE/DPR).
 - ii) On behalf of Contractor: Project Manager (Construction/Construction Supervision)
 - iii) In Government Organizations: Superintending Engineer (or equivalent) and above
- (2) Only those projects will be considered for evaluation at S. No. 2(b) where the input of the personnel is not < 9 months.
- (3) Only those projects will be considered for evaluation at S. No. 2(c), (d) where the input of the personnel is not < 12 months.
- (4) In case of experience on behalf of Consultant or Contractor, the experience shall be duly endorsed by the respective Government agency. In case of non-availability of endorsement from Govt. Agency, the experience uploaded on Infracon Portal will be taken into consideration. However, the key personnel/ bidder will be solely responsible for any fake information/ CV, which may result in debarment.

4.5 Resident cum Highway Engineer

S. No.	Description	Max. Points	Reference/Details of projects Claimed for self assessment	Marks self assessed by the bidder
1	General Qualification	25		
	I) Graduate in Civil Engineering	21		
	II) Post Graduation in Transportation/Highway Engineering/Structural Engineering/Geotechnical Engineering	04		

2	Adequacy for the Project	70		
	Professional Experience			
i)	Total Professional Experience in handling Highway projects < 10 years -0 10 years -15 Add one marks extra for each additional completed year of experience subject to maximum 5 (five) marks.	20		
ii)	Experience as Resident Engineer/Project Director/Project Manager/Superintending Engineer or equivalent/Executive Engineer or equivalent on construction works/Authority Engineer/Independent Engineer Projects (similar configuration (2/4/6 laning*) and above). < 5 years -0 5 years -15 Add 1 marks extra for each additional year of experience subject to maximum 5 (five) marks.	20		
iii)	Experience in similar capacity in handling major 2/4/6-laning** projects (of length 40% of project length or more of similar configuration (2/4/6 laning**) and above) < 2 nos -0 2 nos -19 Add three marks extra for each additional project subject to maximum 6 (Six) marks.	25		
iv)	Experience in similar capacity of Highway Project of Construction/Construction Supervision/IC on EPC Mode (of length 40% of project length or more of similar configuration (2/4/6 laning**) and above) 2.5 marks for each project subject to maximum 5 (five) marks	5		
3	Employment with the Firm < 1 year -0	5		

	1 year -3 Add 0.5 marks for each subsequent year subject to maximum 2 marks			
	Total :	100		

Note:

- (1) Similar Capacity includes the following positions
- i) On behalf of Consultant: Resident / Highway Engineer
 - ii) On behalf of Contractor: Resident Engineer/ Highway Engineer / Project Manager (Construction/Construction Supervision)
 - iii) In Government Organizations: Executive Engineer (or equivalent) and above
- (2) Only those projects (in numbers) will be considered for evaluation above, where the input of the personnel is not < 12 months
- (3) In case of experience on behalf of Consultant or Contractor, the experience shall be duly endorsed by the respective Government agency. In case of non-availability of endorsement from Govt. Agency, the experience uploaded on Infracon Portal will be taken into consideration. However, the key personnel/ bidder will be solely responsible for any fake information/ CV, which may result in debarment.

4.6 Bridge/Structural Engineer

S. No.	Description	Max. Points	Reference/Details of projects Claimed for self assessment	Marks self assessed by the bidder
1	General Qualification	25		
	I) Graduate in Civil Engineering	21		
	II) Post Graduation in Structural Engineering	04		
2	Adequacy for the Project	70		
i)	Total Professional Experience in handling Highway/Bridge projects < 10 years -0 10 years -11 Add one mark extra for each additional 2 year subject to maximum 4(four) marks.	15		
ii)	Experience in similar capacity in Design/Construction/Construction Supervision of	20		

	Bridges/ROB/Flyover/Interchanges/any other such structures (similar configuration (2/4/6 laning**) and above) < 5 years -0 5 years -15 Add 1 (one) mark extra for each additional completed year of experience subject to maximum 5 (five) marks.			
iii)	Experience in similar capacity in supervision of Major Highway Bridges/ROB/Flyover/ Interchanges/ any other structures < 2 Bridges -0 02 Bridge -15 Add 2.5 mark extra for each additional bridge subject to maximum 5 marks	20		
iv)	Experience in similar capacity in supervision of Rehabilitation and repair of Major Bridges/ROB/Flyover/ Interchanges/ any other structures < 2 - 0 2 nos -07 3 or more -10	10		
v)	Experience in similar capacity of modern bridge construction technology viz., Precast Segmental, Balanced Cantilever Construction, Extradosed Bridge, Full Span Launching, Incremental Launching. Experience in 1 project - 3 More than one project - 5 marks	5		
3	Employment with the Firm < 1 year -0 1 year -3 Add 0.5 marks for each subsequent year subject to maximum 2 marks	5		
	Total :	100		

Note:

- (1) Similar Capacity includes the following positions
- i) On behalf of Consultant/Contractor: Bridge Engineer/Project Manager (Bridges)
 - ii) On behalf of Government: Executive Engineer

- (2) Only those projects (in numbers) will be considered for evaluation above, where the input of the personnel is not < 12 months
- (3) In case of experience on behalf of Consultant or Contractor, the experience shall be duly endorsed by the respective Government agency. In case of non-availability of endorsement from Govt. Agency, the experience uploaded on Infracon Portal will be taken into consideration. However, the key personnel/ bidder will be solely responsible for any fake information/ CV, which may result in debarment.

4.7 Senior Pavement Specialist

S. No.	Description	Max. Points	Reference/Details of projects Claimed for self assessment	Marks self assessed by the bidder
1	General Qualification	25		
	I) Graduate in Civil Engineering	21		
	II) Post Graduation in Transportation/Highway Engineering/Pavement engineering or equivalent	04		
2	Adequacy for the Project	70		
	Professional Experience			
i)	Total Professional Experience of Pavement Design/Construction and Maintenance of Highways/Roads/Air Field Runway < 10 years -0 10 years -15 Add one mark extra for each additional year of experience subject to maximum 5 (five) marks.	20		
ii)	Experience in Similar capacity in Construction/Construction Supervision of 2/4/6-laning** of major Highway Projects (similar configuration (2/4/6 laning**) and above) < 5 years -0 5 years -15 Add one mark extra for each additional year of experience subject to maximum 5 (five) marks.	20		
iii)	Experience in similar capacity as Pavement/Geo-technical Engineer in construction/ construction supervision of Major Highway projects (of length 40% of	20		

	project length or more of similar configuration (2/4/6 laning**) and above) < 2 nos -0 2 nos -16 Add 2 marks extra for each additional project subject to maximum 4 marks.			
iv)	Experience in similar capacity on major Highway projects (of length 40% of project length or more of similar configuration (2/4/6 laning**) and above) in innovative/non traditional technology and design 1 Project -4 2 or more -5	5		
v)	Experience in similar capacity on EPC Projects (of length 40% of project length or more of similar configuration (2/4/6 laning**) and above) 2.5 marks for each project subject to maximum 5 (five) marks	5		
3	Employment with the Firm < 1 year -0 1 year -3 Add 0.5 marks for each subsequent year subject to maximum 2 marks	5		
	Total :	100		

Note:

- (1) Similar Capacity includes the following positions
 - i) On behalf of Consultant/Contractor: Pavement Specialist/ Pavement Engineer/ Pavement Expert / Highway Engineer
 - ii) On behalf of Government: Executive Engineer
- (2) Only those projects (in numbers) will be considered for evaluation above, where the input of the personnel is not < 6 months
- (3) In case of experience on behalf of Consultant or Contractor, the experience shall be duly endorsed by the respective Government agency. In case of non-availability of endorsement from Govt. Agency, the experience uploaded on Infracon Portal will be taken into consideration. However, the key personnel/ bidder will be solely responsible for any fake information/ CV, which may result in debarment.

4.8 Senior Quality/Material Expert

S. No.	Description	Max. Points	Reference/Details of projects Claimed for self assessment	Marks self assessed by the bidder
1	General Qualification	25		
	I) Graduate in Civil Engineering	21		
	II) Post Graduation in Geotechnical Engineering/Foundation Engineering/Soil Mechanics/Rock Mechanics	04		
2	Adequacy for the Project	70		
	Professional Experience			
i)	Total Professional Experience in handling Highway/Bridge projects < 10 years -0 10 years -11 Add one mark extra for each additional year of experience subject to maximum 4 (four) marks.	15		
ii)	Experience in similar capacity in Construction/Construction Supervision of major Highway Projects ((similar configuration (2/4/6 laning**) and above)) < 5 years -0 5 years -19 Add 2.0 (two) marks extra for each additional year of experience subject to maximum 6 (Six) marks.	25		
iii)	Experience in similar capacity in handling Similar Highway projects (of length 40% of project length or more of similar configuration (2/4/6 laning**) and above) < 2 nos -0 2 nos -19 Add 3.0 marks extra for each additional project subject to maximum 6 (six) marks.	25		
iv)	Experience in similar capacity on major Highway projects (of length 40% of project length or more of similar configuration (2/4/6 laning**) and above) in innovative/non traditional technology	5		

	1 Project -4 2 or more -5			
3	Employment with the Firm < 1 year - 0 1 year - 3 Add 0.5 marks for each subsequent year subject to maximum 2 marks	5		
	Total :	100		

Note:

- (1) Similar Capacity includes the following positions
 - i) On behalf of Consultant/Contractor: Quality Expert/ Material Engineer/Material Expert/ Quality Engineer/ Geo-Technical Expert.
 - ii) On behalf of Government: Executive Engineer
- (2) Only those projects (in numbers) will be considered for evaluation above, where the input of the personnel is not < 12 months
- (3) In case of experience on behalf of Consultant or Contractor, the experience shall be duly endorsed by the respective Government agency. In case of non-availability of endorsement from Govt. Agency, the experience uploaded on Infracon Portal will be taken into consideration. However, the key personnel/ bidder will be solely responsible for any fake information/ CV, which may result in debarment.

4.6 Road Safety Expert

S. No.	Description	Max. Points	Reference/ Details of projects Claimed for self assessment	Marks self assessed by the bidder
1	General Qualification	25		
	I) Graduate in Civil Engineering	21		
	II) Post Graduation in Traffic/Transportation/Safety Engineering or equivalent	04		
2	Adequacy for the Project	70		
	Professional Experience			
i)	Total Professional Experience in handling Highway/Bridge projects < 10 years -0 10 years -11 Add one mark extra for each additional year of experience subject to maximum 4 (four) marks.	15		

ii)	Experience in similar capacity in Road Safety works on Major Highway Project (similar configuration (2/4/6 laning**) and above). < 5 years -0 5 years -11 Add one mark extra for each additional year of experience subject to maximum 4 (four) marks.	15		
iii)	Experience in similar capacity in Road Safety Audits of 2/4/6-laning** Highway projects at different stages including at least one at design stage < 2 nos -0 2 nos -16 Add 2 marks extra for each additional project subject to maximum 4 marks.	20		
iv)	Experience in similar capacity in identification and improvement of black spots on Major Highway Project (similar configuration (2/4/6 laning**) and above) < 2 nos -0 2 nos -6 Add 2 marks extra for each additional improvement of black spots subject to maximum 4 marks.	10		
v)	Experience in similar capacity of preparing Road Safety Management Plans for Inter Urban Highway 1 project -3marks 2 or more -5 marks	5		
vi)	Experience in similar capacity in field of Road Safety Management Plan 1 project -3 marks 2 or more -5 marks	5		
3	Employment with the Firm < 1 year - 0 1 year - 3 Add 0.5 marks for each subsequent year subject to maximum 2 marks	5		
	Total :	100		

Note:

- (1) Similar Capacity includes the following positions
 - i) On behalf of Consultant/Contractor: **Road Safety Expert**
 - ii) In Government Organizations: Executive Engineer (or equivalent) and above
- (2) Only those projects (in numbers) will be considered for evaluation above, where the input of the personnel is not < 6 months

Note applicable to all key personnel:

1. If a key personnel has worked in next lower category to the similar capacity, the marks allotted to key personnel in the category 'experience in similar capacity' shall be reduced to two thirds of marks in this category. This shall be applicable for evaluation of all key personnel.
2. **Similar projects means 2/4/6 lane as applicable for the project for which RFP is invited. For 2-lane projects experience of 4/6 lane also to be considered with a multiplication factor of 1.5. Experience of 4/6 lane shall be considered interchangeably for 4/6 laning projects. For 4/6 laning projects, experience of 2 lane will be considered with a multiplication factor of 0.4.

SECTION 3. FORMATS FOR SUBMISSION OF FIRMS CREDENTIALS

The proposal should contain the following information in enclosed format attached at Appendix A.

- Year of Establishment of Firm
- Average annual turnover (last three years)

Note: The Firm shall submit Certificate of Incorporation and audited balance sheet for the last three years (FY 2014-2015, FY 2015-2016 and FY 2016-2017). For claiming experience of Highway projects, completion certificate from employer should be enclosed. The proposal should also contain the details of the key personnel viz. their name, qualification, expertise area, experience and years of association with the firm.

Appendix A

The following information related to the firm should be provided in the proposal.

- i. Name of the package applied for:-
- ii. Year of establishment of firm *

Consultant	Year of Establishment	Country	Type of Organization			
			Individual	Partnership	Corporation	Other
Individual / Lead Partner (of JV)/ Minor Partner of JV/Associate						

NOTE:- Year of Establishment of Lead Partner of JV shall be considered.

*Copy of Certificate of incorporation shall be submitted.

- iii. Office/Business Address/Telephone nos./Cable Address.
- iv. Narrative description of firm (Not more than 2 sheets)
- v. Name of two(2) principals who may be contacted with title and telephone number/fax number/e-mail.
- vi. Financial Statement of the last three years. **

Sl. No.	Particular	2014-15	2015-16	2016-17
i.	Annual turnover from Consulting business			
ii.	Total Assets			
iii.	Current Assets			

Balance Sheet/ Auditor Certificate of last 3 years (2014-15, 2015-16 and 2016-17) shall be submitted as evidence of Annual Turnover.

**a) The amount shall be stated in INR. (Consider 1US Dollar = Rs. and 1 Euro = Rs.) (This will be the exchange rates as per Reserve Bank of India rounded off to nearest Rupee applicable at the time of RFP invitation).

- b) The currency conversion rate for the respective years shall be mentioned for other international currencies.

- vii. Experience as Authority Engineer/Independent Consultant/Construction supervision of Highway projects, separately for PPP and non-PPP Projects during the last 7 years. ***

S No	Projects Name / Year	Type of Services rendered	Description of Highway Project/ Length (kms)	Client (with complete address, contact person, telephone Nos. and Fax Nos.)	Total Fee for the Consultancy Assignment (INR)	Fee received by Applicant (in case of JV/Association)	%age of total fee received by the firm	Approx. cost of Highway Project	Period
1	2	3	4	5	6	7	8	9	10
		A. Completed / Substantially completed projects: 1. 2. 3. B. Projects in progress: 1. 2. 3.							

- viii. Experience in DPR/ Feasibility Study cum Preliminary Design Report preparation of 2/4/6 laning / Bridge Highway Projects separately for the PPP and non-PPP projects during the last 7 years. ***

S No	Projects Name / Year	Type of Services Rendered	Length of Project (kms)	Client (with complete address, contact person, telephone Nos. and Fax Nos.)	Total Fee for the Consultancy Assignment (INR)	Fee received by Applicant (in case of JV/Association)	%age of total fee received by the firm	Period
1	2	3	4	5	6	7	8	9

- ***a) 2/4/6 lane/Bridge work as applicable for the project for which RFP is invited. For 2-lane projects experience of 4/6 lane also to be considered with a multiplication factor of 1.5. Experience of 4/6 lane shall be considered interchangeably for 4/6 laning projects. For 4/6 lane projects, experience of 2 lane will be considered with a multiplication factor of 0.4, but only for those 2-lane projects whose cost of consultancy services was more than Rs.3.0 crores. For standalone bridge projects, experience in bridge work (either standalone project or as a part of road project) only be considered.

- b) Only those projects, to be included in the table which are Highways Projects and for which clients certificates from the concerned Government agencies are enclosed with the proposal.
- c) The details of bridges having length more than 200m (500m in case the project consist of bridges of length more than 500m) in the listed projects is to be specifically mentioned.
- d) The weightage given for experience of a firm would depend on the role of the firm in the respective assignments. The firm's experience would get full credit if it was the sole firm in the respective assignment. If the applicant firm has completed projects as JV with some other firms, weightage shall be given as per the JV share***. However if the applicant firm has executed the project as associate with some other firms, 25% weightage shall be given to the applicant firm for the projects completed under such association
- e) For weightage of experience in any past Consultancy assignment, experience certificate from the client shall be submitted. In absence of clear demarcation of JV share in client certificate, the weightage will be treated as 60 % for lead partner and 40% for minor partner. Annual turnover duly certified by Chartered Accountant shall be accepted. In case of non-availability of such documents no weightage of turnover/experience will be considered.
- (ix) Assignments on hand including those for which the Letter of Acceptance from the clients received as on 7 days prior to due date for submission of proposals: The details shall be given in the following format.

S. No	Name of Assignment	Client	Role of the firm Sole, Lead/ Other in JV or sub-consultant	Date of letter of Acceptance	Date of Agreement if signed	Present status of Assignment	Team Members provided by the firm		
							Name	DOB	Position
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)

Key Personnel	Number of key personnel employed		
	Sole Applicant (Lead Member in case of JV)	JV (1)	
Team Leader cum Senior Highway Engineer			
Resident cum Highway Engineer			
Bridge/Structural Engineer			
Senior Pavement Specialist			
Senior Quality cum Material Expert			
Road Safety Expert			

SECTION 4. FORMAT FOR SUBMISSION OF TECHNICAL PROPOSAL

Appendix B-1	Technical proposal submission form.
Appendix B-2	Site Appreciation
Appendix B-3	Approach paper on methodology for performing the assignment
Appendix B-4	Facility for field investigation and testing
Appendix B-5	Composition of the Team and Task(s) of each Team member
Appendix B-6	Curriculum vitae of proposed Professional staff.
Appendix B-7	Time schedule for deployment of Professional staff
Appendix B-8	Activity (works) schedule.
Appendix B-9	Affidavit - Correctness of Experience claimed by the Firms
Appendix B-10	Integrity Pact

APPENDIX B-1**Technical proposal submission form.**

FROM (Name of Firm)

To: (Name and Address of Client)

Ladies/Gentlemen:

Subject: Submission of Technical and Financial Proposal for engagement as Authority Engineer for the EPC work.

We, the undersigned, offer to provide the consulting services for the above in accordance with your Request for Proposal dated (Date), and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and a Financial Proposal sealed under a separate envelope for the above mentioned work.

My/Our registration No. on Infracon is and my/our Infracon Team ID is

Our Proposal is binding upon us. We understand you are not bound to accept any Proposal you receive

We remain,

Yours sincerely,

Managing Director/Head of the
firm/Authorised Representative of the
firm + *
Name of the firm
Address

*Lead Member in case of JV

APPENDIX B-2 : SITE APPRECIATION

Shall give details of site as per actual site visit and data provided in RFP and collected from site supported by photographs to demonstrate that responsible personnel of the Consultant have actually visited the site and familiarized with the salient details/complexities and scope of services.

APPENDIX B-3 : APPROACH PAPER ON METHODOLOGY FOR PERFORMING THE ASSIGNMENT

The approach and methodology will be detailed precisely under the following topics.

- 1) Methodology for services, surveying, road condition data collection and analysis [not more than 2 pages]
 - 2) Key challenges foreseen and proposed solutions in carrying out the assignment [not more than 1 page]
 - 3) Quality Audit methodology including Quality Assurance Plan [not more than 6 pages]
-

APPENDIX B-4 : FACILITY FOR FIELD INVESTIGATION AND TESTING

1. State whether applicant has in-house (created in house at site)/ outsourced/ not available facility for
 - Surface defects detection and roughness measurement using Network Survey Vehicle
 - Pavement strength measurement using FWD
 - Bridge inspection using Mobile Bridge Inspection Unit
 - Road signs inspection using Retro Reflectometer
2. In-case answer to 1 is available (created in house at site) a list of field investigation and testing equipment is to be attached
3. In case answer to 1 is outsourced/not available - arrangements made or proposed to be made for each of the above field investigations is to be attached
4. For experience in NSV, FWD, MBIU and reflectometer, references need to be provided in the following format:

REFERENCES**Relevant Services Carried Which Best Illustrate Qualifications**

The following information should be provided in the format below for each reference assignment for which your firm, either individually as a corporate entity or as one of the major companies within a consortium, was legally contracted by the client:

Assignment Name:		Country:
Location within Country :		Professional Staff Provided by your firm:
Name of Client :		No. of Staff :
Address :		No. of Staff Months :
Technology Used:		
Start Date (Month / Year)	Completion Date (Month / Year)	Approx. Value of Services : (in INR/current USD) :
Name of JV/Association Firm(s) if any :		No. of Months of Professional Staff provided by Associated Firm(s)
Status of your Company in the Assignment i.e., Sole/Lead Member/Other Member/Associate		
Narrative Description of Project :		
Description of Actual Services Provided by your Company:		

Signature of Authorised Representative

(Certificate from Employer regarding experience should be furnished)

APPENDIX B-5: COMPOSITION OF THE TEAM PERSONNEL, AND TASK(S) OF EACH TEAM MEMBER

1. Technical/Managerial Staff

Sl. No.	Name	Position	Task
1			
2			
3			
4			
...			
...			

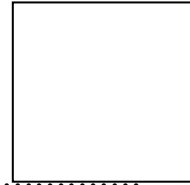
2. Support Staff

Sl. No.	Name	Position	Task
1			
2			
3			
4			
...			
...			

APPENDIX B-6 : FORMAT OF CURRICULUM VITAE (CV) FOR PROPOSED PROFESSIONAL STAFF

Proposed Position :

Photograph



Name of Firm :

Name of Staff :

Profession :

Date of Birth :

Years with Firm/Entity : Nationality :

..... Membership of Professional Societies

:

Detailed Task Assigned : Please attach printout of CV alongwith all the relevant details uploaded on infracon portal.

Certification by the Candidate

I, the undersigned, (Name and Address) undertake that this CV correctly describes myself, my qualifications and my experience and Employer would be at liberty to debar me if any information given in the CV, in particular the Summary of Qualification & Experience vis-à-vis the requirements as per TOR is found incorrect. I further undertake that I have neither been debarred by NHAI or any other central/stage government organization nor left any assignment with the consultants engaged by Employer / contracting firm (firm to be supervised now) for any continuing work of Employer without completing my assignment. I will be available for the entire duration of the current project (named....). If I leave this assignment in the middle of the work, Employer would be at liberty to debar me from taking any assignment in any of the Employer works for an appropriate period of time to be decided by the Employer. I have no objection if my services are extended by the Employer for this work in future.

I further undertake that my CV is being proposed for this project by ----- (the applicant firm) and I have not given consent to any other consultant(s) to propose my CV for any position for this project.

I further undertake that if due to my inability to work on this project due to unavoidable circumstances, due to which consultant's firm is forced to seek replacement. In such unavoidable circumstances, I shall not undertake any employment in Employer projects during the period of assignment of this project and Employer shall consider my CV invalid till such time.

I undertake that I have no objection in uploading/hosting of my credentials by Employer in public domain.

For Key Personnel having intermittent inputs, add the following:

I further certify that I am associated with the following assignments as on date (as on 7 days prior to due date for submission of proposal) including those for which LOA has been received by the firm and the inputs in these assignments shall not effect the work of the current assignment.

Name of Assignment	Client	Date of LOA	Likely start (Month / Year)	Likely end (Month / Year)	Total input of the person (man-months)

.....
(Signature of Key Personnel)

Date

(Day/Month/Year)

The Consultant should carryout self-evaluation based on the evaluation criteria at Appendix-EC and furnish the same here. While submitting the self-evaluation along with bid, Consultant shall make references to the documents which have been relied upon in his self-evaluation.

Certification by the firm

The undersigned on behalf of -----(name of consulting firm) certify that Shri-----
- (name of proposed personnel) to the best of our knowledge has neither been debarred by NHAI or any other Central/State Government organization nor left his assignment with any other consulting firm engaged by the Employer / Contracting firm (firm to be supervised now) for the ongoing projects. We understand that if the information about leaving the past assignment is known to the Employer, Employer would be at liberty to remove the personnel from the present assignment and debar him for an appropriate period to be decided by the Employer.

..... Date : (Day/Month/Year)

[Signature of authorized representative of the Firm]

- a) Deleted.
- b) Deleted.
- c) Deleted.
- d) Deleted.
- e) Deleted.
- f) Deleted

APPENDIX B-7: TIME SCHEDULE FOR PROFESSIONAL PERSONNEL**A. Activity Schedule**

Sl. No.	Name	Position	Monthwise Program (in form of Bar Chart) [1 st , 2 nd , etc. are months from the start of assignment]													
			1 st	2 nd	3 rd	4 th	5 th	6 th	7 th	8 th	9 th	10 th	11 th	12 th and subsequent year	Number of Months	
1																Subtotal (1)
2																Subtotal (2)
3																Subtotal (3)
4																Subtotal (4)
-																-
-																-

APPENDIX B-8 : ACTIVITY (WORKS) SCHEDULE**A. Activity Schedule**

Sl. No.	Item of Activity (Works)	Monthwise Program (in form of Bar Chart)											
		1 st	2 nd	3 rd	4 th	5 th	6 th	7 th	8 th	9 th	10 th	11 th	12 th
1												
2												
3												
4												
-												
-												

B. Completion and Submission of Reports

S.No	Reports :	Programme : (Date)
1	Monthly reports (Design and Construction)	
2	Quarterly Reports	
3	Various others reports as provided in the Concession Agreement such as	

**APPENDIX B-9: AFFIDAVIT FOR CORRECTNESS OF CV OF KEY PERSONNEL
AND EXPERIENCE CLAIMED BY THE FIRMS
(To be submitted on non-judicial Stamp Paper)**

I, the undersigned, on behalf of _____ (name of the Consultant submitting the proposal), do hereby certify that the details furnished in this proposal including CV of key personnel and experience claimed by the firm/firms are true and correct to the best of my knowledge and belief.

**Managing Director/Head of the
Firm/Authorised Representative of
the firm*
Address
*Lead Member in case of JV**

APPENDIX B-10: INTEGRITY PACT

(To be executed on plain paper and submitted along with Technical Bid/Tender documents for tenders having a value between Rs.5 Cr and 100 Cr. To be signed by the bidder and same signatory competent/ authorized to sign the relevant contract on behalf of the NHAI)

Tender No. _____

This integrity Pact is made at _____ on this _____ day of _____ 2016.

Between

National Highways Authority of India (NHAI), a statutory body constituted under the National Highways Authority of India Act, 1988, which has been entrusted with the responsibility of development, maintenance and management of National Highways, having its office at G-5 & G-6, Sector-10, Dwarka, New Delhi, hereinafter referred to as “**The Principal**”, which expression shall unless repugnant to the meaning or contract thereof include its successors and permitted assigns.

and

_____ hereinafter referred to as “**The Bidder/Contractor /Concessionaire/Consultant**” and which expression shall unless repugnant to be meaning or context thereof include its successors and permitted assigns.

Preamble

Whereas, the Principal intends to award, under laid down organizational procedures, contract/s for “**Authority Engineer Services for the project of ***** in the State of ***** under NHDP Phase-**** on ***** mode**”. The Principal values full compliance with all relevant laws of the land, rules of land, regulations, economic use of resources and of fairness/ transparency in its relations with its Bidder(s) and/ or Contractor(s)/Concessionaire(s)/ Consultant(s).

And whereas to meet the purpose aforesaid, both the parties have agreed to enter into this Integrity Pact (hereafter referred to as Integrity Pact) the terms and conditions of which shall also be read as integral part and parcel of the Tender documents and contract between the parties. Now, therefore, in consideration of mutual covenants stipulated in this pact, the parties hereby agree as follows and this pact witnesses as under:-

Article-1 Commitments of the Principal

- 1) The Principal commits itself to take all measures necessary to prevent corruption and to observe the following principles:-
 - a. No employee of the Principal, personally or through family members, will in connection with the tender for, or the execution of a contract, demand, take a promise for or accept, for self, or third person, any material of immaterial benefit which the person is not legally entitled to.
 - b. The Principal will, during the tender process treat all Bidder(s) with equity and reason. The Principal will in particular, before and during the tender process, provide to all Bidder(s) the same information and will not provide to any Bidder(s) confidential/ additional information through which the Bidder(s) could obtain an advantage in relation to the tender process or the contract execution.
 - c. The Principal will exclude all known prejudiced persons from the process, whose conduct in the past has been of biased nature.
- 2) If the Principal obtains information on the conduct of any of its employees which is a criminal offence under the IPC/PC Act or any other Statutory Acts or if there be a substantive suspicion in this regard, the Principal will inform the Chief Vigilance Officer

and in addition can initiate disciplinary actions as per its internal laid down Rules/Regulations.

Article - 2 Commitments of the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s).

The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) commit himself to take all measures necessary to prevent corruption. He commits himself to observe the following principles during his participation in the tender process and during the contract execution.

- a. The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) will not, directly or through any other person or firm, offer, promise or give to any of the Principal's employees involved in the tender process or the execution of the contract or to any third person any material or other benefit which he/she is not legally entitled to, in order to obtain in exchange any advantage of any kind whatsoever during the tender process or during the execution of the contract.
- b. The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) will not enter with other Bidders into any undisclosed agreement or understanding, whether formal or informal. This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other actions to restrict competitiveness or to introduce cartelization in the bidding process.
- c. The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) will not commit any offence under the relevant IPC/PC Act and other Statutory Acts; further the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) will not use improperly, for purposes of completion or personal gain, or pass on to others, any information or document provided by the Principal as part of the business relationship, regarding plans, technical proposals and business details, including information contained or transmitted electronically.
- d. The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) of foreign-origin shall disclose the name and address of the Agents/ Representatives in India, if any. Similarly the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) of Indian Nationality shall furnish the name and address of the foreign principle, if any.
- e. The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) will, when presenting his bid, disclose any and all payments he has made, is committed to or intends to make to agents, brokers or any other intermediaries in connection with the award of the contract. He shall also disclose the details of services agreed upon for such payments.
- f. The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) will not instigate third persons to commit offences outlined above or be an accessory to such offences.
- g. The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) will not bring any outside influence through any Govt. bodies/quarters directly or indirectly on the bidding process in furtherance of his bid.

Article - 3 Disqualification from tender process and exclusion from future contracts.

1. If the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s), before award or during execution has committed a transgression through a violation of any provision of Article-2, above or in any other form such as to put his reliability or credibility in question, the Principal is entitled to disqualify the Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) from the tender process.
2. If the Bidder/ Contractor/ Concessionaire/ Consultant has committed a transgression through a violation of Article-2 such as to put his reliability or credibility into question, the Principal shall be entitled to exclude including blacklist and put on holiday the Bidder/ Contractor/ Concessionaire/ Consultant for any future tenders/ contract award process. The imposition and duration of the exclusion will be determined by the severity of the transgression. The severity will be determined by the Principal taking into consideration the full facts and circumstances of each case particularly taking into account the number of transgressions, the position of the transgressors within the company hierarchy of the Bidder/ Contractor/ Concessionaire/ Consultant and the amount of the damage. The exclusion will be imposed for a minimum of 1 year.
3. A transgression is considered to have occurred if the Principal after due consideration of the available evidence concludes that “On the basis of facts available there are no material doubts”.
4. The Bidder/ Contractor/ Concessionaire/ Consultant with its free consent and without any influence agrees and undertakes to respect and uphold the Principal’s absolute rights to resort to and impose such exclusion and further accepts and undertakes not to challenge or question such exclusion on any ground, including the lack of any hearing before the decision to resort to such exclusion is taken. This undertaking is given freely and after obtaining independent legal advice.
5. The decision of the Principal to the effect that a breach of the provisions of this Integrity Pact has been committed by the Bidder/ Contractor/ Concessionaire/ Consultant shall be final and binding on the Bidder/ Contractor/ Concessionaire/ Consultant.
6. On occurrence of any sanctions/ disqualification etc arising out from violation of integrity pact, the Bidder/ Contractor/ Concessionaire/ Consultant shall not be entitled for any compensation on this account.
7. Subject to full satisfaction of the Principal, the exclusion of the Bidder/ Contractor/ Concessionaire/ Consultant could be revoked by the Principal if the Bidder/ Contractor/ Concessionaire/ Consultant can prove that he has restored/ recouped the damage caused by him and has installed a suitable corruption prevention system in his organization.

Article - 4 Compensation for Damages.

1. If the Principal has disqualified the Bidder(s) from the tender process prior to the award according to Article-3, the Principal shall be entitled to forfeit the Earnest Money Deposit/ Bid Security or demand and recover the damages equivalent to Earnest Money Deposit/ Bid Security apart from any other legal right that may have accrued to the Principal.
2. In addition to 1 above, the Principal shall be entitled to take recourse to the relevant provisions of the contract related to Termination of Contract due to Contractor/ Concessionaire/Consultant's Default. In such case, the Principal shall be entitled to forfeit the Performance Bank Guarantee of the Contractor/ Concessionaire/ Consultant and/ or demand and recover liquidated and all damages as per the provisions of the contract/concession agreement against Termination.

Article - 5 Previous Transgression

1. The Bidder declares that no previous transgressions occurred in the last 3 years immediately before signing of this Integrity Pact with any other Company in any country conforming to the anti corruption/ Transparency International (TI) approach or with any other Public Sector Enterprise/ Undertaking in India or any Government Department in India that could justify his exclusion from the tender process.
2. If the Bidder makes incorrect statement on this subject, he can be disqualified from the tender process or action for his exclusion can be taken as mentioned under Article-3 above for transgressions of Article-2 and shall be liable for compensation for damages as per Article-4 above.

Article-6 Equal treatments of all Bidders/ Contractors/ Concessionaires/ Consultants/ Subcontractors.

1. The Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s) undertake(s) to demand from all sub-contractors a commitment in conformity with this Integrity Pact, and to submit it to the Principal before contract signing.
2. The Principal will enter into agreements with identical conditions as this one with all Bidders/ Contractors/ Concessionaires/ Consultants and Subcontractors.
3. The Principal will disqualify from the tender process all Bidders who do not sign this Pact or violate its provisions.

Article - 7 Criminal charges against violating Bidder(s)/ Contractor(s)/ Concessionaire(s)/ Consultant(s)/ Sub-contractor(s).

If the Principal obtains knowledge of conduct of a Bidder/ Contractor/ Concessionaire/ Consultant or Subcontractor, or of an employee or a representative or an associate of a Bidder/ Contractor/ Concessionaire/ Consultant or Subcontractor, which constitutes corruption, or if the Principal has substantive suspicion in this regard, the Principal will inform the same to the Chief Vigilance Officer.

Article - 8 Pact Duration

This Pact begins when both parties have legally signed it. (In case of EPC i.e. for projects funded by Principal and consultancy services). It expires for the Contractor/ Consultant 12 months after his Defect Liability period is over or 12 months after his last payment under the contract whichever is later and for all other unsuccessful Bidders 6 months after this Contract has been awarded. (In case of BOT Projects) It expires for the concessionaire 24 months after

his concession period is over and for all other unsuccessful Bidders 6 months after this Contract has been awarded.

If any claim is made/ lodged during this time, the same shall be binding and continue to be valid despite the lapse of this pact as specified above, unless it is discharged/ determined by Chairman of NHAI.

Article - 10 Other Provisions.

1. This pact is subject to Indian Law. Place of performance and jurisdiction is the Registered Office of the Principal, i.e. New Delhi.
2. Changes and supplements as well as termination notices need to be made in writing.
3. If the Bidder/Contractor/Concessionaire/Consultant is a partnership or a consortium, this pact must be signed by all partners or consortium members.
4. Should one or several provisions of this agreement turn out to be invalid, the remainder of this agreement remains valid. In this case, the parties will strive to come to an agreement to their original intentions.
5. Any disputes/ differences arising between the parties with regard to term of this pact, any action taken by the Principal in accordance with this Pact or interpretation thereof shall not be subject to any Arbitration.
6. The actions stipulated in this Integrity Pact are without prejudice to any other legal action that may follow in accordance with the provisions of the extant law in force relating to any civil or criminal proceedings.

In witness whereof the parties have signed and executed this Pact at the place and date first done mentioned in the presence of following witness:-

(For & On behalf of the Principal)

(For & On behalf of the Bidder/
Contractor/ Concessionaire/ Consultant
)

(Office Seal)

Place_____

Date_____

Witness 1 :

(Name & Address):_____

Witness 2 :

(Name & Address): _____

SECTION 5: FORMAT FOR SUBMISSION OF FINANCIAL PROPOSAL.

Appendix C-1 Financial proposal submission form

Appendix C-2 Summary of costs

Appendix C-3 Breakdown of costs

APPENDIX C-1 : FINANCIAL PROPOSAL SUBMISSION FORM**FROM : (Name of Firm)****TO :****Address of Employer****Subject :**

We, the undersigned, offer to provide the consulting services for the above in accordance with your Request for Proposal dated [Date], and our proposal. Our attached financial proposal is for the sum of [Amount in words and figures]. This amount is exclusive of the local taxes which we have estimated at (Amount in Words and Figures).

Our financial proposal shall be binding upon us up to the expiration of the validity period of the proposal, i.e., [Date].

We undertake that, in competing for (and, if the award is made to us, in executing) the above contract, we will strictly observe the laws against fraud and corruption in force in India namely "Prevention of Corruption Act 1988".

Commission and gratuities, if any, paid or to be paid by us to agents relating to this proposal and contract execution, if we are awarded the contract, are listed below:

Name and Address of Agents	Amount and Currency	Purpose of Commission or Gratuity
.....
.....

We understand you are not bound to accept any proposal you receive.

We remain,
Yours sincerely,

Managing Director/Head of the firm/Authorised Representative of the firm*

Name of the firm

Address

*Lead Member in case of JV

APPENDIX C-2 : SUMMARY OF COSTS

No.	Descriptio	Amount (Rs.)
I	Remuneration for Local Professional Staff	
II	Supporting Staff	
III	Transportation	
IV	Duty Travel to Site	
V	Office Rent	
VI	Office Supplies, Utilities and Communication	
VII	Office Furniture and Equipment	
VIII	Reports and Document Printing	
IX	Road Survey Equipment	
X	Contingencies	
	Sub Total	
	Goods and Services Tax Payable in India	
	Total Costs (Including Tax)	

Note: Payments will be made as per stipulations of the Conditions of Contract..

APPENDIX C-3 : BREAKDOWN OF LOCAL CURRENCY COSTS**I. REMUNERATION FOR LOCAL PROFESSIONAL STAFF**

For project length 0-100 km

No.	Position	Name	Construction Period 24 months + DLP 48 months		
			Rate	No. of man-months*	Amount
	Professional Staff				
1.	Team Leader cum Senior Highway Engineer			36	
2.	Resident cum Highway Engineer			72	
3.	Bridge/Structural Engineer			36	
4.	Senior Pavement Specialist			24	
5.	Senior Quality cum Material Expert			24	
6.	Road Safety Expert			20	
	Sub - Total			212	
	Sub-professional				
1.	Survey Engineer	TBN		32	
2.	Assistant Highway Engineer	TBN		96	
3.	CAD Expert	TBN		12	
4.	Environmental Engineer	TBN		6	
5.	Assistant Bridge Engineer	TBN		24	
6.	Assistant Quality cum Material Engineer	TBN		48	
7.	Electrical Engineer	TBN		7	
8.	HTMS/Toll Expert	TBN		2	
9.	Quantity Surveyor	TBN		18	
10.	Horticulture cum Landscaping Expert	TBN		30	
	Sub Total			275	
	Total			487	

TBN = To Be Named

*The man-month against each key personnel/sub - professional shall be same as specified in Enclosure A of TOR.

II. Support Staff

No.	Position	Name	Staff Months	Billing Rate ()	Amount ()
1.	Office Manager	TBN			
2.	Accountant cum Cashier	TBN			
3.	Steno cum Computer Operator	TBN			
4.	Office Boy (1 no)	TBN			
				Total :	

Note : Billing rates as indicated above shall be increased in accordance of clause 6.2(a) Special Conditions of Contract for the personnel of all categories namely (i) key Personnel; (ii) sub-Professional personnel and (iii) Support staff. The increase as above shall be payable only on the remuneration part of Key Personnel, Sub-Professional Personnel and support staff. However, for evaluation of Bid proposals, the quoted initial rate shall be multiplied by the total time input for each position on this contract, i.e. without considering the increase in the billing rates

III. Transportation (Fixed rate on rental basis)

The vehicles provided by the Consultants shall include the cost for rental, drivers, operation, maintenance, repairs, insurance, etc. for all complete approx. 3000km/month run

S.No	Description of Vehicles	Qty. (No. of vehicle-month)		Total	Rate/ Vehicle-Month	Amount
		During Construction Period	During Development and O&M Period			
1						
2						
	Total					

IV. Duty Travel to Site (Fixed Costs) (For all Lengths of projects) : Professional and Sub-Professional Staff

Trips	Number of Trips	Rate*	Amount

* Rate quoted includes Hotel charges, travel cost etc. complete.

V. Office Rent (Fixed Costs)- Minimum 200 sqm area of office shall be rented. (For project Length upto 100 km)

The rent cost includes electricity and water charges, maintenance, Cleaning, repairs, etc. complete.

Nos of Months	Rate/month	Amount
72		

The rent cost includes electricity and water charges, maintenance, Cleaning, repairs, etc. complete.

VI. Office Supplies, Utilities and Communication (Fixed Costs)

No	Item	Months	Monthly Rate	Amount in Rs.
1.	Office Supplies			
2.	Drafting Supplies			
3.	Computer Running Costs			
4.	Domestic and International Communication			

VII. Office Furniture and Equipment (Rental)

The cost shall include rental charges towards all such furniture and equipment as required for proper functioning of office. Office furniture shall include executive tables, chairs, visitor chairs, steel almirahs, computer furniture, conference table etc. Office equipment shall include as a minimum of telephone (2 external & 10 internal lines), photocopier (15ppm, 12000 copies per month with A3 & A4 input) fax machine, PCs(5 No., Intel Core 2 Duo E8300, 19" colour TFT, Cache-6MB, RAM-2 GB, HDD-250 GB, DVD Writer, Key board, optical scroll mouse, MS-Windows Vista Business, pre loaded anti virus etc.), laser printers (2 no., 14 ppm, 266 MHZ, 5000 pages per month, 600x600 dpi or better etc., Engineering Plan printer (1 no.), binding machine (1 no.), plotter A0 size, overhead projector, AC (4 no., 1.5 Ton), Water Coolers (as required)etc.

Nos of Months	Rate/month	Amount
72		

VIII. Reports and Document Printing

No.	Description	No. of Reports	No. of Copies per Report	Total Nos. of copies	Rate per Copy ()	Amount
1	Monthly reports (Design and Construction)	72	3	216		
2	Half yearly Reports	8	3	24		
3	Various others reports as provided in the Concession Agreement such as Completion Report	5	2	10		
				Total		

IX. Road Survey Equipment

The cost shall include carrying out survey using equipment, manpower, software and report processing.

Item	Rate per no. of time survey to be conducted (INR)	No of times survey to be conducted	Amount (INR)
Surface defects detection and roughness measurement using Network Survey Vehicle		8	
Pavement strength measurement using FWD		4	
Bridge inspection using Mobile Bridge Inspection Unit		8	
Road signs inspection using Retro Reflectometer		8	
		Total	

X.

Contingencies

A fixed amount of Indian Rupees ONE MILLION shall be included in the Financial Proposal. The provisions of Contingency shall be operated with the specific approval from the Competent Authority in NHAI.

SECTION 6: TERMS OF REFERENCE FOR AUTHORITY'S ENGINEER

[Note: The term "Agreement" and clauses thereof refer to the EPC Agreement dated----- entered between Authority and -----(the Contractor) for the work of Upgradation to two lane with paved shoulders of Belakeri Port to Kumta –Sirsi road from Km. 0.000 to Km. 4.265 of Belekeri Port link road and from Km. 4.965 to Km. 60.000 of SH-69 (excluding Stretch of NH-66) in Karnataka State on EPC Mode (Package - I) under Bharatmala Pariyojana Phase I.

1. Scope

1.1 These Terms of Reference (the "TOR") for the Authority's Engineer are being specified pursuant to the EPC Agreement dated (the "Agreement"), which has been entered into between the Authority and (the "Contractor") for Upgradation to two lane with paved shoulders of Belakeri Port to Kumta –Sirsi road from Km. 0.000 to Km. 4.265 of Belekeri Port link road and from Km. 4.965 to Km. 60.000 of SH-69 (excluding Stretch of NH-66) in Karnataka State on EPC Mode (Package - I) under Bharatmala Pariyojana Phase I, and a copy of which is annexed hereto and marked as Annex-A to form part of this TOR.

1.2 The TOR shall apply to construction and maintenance of the Project Highway.

1.1

2 Definitions and interpretation

2.1 The words and expressions beginning with or in capital letters and not defined herein but defined in the Agreement shall have, unless repugnant to the context, the meaning respectively assigned to them in the Agreement.

2.2 References to Articles, Clauses and Schedules in this TOR shall, except where the context otherwise requires, be deemed to be reference to the Articles, Clauses and Schedules of the Agreement, and references to Paragraphs shall be deemed to be references to Paragraphs of this TOR.

2.3 The rules of interpretation stated in Clauses 1.2, 1.3 and 1.4 of the Agreement shall apply, *mutatis mutandis*, to this TOR.

3 General

3.1 The Authority's Engineer shall discharge its duties in a fair, impartial and efficient manner, consistent with the highest standards of professional integrity and Good Industry Practice.

3.2 The Authority's Engineer shall perform the duties and exercise the authority in accordance with the provisions of this Agreement, but subject to obtaining prior written approval of the Authority before determining.

(a) any Time Extension.

(b) Any additional cost to be paid by the Authority to the Contractor;

(c) The Termination Payment; or

(d) Any other matter which is not specified in (a), (b) or (c) above and which creates an obligation or liability on either Party for a sum exceeding Rs. 5,000,000 (Rs. Fifty lakh.)

3.3 The Authority's Engineer shall submit regular periodic reports, once every month, to the Authority in respect of its duties and functions under this Agreement. Such reports shall be submitted by the Authority's Engineer within 10 (ten) days of the beginning of every month.

- 3.4 The Authority's Engineer shall inform the Contractor of any delegation of its duties and responsibilities to its suitably qualified and experienced personnel; provided, however, that it shall not delegate the authority to refer any matter for the Authority's prior approval in accordance with the provisions of Clause 18.2 Model EPC Agreement.
- 3.5 The Authority's Engineer shall aid and advise the Authority on any proposal for Change of Scope under Article 13.

3.6 In the event of any disagreement between the Parties regarding the meaning, scope and nature of Good Industry Practice, as set forth in any provision of the Agreement, the Authority's Engineer shall specify such meaning, scope and nature by issuing a reasoned written statement relying on good industry practice and authentic literature.

4 Construction Period

- 4.1 During the Construction Period, the Authority's Engineer shall review the Drawings furnished by the Contractor along with supporting data, including the geo-technical and hydrological investigations, characteristics of materials from borrow areas and quarry sites, topographical surveys, and the recommendations of the Safety Consultant in accordance with the provisions of Clause 10.1.6 of Model EPC Agreement. The Authority's Engineer shall complete such review and send its observations to the Authority and the Contractor within 15 (fifteen) days of receipt of such Drawings; provided, however that in case of a Major Bridge or Structure, the aforesaid period of 15 (fifteen) days may be extended upto 30 (thirty) days. In particular, such comments shall specify the conformity or otherwise of such Drawings with the Scope of the Project and Specifications and Standards.
- 4.2 The Authority's Engineer shall review any revised Drawings sent to it by the Contractor and furnish its comments within 10 (ten) days of receiving such Drawings. The review of drawing should be authenticated by Authority's Engineer.
- 4.3 Quality Assurance Manual and Plan forms the basis of quality of the work. It is therefore essential that the Quality Assurance Manual and Plan prepared by the Concessionaire be checked and approved. Thus, the Consultant shall check contents of Quality Assurance Plan and Manual of Concessionaire as per requirements of Quality Management System (as per ISO 9001), IRC: SP: 47-1998 and IRC: SP: 57-2000 for road bridges and roads respectively. The Consultant shall also offer their comments for modifying/ improving the document. After receiving the corrected document, the Consultant shall review and formally approve the QAM and Quality Plan and send one copy to the Authority. The Authority's Engineer shall complete the review of the methodology proposed to be adopted by the Contractor for executing the Works, and convey its comments to the Contractor within a period of 10 (ten) days from the date of receipt of the proposed methodology from the Contractor.
- 4.4 The Authority's Engineer shall grant written approval to the Contractor, where necessary, for interruption and diversion of the flow of traffic in the existing lane(s) of the Project Highway for purposes of maintenance during the Construction Period in accordance with the provisions of Clause 10.4 Model EPC Agreement.
- 4.5 The Authority's Engineer shall review the monthly progress report furnished by the Contractor and send its comments thereon to the Authority and the contractor within 7 (seven) days of receipt of such report.
- 4.6 On a daily basis, the concerned key personnel of Authority Engineer shall inspect the Construction Works. Following activities need to be undertaken during the visits.

- Review of construction including progress, quality and safety of construction
- Inspection of defects and deficiencies in construction works

- Witnessing quality inspection tests at labs established by Concessionaire on a sample basis

Review of quality of work shall be done in reference to Quality Assurance Plan (QAP)/Manual and ISO 9001:2008, IRC: SP: 47-1998 and IRC: SP: 57-2000 for road bridges and roads respectively. The consultant also needs to capture following documents and send to NHA field office via email on a daily basis

- Scanned copy of filled RFI (Request for Inspection) form including commentary on 'Satisfactory/Unsatisfactory' nature of work completed by Concessionaire
- Daily inspection report Proforma as provided in Annexure I
- Readings of quality inspection tests witnessed by the Consultant
- Minimum 6 high resolution photographs supporting the remarks made by the Consultant in RFI form

Team Leader will be responsible for sending daily emails to NHA field office

- 4.7 On a monthly basis, the Authority Engineer shall prepare a **Monthly Inspection Report** in accordance with the format prescribed in Annexure V setting forth an overview of the status, progress, quality and safety of construction, including the work methodology adopted, the materials used and their sources, and conformity of Construction Works with the Scope of the Project and the Specifications and Standards. In a separate section of the Inspection Report, the Authority Engineer shall describe in reasonable detail the lapses, defects or deficiencies observed by it in the construction of the Project Highway. The Authority Engineer shall send a copy of its Inspection Report to the Authority and the Concessionaire latest by 7th of every month. Key sections of the Monthly Progress Report are as follows.

S No.	Section	Sub-Sections
1	Executive Summary	1.1 Construction progress in current month
		1.2 Summary of strip plan
		1.3 Detailed strip plan
		1.4 Current issues and recommended actions by AE
2	Project Overview	2.1 Salient Features of the Project
		2.2 Project Milestones
		2.3 Location Map
		2.4 Key Plan
3	Critical issues and Action log	3.1 Pending issues and action log
		3.2 Obligations as per contract
4	Physical Progress	4.1 Detailed physical progress by component
5	Land Acquisition and Clearances	5.1 LA summary
		5.2 LA detail by CALA
		5.3 LA detail by village
		5.4 Manpower with each CALA
		5.5 Clearances summary
		5.6 Status of utility shifting
6	Change of Scope	6.1 Status of pending COS proposals
7	Mobilization of Resources	7.1 Resource mobilization by contractor/ concessionaire
8	Financial Progress Details	8.1 Pen picture- Escrow
		8.2 Escrow details
9	Summary of quality control tests	9.1 Tests witnessed by IE/AE
		9.2 Tests conducted by IE/AE
10		10.1 Critical issues and action log

S No.	Section	Sub-Sections
	Monitoring of maintenance obligations during construction phase	10.2 Cumulative defects and deficiencies
		10.3 Status of damages
11	Safety features	11.1 Pen picture on safety features at construction site
		11.2 Accident report
12	Annexures	Annex 1: Detailed list of physical components as per Schedule G
		Annex 2 onwards: Additional details provided by AE

- 4.8 If at any time during the Construction Period, the Authority Engineer determines that the Concessionaire has not made adequate arrangements for the safety of workers and Users in the zone of construction or that any work is being carried out in a manner that threatens the safety of the workers and the Users, it shall make a recommendation to the Authority forthwith, identifying the whole or part of the Construction Works that should be suspended for ensuring safety in respect thereof.
- 4.9 The Authority's Engineer shall conduct the pre-construction review of manufacturer's reports and standard samples of manufactured Materials, and such other Materials as the Authority's Engineer may require.
- 4.10 For determining that the Works conform to Specifications and Standards, the Authority's Engineer shall require the Contractor to carry out, or cause to be carried out, tests at such time and frequency and in such manner as specified in the Agreement and in accordance with Good Industry Practice for quality assurance. For purposes of this Paragraph 4.9, the tests specified in the IRC Special Publication-11 (Handbook of Quality Control for Construction of Roads and Runways) and the Specifications for Road and Bridge Works issued by MORT&H (the "Quality Control Manuals") or any modifications/substitution thereof shall be deemed to be tests conforming to Good Industry Practice for quality assurance.
- 4.11 The Authority's Engineer shall test check at least 20 (twenty) percent of the quantity or number of tests prescribed for each category or type of test for quality control by the Contractor.
- 4.12 The timing of tests referred to in Paragraph 4.9, and the criteria for acceptance/rejection of their results shall be determined by the Authority's Engineer in accordance with the Quality Control Manuals. The tests shall be undertaken on a random sample basis and shall be in addition to, and independent of, the tests that may be carried out by the Contractor for its own quality assurance in accordance with Good Industry Practice.
- 4.13 In the event that results of any tests conducted under Clause 11.10 establish any Defects or deficiencies in the Works, the Authority's Engineer shall require the Contractor to carry out remedial measures.
- 4.14 The Authority's Engineer may instruct the Contractor to execute any work which is urgently required for the safety of the Project Highway, whether because of an accident, unforeseeable event or otherwise; provided that in case of any work required on account of a Force Majeure Event, the provisions of Clause 21.6 of Model EPC Agreement shall apply.
- 4.15 In the event that the Contractor fails to achieve any of the Project Milestones, the Authority's Engineer shall undertake a review of the progress of construction and

- identify potential delays, if any. If the Authority's Engineer shall determine that completion of the Project Highway is not feasible within the time specified in the Agreement, it shall require the Contractor to indicate within 15 (fifteen) days the steps proposed to be taken to expedite progress, and the period within which the Project Completion Date shall be achieved. Upon receipt of a report from the Contractor, the Authority's Engineer shall review the same and send its comments to the Authority and the Contractor forthwith.
- 4.16 The Authority's Engineer shall obtain from the Contractor a copy of all the Contractor's quality control records and documents before the Completion Certificate is issued pursuant to Clause 12.4 of Model EPC Agreement.
- 4.17 Authority's Engineer may recommend to the Authority suspension of the whole or part of the Works if the work threatens the safety of the Users and pedestrians. After the Contractor has carried out remedial measure, the Authority's Engineer shall inspect such remedial measures forthwith and make a report to the Authority recommending whether or not the suspension hereunder may be revoked.
- 4.18 In the event that the Contractor carries out any remedial measures to secure the safety of suspended works and users, and requires the Authority's Engineer to inspect such works, the Authority's Engineer shall inspect the suspended works within 3 (three) days of receiving such notice, and make a report to the Authority forthwith, recommending whether or not such suspension may be revoked by the Authority.
- 4.19 The Authority's Engineer shall carry out, or cause to be carried out, all the Tests specified in Schedule-K and issue a Completion Certificate or Provisional Certificate as the case may be. For carrying out its functions under this Paragraph 4.18 and all matters incidental thereto, the Authority's Engineer shall act under and in accordance with the provisions of Article 12 and Schedule-K. The Consultant shall use following equipment in carrying out the tests.

S No	Key metrics of Asset	Equipment to be used
1	Surface defects of pavement	Network Survey Vehicle (NSV)
2	Roughness of pavement	Laser Profilometer
3	Strength of pavement	Falling Weight Reflectometer (FWD)
4	Bridges	Mobile Bridge Inspection Unit (MBIU)
5	Road signs and road markings	Retro-reflectometer

5 Maintenance Period

5.1 The Authority's Engineer shall aid and advise the Contractor in the preparation of its monthly Maintenance Programme and for this purpose carry out a joint monthly inspection with Contractor.

5.2 The Authority's Engineer shall undertake regular inspections, at least once every month to evaluate compliance with the Maintenance Requirements and submit a Maintenance Inspection Report to the Authority and the Contractor.

5.3 Visual Inspection of project highway

5.3.1 The Authority Engineer shall carry out visual inspection of entire highway stretch as per the frequency defined in the following table

Nature of defect or deficiency		Frequency of inspection
ROADS		
(a)	Carriageway and paved shoulders	
(i)	Breach or blockade	Daily
(ii)	Pot holes	Daily
(iii)	Cracking	Weekly
(iv)	Rutting	Weekly
(v)	Bleeding/skidding	Weekly
(vi)	Ravelling/Stripping of bitumen surface	Weekly
(vii)	Damage to pavement edges	Weekly
(viii)	Removal of debris	Daily
(b)	Hard/earth shoulders, side slopes, drains and culverts	
(i)	Variation by more than 2% in the prescribed slope of camber/cross fall	Weekly
(ii)	Edge drop at shoulders	Weekly
(iii)	Variation by more than 15% in the prescribed side (embankment) slopes	Weekly
(iv)	Rain cuts/gullies in slope	Weekly
(v)	Damage to or silting of culverts and side drains during and immediately preceding the rainy season	Weekly
(vi)	Desilting of drains in urban/semi-urban areas	Daily
(c)	Road side furniture including road signs and pavement marking	
(i)	Damage to shape or position; poor visibility or loss of retro-reflectivity	Daily
(d)	Street lighting and telecom (ATMS)	
(i)	Any major failure of the system	Daily
(ii)	Faults and minor failures	Daily
(iii)	Streetlight with Lux Meter	Weekly
(e)	Trees and plantation	
(i)	Obstruction in a minimum head-room of 5 m above carriageway or obstruction in visibility of road signs	Daily
(ii)	Deterioration in health of trees and bushes	Weekly
(iii)	Replacement of trees and bushes	Weekly
(iv)	Removal of vegetation affecting sight line and road structures	Weekly
(f)	Rest areas/Wayside amenities	
(i)	Cleaning of toilets	Daily
(ii)	Defects in electrical, water and sanitary installations	Daily
(g)	Toll plaza[s]	
(i)	Failure of toll collection equipment including ETC or lighting	Daily
(ii)	Damage to toll plaza	Weekly
(h)	Other Project Facilities and Approach roads	
(i)	Damage or deterioration in Approach Roads, -[pedestrian facilities, truck lay-bys, bus-bays, bus- shelters, cattle crossings, Traffic Aid Posts, Medical Aid Posts and other works]	Daily
(j)	Incident Management	
(i)	Instances of Incident Management as reported including time of call, response time, services rendered and time of clearing of the Highway.	Daily
(ii)	List of the Incident Management Services rendered.	Weekly
BRIDGES		
(a)	Superstructure of bridges	
(i)	Cracks	Weekly
(ii)	Spalling/scaling	Weekly
(b)	Foundations of bridges	

Nature of defect or deficiency		Frequency of inspection
(i)	Scouring and/or cavitation	Weekly
(c)	Piers, abutments, return walls and wing walls of bridges	
(i)	Cracks and damages including settlement and tilting	Weekly
(d)	Bearings (metallic) of bridges	
(i)	Deformation	Weekly
(e)	Joints in bridges	
(i)	Loosening and malfunctioning of joints	Weekly
(f)	Other items relating to bridges	
(i)	Deforming of pads in elastomeric bearings	Weekly
(ii)	Gathering of dirt in bearings and joints; or clogging of spouts, weep holes and vent-holes	Weekly
(iii)	Damage or deterioration in parapets and handrails	Weekly
(iv)	Rain-cuts or erosion of banks of the side slopes of approaches	Weekly
(v)	Damage to wearing coat	Weekly
(vi)	Damage or deterioration in approach slabs, pitching, apron, toes, floor or guide bunds	Weekly
(vii)	Growth of vegetation affecting the structure or obstructing the waterway	Weekly

5.3.2 All elements which have daily inspection frequency shall be inspected weekly as well. Similarly, all elements which have weekly inspection frequency shall be inspected monthly as well.

5.3.3 Daily inspection report format and weekly inspection report format has been provided in Annexure II and III of this document respectively. Manpower which needs to conduct visual inspection and mode of reporting is defined in the following table

Frequency of inspection	Inspection to be carried out by	Mode of reporting
Daily	Sub-professional staff	Soft copy by Email
Weekly	Key personnel	Soft copy by Email
Monthly	Key personnel	Hard copy and Soft copy

5.3.4 High resolution photographs and video of the highway stretches having defects and/or deficiencies shall be submitted along with Weekly Inspection Report and Monthly Status Report. Summary of key observations around defects and deficiencies in highway stretch shall be reported in Monthly Progress Report and detailed inspection report shall be provided as Annexure to Monthly Progress Report.

5.3.5 The consultant shall also be responsible for inspection and monitoring of Wayside Amenities. ETC (Electronic Toll Collection) and ATMS (Advanced Traffic Management System) and incident management.

5.4 Road conditions surveys

5.4.1 The carrying out of condition surveys will be one of the most important and crucial field tasks under the project. The Authority Engineer shall carry out condition surveys using equipment and following a frequency as defined under.

S No	Key metrics of Asset	Equipment to be used	Frequency of condition survey
------	----------------------	----------------------	-------------------------------

1	Surface defects of pavement	Network Survey Vehicle (NSV)	At least twice a year (As per survey months defined for the state basis rainy season)
2	Roughness of pavement	Laser Profilometer	At least twice a year (As per survey months defined for the state basis rainy season)
3	Strength of pavement	Falling Weight Reflectometer (FWD)	At least once a year
4	Bridges	Mobile Bridge Inspection Unit (MBIU)	At least twice a year (As per survey months defined for the state basis rainy season)
5	Road signs	Retro-reflectometer	At least twice a year (As per survey months defined for the state basis rainy season)

The first equipment based inspection shall be conducted at the time of completion testing. The other inspections shall be conducted before and after the rainy seasons as per the schedule defined in Annexure IV, except for FWD testing which shall be conducted once a year.

Calibration of equipment, wherever needed, is required to be done in presence of Competent Authority. Once approval of equipment, the settings and a sample data set is provided by Competent Authority, network level data for entire project stretch can be collected. Month of survey for each state has been defined in Annexure IV of this document.

5.4.2 Measurement of pavement surface defects and roughness

- i. The Authority Engineer shall use Network Survey Vehicles mounted with equipment such as Laser based automatic crack detection , high resolution digital cameras for RoW and pavement, high accuracy DGPS receiver and in vehicle data processing software or better technology to accurately measure following pavement surface properties

Surface defect	Dimensions to be reported
Cracking	<ul style="list-style-type: none"> • Length • Width • Depth
Potholes	<ul style="list-style-type: none"> • Area • Depth
Raveling	<ul style="list-style-type: none"> • Indicator • %

Surface defect	Dimensions to be reported
	<ul style="list-style-type: none"> Area
Rutting	<ul style="list-style-type: none"> Depth Width
Concrete Joint/ Faulting	<ul style="list-style-type: none"> Length
Roughness	<ul style="list-style-type: none"> IRI in both wheel paths

ii. The following criteria shall be met by the process of defects detection

- Measurement of 3D road profile using such technologies as laser scanning or other proven technologies.
- Ability to operate (collect data) at different speeds with a minimum speed of 40km/h.
- Profile depth accuracy of 0.5mm
- Capability for lane tracking to control driver wander' and ensure high repeatability of data between surveys.
- Measure at least 3.5m width of highway lane.
- Transverse Profile including rut depth measurement of pavement surface widths of both carriageway and shoulders. The rut depth data must be convertible to different straightedge lengths (1.8m to 3.5m) and meet industry standards (ASTM E1703 / E1703M).
- Pavement images with capability to automatically identify and rate distresses
- Roughness measurement with outputs of both raw longitudinal profiles and International Roughness Index (IRI) calculation shall be reported at least 100m referenced to the preceding Location Reference Post (LRP). The roughness must meet ASTM-E950 (equivalent to Class I road profiler). The IRI shall be determined in both wheelpaths.
- Ability to record images at user-defined intervals (e.g. every 5, 10m, etc.)
- Minimum images resolution of 1600x1200
- Outputs must include Standard JPEG image or similar industry standard
- Distance resolution of <1mm,

- Capable of achieving distance accuracy of 0.1% (i.e. within 1m over 1km distance)
 - All data outputs should be in a non-proprietary format (e.g. .CSV, .MDB, Excel) and not require specialist software in order to view or format data
 - Data should also be capable of being easily formatted into data compatible with HDM-4
- iii. The following are the set of deliverables which should be submitted after completion of survey as part of Monthly Progress Report
- Raw data generated from the equipment which are part of Network Survey covering the parameters mentioned in above table. It should also include
 - Survey ID, Description, Date, Lane
 - GPS referenced data for GIS mapping
 - Video logging
 - Pavement imagery (AVI/JPEG)
 - 360 degree imagery (JPEG)
 - Interpretation report covering summary of entire survey and analysis of defects and deficiencies

5.4.3 Measurement of pavement strength

- i. The Authority Engineer shall carry out structural strength surveys for existing pavements using Falling Weight Deflectometer technique in accordance with the procedure given in IRC:115-2014 (Guidelines for Structural Evaluation and Strengthening of Flexible Road Pavements Using Falling Weight Deflectometer (FWD) Technique) and IRC: 117-2015 (Guidelines for the Structural Evaluation of Rigid Pavement by Falling Weight Deflectometer)
- ii. The interval at which deflection measurements are to be taken up are as per IRC:115-2014 / IRC:117-2015. For flexible pavements, the sample size and the interval of the data to be collected depends on the length of the uniform section calculated and condition of the pavement section i.e. 'good', 'fair' and 'poor' for each lane, established on the pavement condition data based on the criterion given in IRC:115-2014. For rigid pavements, the deflection data may be collected at interiors, corners, transverse joints and longitudinal joints in the outer lanes at intervals as specified in IRC:117-2015.
- iii. The following are the set of deliverables which should be submitted after completion of inspection test as part of Monthly Progress Report
- Data report covering following parameters
 - Deflection Bowl (Transient Deflections at seven different points)
 - Corrected Elastic Modulus Bituminous E1

- Corrected Elastic Modulus Granular E2
- Corrected Elastic Modulus Subgrade E3
- Subgrade CBR
- Interpretation report covering summary of entire survey results and analysis of key parameters

5.4.4 The Authority Engineer shall carry out the condition and structural assessment survey of the bridges in accordance with IRC-SP; 35 with the use of Mobile Bridge Inspection unit (MBIU) or better technology.

- i. The following criteria shall be met by the process of bridge condition assessment
 - Automatic folding and unfolding of platform
 - 90 degree rotation of platform
 - Sufficient safety features to be incorporated such as dedicated power supply, emergency cut off system, etc
 - Complete access to hidden parts of the bridge by the raters
- ii. Detailed bridge inspection report shall be submitted as per the Inspection Proforma provided in IRC-SP 35

5.4.5 Measurement of retroreflection of road signs

- i. The Authority Engineer shall measure Coefficient of retroreflected luminance R_A (nighttime retroreflection) of road traffic signs using a portable retroreflectometer.
- ii. The following criteria shall be met by the process of roadsigns retroreflection measurement
 - Measurement of retroreflective signs shall be conducted in accordance with ASTM E1709 and ASTM E2540
 - Measurement time after pressing trigger shall be less than or equal to 1 sec
 - Observation angle adjustment from 0.2 degrees to 2.0 degrees
 - Entrance angle adjustment from -45 degrees to +45 degrees
 - Self-contained commercially available battery
 - Inbuilt data storage of at least 2,000 measurements so that data transfer requirement is minimized while the survey is being conducted
 - Interface for transferring data from device to Computer
 - Built in GPS to capture GPS coordinates of road sign
 - Range shall be at least 0-2000 cd/lx/m²
- iii. The following are the set of deliverables which should be submitted after completion of survey as part of Monthly Progress Report
 - System generated coefficient of retroreflected luminance R_A (nighttime retroreflection) of all road signs

- Interpretation report covering analysis of road signs falling in different range of R_A and actions to be taken

5.5 The Authority Engineer shall carry out following inspections of ETC lanes at toll plazas on a month basis:

(a) Infrastructure:

- *Availability of civil infrastructure at toll plazas required for installation of ETC systems*
- *Adequacy of hardware, software and other related items as per IHMCL/NHAI technical specifications and requirements*

b) Operations:

- *Adherence of various stakeholders (acquirer bank, system integrator, toll operator, issuer bank etc) of the ETC system to the service level agreements*
- *Efficacy of the ETC system (RFID tagging, AVC, WIM etc) in terms of accuracy and uptime*
- *Tracking and reporting toll plaza experience metrics such as average waiting time, transaction times for different modes of payment (RFID, cash, smart cards, QR codes etc) and congestion levels (eg length of queue in different lanes) across 4 different times in a day*
- *Robustness of dispute resolution mechanisms in place for the tag holder and toll operators by the issuer and acquirer banks*

5.6 The Authority Engineer shall prepare a Monthly Status Report in O&M phase of project in respect of its duties and functions under this Agreement and in accordance with the format prescribed in Annexure VI. 1st deliverable of the report which is an executive summary to the main report (Section 1) shall be submitted to the Authority and updated on the PMIS and project specific website by 4th of every month. Main report (Section 2 onwards) shall be submitted to the Authority and updated on the PMIS and project specific website by 7th of every month. Key sections of the Monthly Status Report are as follows.

S.No	Sections	Sub sections
1	Executive Summary	1.1 Overall road condition
		1.2 Key reporting metrics
		1.3 Key maintenance activities undertaken
		1.4 Pending issues
		1.5 Recommended actions by AE
		1.6 Strip plan for maintenance
2	Project Overview	2.1 Key project details
		2.2 Location map
		2.3 Key plan
		2.4 Summary of project features
		2.5 RoW availability
3	Critical issues and action taken	3.1 Issue and action log
		3.2 Summary of deficiencies
		3.3 Obligations as per contract
		3.4 Inspection schedule
4	Monthly Inspection Report	4.1 Summary of NCR issued
		4.2 Equipment based inspection report
5	Monitoring of ETC Lanes	5.1 Monthly ETC Report
		5.2 On-ground infrastructure report

S.No	Sections	Sub sections
		5.3 On ground ETC operations & SLA adherence
6	Status of damages	6.1 Damages for non completion of project facilities
		6.2 Damages for breach of maintenance activities
		6.3 Damages for non completion of major maintenance works
7	Change of Scope proposals	7.1 Change of Scope proposals
8	Status of pending disputes	8.1 Status of pending disputes
9	Reports	9.1 Toll collection statement
		9.2 Accident Report
		9.3 Details of user complaints
		9.4 Encroachment list
		9.5 Lane closure report
10	Annexures	Annex I- Detailed visual inspection report of project highway
		Annex II onwards- Additional details provided by AE

5.7 The Authority's Engineer shall specify the tests, if any, that the Contractor shall carry out, or cause to be carried out, for the purpose of determining that the Project Highway is in conformity with the Maintenance Requirements. It shall monitor and review the results of such tests and remedial measures, if any, taken by the Contractor in this behalf.

5.8 In respect of any defect or deficiency referred to in Paragraph 3 of Schedule-E, the Authority's Engineer shall, in conformity with Good Industry Practice, specify the permissible limit of deviation or deterioration with reference to the Specifications and Standards and shall also specify the time limit for repair or rectification of any deviation or deterioration beyond the permissible limit.

5.9 The Authority's Engineer shall examine the request of the Contractor for closure of any lane (s) of the Project Highway for undertakings maintenance/repair thereof, and shall grant permission with such modifications, as it may deem necessary, within 5 (five) days of receiving a request from the Contractor. Upon expiry of the permitted period of closure, the Authority's Engineer shall monitor the reopening of such lane (s), and in case of delay, determine the Damages payable by the Contractor to the Authority under Clause 14.5 of Model EPC Agreement

6 Determination of costs and time

6.1 The Authority's Engineer shall determine the costs, and/or their reasonableness, that are required to be determined by it under the Agreement.

6.2 The Authority's Engineer shall determine the period of Time Extension that is required to be determined by it under the Agreement.

6.3 The Authority's Engineer shall consult each Party in every case of determination in accordance with the provisions of Clause 18.5 of Model EPC Agreement.

7 Payments

7.1 The Authority's Engineer shall withhold payments for the affected works for which the Contractor fails to revise and resubmit the Drawings to the

Authority's Engineer in accordance with the provision of Clause 10.2.4 (d) of Model EPC Agreement.

7.2 Authority's Engineer shall

- a) within 10 (ten) days of receipt of the Stage Payment Statement from the Contractor pursuant to Clause 19.4, determine the amount due to the Contractor and recommend the release of 90 (ninety) percent of the amount so determined as part payment, pending issue of the Interim Payment Certificate; and
- b) within 15 (fifteen) days of the receipt of the Stage Payment Statement referred to in Clause 19.4, deliver to the Authority and the Contractor an Interim Payment Certificate certifying the amount due and payable to the Contractor, after adjustments in accordance with the provisions of Clause 19.10 of Model EPC Agreement.

7.3 The Authority's Engineer shall, within 15 (fifteen) days of receipt of the Monthly Maintenance Statement from the Contractor pursuant to Clause 19.6 of Model EPC Agreement, verify the Contractor's monthly statement and certify the amount to be paid to the Contractor in accordance with the provisions of the Agreement.

7.4 The Authority's Engineer shall certify final payment with 30 (thirty) days of the receipt of the final payment statement of Maintenance in accordance with the provisions of Clause 19.16 of Model EPC Agreement.

8 Other duties and functions

The Authority's Engineer shall perform all other duties and functions as specified in the Agreement.

9 Miscellaneous

- 9.1 All key personnel and sub professional staff of the Authority Engineer shall use the fingerprint based (biometric) attendance system for marking their daily attendance. Attendance shall be marked at least once a day and anytime during the day. 1 Biometric Attendance System shall be installed by the Authority Engineer at its own cost at the site office in order to facilitate the attendance marking. More systems can be installed near the project highway upto a maximum of 1 system per 50 km in order to encourage frequent visits of project highway by key personnel and sub professional staff. A copy of monthly attendance records shall be attached with Monthly Status Report. Proper justification shall be provided for cases of absence of key personnel/ sub professional staff which do not have prior approval from Project Director of concerned stretch
- 9.2 A copy of all communications, comments, instructions, Drawings or Documents sent by the Authority's Engineer to the Contractor pursuant to this TOR, and a copy of all the test results with comments of the Authority's Engineer thereon, shall be furnished by the Authority's Engineer to the Authority forthwith.
- 9.3 The Authority's Engineer shall retain at least one copy each of all Drawings and Documents received by it, including 'as - built' Drawings and keep them in its safe custody.
- 9.4 Within 90 (ninety) days of the Project Completion Date, the Authority's Engineer shall obtain a complete set of as built Drawings in 2 (two) hard copies and in micro film form or in such other medium as may be acceptable to the Authority, reflecting the Project Highway as actually designed, engineered and constructed, including an as-built survey illustrating the layout of the Project Highway and setback lines, if any, of the buildings

and structures forming part of project Facilities; and shall hand them over to the Authority against receipt thereof.

- 9.5 The Authority's Engineer, if called upon by the Authority or the Contractor or both, shall mediate and assist the Parties in arriving at an amicable settlement of any Dispute between the Parties.
- 9.6 The Authority's Engineer shall inform the Authority and the Contractor of any event of Contractor's Default within one week of its occurrence.

10. PERFORMANCE CLAUSE

Authority's Engineers shall be expected to fully comply with all the provisions of the 'Terms of Reference', and shall be fully responsible for supervising the Designs, Construction and maintenance and operation of the facility takes place in accordance with the provisions of the EPC Agreement and other schedules. Any failure of the Authority Engineer in notifying to Employer and the Contractor on non-compliance of the provisions of the EPC Contract Agreement and other schedules by the EPC Contractor, non-adherence to the provision of ToR and non-adherence to the time schedule prescribed under ToR shall amount to non-performance.

The Authority Engineer shall appoint its authorized representative, who shall issue on behalf of the AE, the Provisional Completion Certification and Completion Certificate along with the Team Leader and shall carry out any such task as may be decided by Employer. The AE shall take prior approval of Employer before issuing Provisional Completion Certification and Completion Certificate. The proposal submitted shall also include the name of the authorized representative along with the authorization letter and power of attorney.

11. CONSULTANT'S PROPOSAL

11.1 List of key personnel to be fielded by the Consultants shall be as below:

- i. Team Leader Cum Senior Highway Engineer
- ii. Resident cum Highway Engineer
- iii. Senior Quantity cum Material Expert
- iv. Bridge/Structural Engineer
- v. Senior Pavement Specialist
- vi. Road Safety Expert

11.2 Broad job-description and minimum qualification for key personnel mentioned above is enclosed as **Enclosure-B**. However, higher marks shall be accorded to the Candidate with higher relevant qualification and experience. All the CV's of the personals mentioned in Para 5.3 (iii) of Data Sheet shall be evaluated at the time of evaluation of technical proposal. **The age of the Key Personnel should not be more than 65 years on the date of submission of proposal.** Consultants are advised in their own interest to frame the technical proposal in an objective manner as far as possible so that these could be properly assessed in respect of points to be given as part of evaluation criteria. The bio-data of the key personnel should be signed on every sheet by the personnel concerned and the last sheet of each bio-data should also be signed by the authorised signatory for the Consultant. The key personnel shall also certify at the end of their bio-data proforma that they have not left any of the Employer works

without completing of their assignment and have not accepted any other offer at the time of signing of the bio-data and as such shall be available to work with the Authority Engineer, if the Project is awarded. In case the key personnel leaves the assignment without approval of Employer, Employer would be at liberty to take any appropriate action against that key personnel including debarment. The CV submitted by selected firm/JV shall be hoisted on official website of Employer.

- 11.3 In addition to above, consultants are required to propose other key personnel, sub- professional staff and other field engineers as detailed in **Enclosure-A** and the minimum qualification requirements for the same is enclosed in **Enclosure-B**.

12. PERIOD OF SERVICES

- 12.1 The services of an Authority's Engineer will be in phases as per Contract Agreement.

- 12.1.1 The appointment of the Authority's Engineer shall initially be as per details given below.

Period of service (in months)	Construction period (in months)	Maintenance/DLP Period (in months)
Construction Period + 48 Months	24	48

The proposed manpower deployment for this period shall be matching the activities to be performed during the said period. The time frame for services during the deployment of key personnel during this period shall be as shown in **Enclosure A**.

13. PERFORMANCE SECURITY

The successful consulting firm shall have to submit a Bank Guarantee (BG) for an amount of 2.50% of the Contract Value within 15 days of issue of LOA. The BG shall be valid for a period upto 2 months beyond the expiry of the Contract period. The BG shall be in the format specified in Appendix H of draft contract form and furnished from a Nationalised Bank, IDBI or ICICI/ICICI Bank/Foreign Bank/EXIM Bank / Any Scheduled Commercial Bank approved by RBI having a networth of not less than 500 crore as per latest Annual Report of the Bank. In the case of a Foreign Bank (issued by a Branch in India) the networth in respect of Indian operations shall only be taken into account. In case of Foreign Bank, the BG issued by Foreign Bank should be counter guaranteed by any Nationalised Bank in India. In case of JV, the BG shall be furnished on behalf of the JV and not individually by the members.

Alternatively, a single Bank Guarantee to cover for the performance of all projects under NHAI may also be deposited as tabulated below, at the discretion of the consultant, instead of depositing separately again and again. The Consultant may initially provide the Performance Security for a period of two years provided that it shall procure the extension of the validity of the Performance Security at least one month prior to the date of expiry thereof. Once the appropriate single Bank Guarantee for Performance Security has been

submitted by the Consultant, the existing BGs shall be returned. The Bank Guarantee be submitted in prescribed Performa.

Performance Security	
Remaining cumulative Value of Consultancy Fee as per Contracts under a Agency (NHAI) (Cr)	BG Value (in Rs. Crores.)
0-20	0.5
20-40	1
40-100	2.50
100-200	4.0
Beyond 200	5.0

MAN MONTHS INPUT FOR KEY PROFESSIONAL STAFF

AUTHORITY ENGINEER (For project length 0 - 100 km)

S. No.	Key Personnel	Man-month in Construction period of 24 months	Man-month in Defect Liability Period of 48 months
<u>A: Key Personnel</u>			
1.	Team Leader Cum Senior Highway Engineer	24	12
2.	Resident cum Highway Engineer	24	48
3.	Bridge/Structural Engineer	24	12
4.	Senior Pavement Specialist	24	0
5.	Senior Quality cum Material Expert	24	0
6.	Road Safety Expert	8	12
	Sub Total	128	84
	<i>Total for Construction and O&M</i>	212	
<u>B: Sub Professional Staff</u>			
(a)	Survey Engineer	24	8
(b)	Assistant Highway Engineer (2 nos)	2 x 24	1 X 48
(c)	CAD Expert	8	4
(d)	Environmental Engineer	6	0
(e)	Assistant Bridge Engineer	24	0
(f)	Assistant Quality cum Material Engineer (2 nos)	2 x 24	0
(g)	Electrical Engineer	4	3
(h)	HTMS/Toll Expert	2	0
(i)	Quantity Surveyor	12	6
(j)	Horticulture cum Landscaping Expert	6	24
	Sub Total	182	93
	<i>Total for Construction and O&M</i>	275	

The Financial Expert or Legal expert may be required for the project for specific needs. Their deployment shall be arranged by the consultant on specific requisition from the Authority and the payment shall be made as per the actual deployment. The Financial Expert or Legal expert shall be paid at the rates quoted for Senior Pavement Specialist.

QUALIFICATION OF KEY PERSONNEL**TEAM LEADER CUM SENIOR HIGHWAY ENGINEER**

This is the senior most position and the expert engaged as the team leader shall be responsible for reviewing the entire Project preparation and implementation activities of the Concessionaire. He shall check all the Designs being prepared by the Concessionaire, ensure execution of works on site as per specification and standards, and continuously interact with the NHAI and the Concessionaire. He shall undertake Project site visits and shall guide, supervise, coordinate and monitor the work of other experts in his team as well as those of the Concessionaire. The candidate is required to be a Senior Highway Engineer, who should have a proven record of supervising, organising and managing of construction of highway projects and also of Project preparation of large magnitudes projects, as defined below, financed by international lending agencies and others. Knowledge of Project management shall be an added advantage.

He should have the following qualification / experience.

1. Essential Qualifications.

- a) Graduate in Civil Engineering from recognized university.
- b) Total Professional Experience of 12 years in handling Highway Projects.
- c) At least 5 years experience as Team Leader/Project Manager or similar capacity in Highway Development Project.
- d) He should have handled as Team Leader/Project Manager or similar capacity of at least two projects in Construction Supervision / IC (of length 40% of project length or more of similar configuration (2/4/6 laning**))
- e) He should have handled as Team Leader or similar capacity of at least two Projects of project Preparation of major highway Project (of length 40% of project length or more of similar configuration (2/4/6 laning**)).
- f) Experience as Team Leader/Project Manager or similar capacity in Operation and Maintenance of Major Highway Projects(of length 40% of project length or more of similar configuration (2/4/6 laning**)).

2. Preferential Qualifications.

- a) Post Graduate Degree in Construction Management/Transportation/Highway Engineering//Structural Engineering/ any specialised stream of Civil Engineering .
- b) Highway Development Projects (of length 40% of project length or more of similar configuration (2/4/6 laning**)) taken up under EPC.
- c) Degree/Diploma/Certificate in Project Management

Note: (1) Similar Capacity includes the following positions

- i. On behalf of Consultant : Team Leader / Resident Engineer (Construction Supervision/IE/AE).

ii. On behalf of Contractor : Project Manager (Construction/ Construction Supervision)

iii. In Government Organizations : Superintending Engineer (or equivalent) and above

(2) Only those projects will be considered for evaluation at Sl. No. 1(d), 1(e) & 1(f) above, where the input of the personnel is 12 months, 9 months and 12 months respectively.

RESIDENT CUM HIGHWAY ENGINEER

The Resident cum Highway Engineer shall be responsible for supervising the works of highway to be constructed by the Concessionaire for this project. He shall also inspect the pavement rehabilitation and repair works to be undertaken by the Concessionaire. He should have the following qualification / experience.

1. Essential Qualifications.

- a) Graduate in Civil Engineering from a recognized University.
- b) Professional Experience of 10 years in supervision of Highway Projects.
- c) 5 years experience in similar capacity in Highway Development Project.
- d) Should have handled at least 2 major projects(of length 40% of project length or more of similar configuration (2/4/6 laning**) and above).

2. Preferential Qualifications.

- a) Post Graduate Degree in Transportation/Highway Engineering/Structural Engineering/Geotechnical Engineering/any specialised stream of Civil Engineering.
- b) Experience of Highway Project (of length 40% of project length or more of similar configuration (2/4/6 laning**) and above) of Construction / Construction Supervision / IC of Highway projects

BRIDGE & STRUCTURAL ENGINEER

The Bridge & Structural Engineer shall be responsible for checking the designs of bridges, ROBs, interchanges and any other structure to be constructed in the Project highway and supervising the works of bridges, interchanges and any other structure to be constructed by the Concessionaire for this project. He shall also inspect the bridge rehabilitation and repair works to be undertaken by the Concessionaire. He should have thorough understanding and experience with international 'best practices' of modern bridge construction technology.

He should have the following qualification / experience.

1. Essential Qualifications.

- a) Graduate in Civil Engineering from a recognized University.
- b) Professional Experience of 10 years in handling Highway/Bridge projects.
- c) 5 years experience in Construction / Construction Supervision of bridge / interchange / any other structures.
- d) Experience in similar capacity in supervision of 2 Major Highway Bridges.

- e) Experience in supervision of Rehabilitation and repair of 2 nos Major Bridges.

2. Preferential Qualifications.

- a) Post Graduate Degree in Structural Engineering.
- b) He should have thorough understanding and experience of modern bridge construction technology . viz., Precast Segmental, Balanced Cantilever Construction, Extradosed Bridge, Full Span Launching, Incremental Launching.

SENIOR PAVEMENT SPECIALIST

The expert shall be continuously interacting with the Concessionaire, for the review of highway designs and drawings using sophisticated computer software and also construction and O&M works and to ensure life cycle cost effectiveness and viable design of pavement including appropriate rehabilitation / strengthening of the existing two lane pavement which is significantly distressed. He shall also be responsible for ensuring complete adherence to maintenance standards during Construction and Operation period. Thus, the position requires a pavement specialist with thorough knowledge and understanding of international 'best practices' in the field of Design, Construction and maintenance of flexible/rigid type of pavements including latest Codal stipulations and specifications.

He should have the following qualification / experience.

1. Essential Qualifications.

- a) Graduate in Civil Engineering from a recognized University.
- b) Professional Experience of 10 years in Pavement Design / Pavement Construction / Pavement Maintenance of Highways / Roads / Airfield Runways
- c) Experience of 5 years in similar capacity in Construction / Construction Supervision of 2/4/6 laning** of major highway projects.
- d) Experience as Pavement / Geotechnical Engineer in Construction/Construction Supervision of at least 2 major highway projects(of length 40% of project length or more of similar configuration (2/4/6 laning**) and above).

2. Preferential Qualifications.

- a) Post Graduate Degree in Highway Engineering / Pavement Engineering /Transportation
- b) Experience in similar capacity in Highway Development Project in innovative/non traditional technology and design
- c) Experience as a Pavement Specialist/Pavement Engineer in Highway project (of length 40% of project length or more of similar configuration (2/4/6 laning**) and above).

SENIOR QUALITY/MATERIAL EXPERT

The Quality/Material Expert shall review the test results of bore holes, quarry and borrow area material to find out their strength characteristics and suitability for using them in construction. He shall inspect the Concessionaire's field laboratories to ensure

that they are adequately equipped and capable of performing all the specified testing requirements of the contract. He shall look into the quality assurance aspect of the construction works and supervise the setting-up of the various Concessionaire's rock crushers and bituminous mixing plants to ensure that the specified requirements for such equipment are fully met. Experience in latest Quality Management techniques in highway projects shall have added advantage.

He should have the following qualification / experience.

1. Essential Qualifications.

- a) Graduate in Civil Engineering from a recognized University.
- b) Professional Experience of 10 years in handling Highway projects.
- c) Experience of at least 5 years as Senior Quality/ Material Expert or in similar capacity in Construction / Construction Supervision /major highway projects
- d) Experience as Senior Quality/ Material Expert or in similar capacity in handling of at least 2 similar highway projects.(of length 40% of project length or more of similar configuration (2/4/6 laning**) and above)

2. Preferential Qualifications.

- a) Post Graduate Degree in Geotechnical Engineering / Foundation Engineering / Soil Mechanics.
- b) Experience in similar capacity in Highway Development Project in innovative/non traditional technology

ROAD SAFETY EXPERT

Shall be responsible for the overall Road Safety Aspect of the Project. He shall ensure that safety provisions as per relevant codes are strictly followed at site during Construction of Road and also during the Maintenance Period

1. Essential Qualifications.

- a) Graduate in Civil Engineering from a recognized University.
- b) Professional Experience of 10 years in handling Highway projects.
- c) Minimum 5 years experience in Road Safety works of Major Highway Projects (4 / 6 laning projects)
- d) Experience in similar capacity in Road Safety Audit of at least 2 nos 2/4/6 lane** highway / expressway project including 1 nos at design stage
- e) Experience in similar capacity in the field of Road Safety Management Plan.

2. Preferential Qualifications

- a) Post Graduate Degree in Traffic Transportation/Safety Engineering.
- b) Experience in similar capacity in identification and improvement of black spots on Major Highway Project.
- c) Experience in similar capacity in Preparation of Road Safety Management Plan for inter urban Highways.

SUB PROFESSIONAL

SURVEY ENGINEER

The candidate should be Graduate in Civil Engineering Firm may field Survey Engineer with diploma in Civil Engineering/Survey having at least 5 years experience in the field of surveying out of which at least 3 years should be in highway projects and they should have also dealt with at least 1 project of similar nature. This position requires thorough understanding of modern computer based method of surveying with total station digital level etc.

ASSISTANT HIGHWAY ENGINEER

The Candidate should be Graduate in Civil Engineering.

CAD EXPERT

He should be Graduate in Civil Engineering/Computer Science having experience in computer related design method for highway engineering.

ENVIRONMENTAL ENGINEER

The Candidate should be Graduate in Civil Engineering/Environmental Engineering or other relevant qualification. He should have at least 6 years experience out of which 2 years in highway projects. He should have good knowledge of MOEF guidelines/requirements for mitigation measures.

ASSISTANT BRIDGE ENGINEER

The Candidate should be Graduate in Civil Engineering with 3 years experience. He should have handled at least 1 major bridge project.

ASSISTANT QUALITY CUM MATERIAL ENGINEER

The Candidate should be Graduate in Civil Engineering or diploma in Civil Engineering with 3 years experience.

ELECTRICAL ENGINEER

The Candidate should be Graduate in Electrical Engineering from recognised institute. He should have at least 6 years experience

HTMS/TOLLING SYSTEM EXPERT

The candidate should be a senior Systems Engineer having experience of at least 15 Years. He should be an expert in preparation of standards for projects of toll collection and HTMS. He should have bachelors degree in Civil Engineering/Electronics/Computer Science/other relevant areas. He should have experience of international latest practices in the field of HTMS and tolling. He should have work experience on at least 2 similar projects in similar capacity.

QUANTITY SURVEYOR

He should be Graduate or equivalent in Civil Engineering having Min. 5 years of professional experience in preparation of highway project estimates. He should have Min. 3 years experience in Preparation of Bill of Quantities/estimates for major highway projects costing Rs.100 Crore or above.

HORTICULTURE CUM LANDSCAPING SPECIALIST

He should be a Graduate in Agriculture with specialization in Horticulture/Arboriculture having minimum 5 year of field experience in Horticulture/Arboriculture and landscaping. He should have minimum 5 years field experience.

Note: Upto 2 sub-professional can be a fresh graduate (zero/ less than minimum required work experience) with first class in graduation stream. Eligibility requirement in terms of minimum years of experience shall not be applicable for this sub professional.

Annexure I- Daily Inspection Report in construction period

Component	Item Description	Description of inspection work carried out	Results of lab tests conducted (Test conducted, Pass/Fail)	Name of key personnel inspecting the work
1. Road works including culverts, and minor bridges	Embankment/ Sub Grade/ GSB/ WMM/ DBM/ BC			
2. Major Bridge works, Flyovers, ROB, RUB, VUP, PUP	Foundation/ Sub structure/ Super structure			
3. Approach to ROB/RUB/ Major Bridges/ Viaduct / RE wall	Foundation/ Sub structure/ Super structure			
4. Other Works				

Annexure II- Daily Inspection Report in O&M period

Nature of defect/ deficiency	Defect found (Yes/No)	If defect found, Chainage & side	Compliance of previous defect (Yes/No/NA)	AE Remarks
ROADS				
Carriageway and paved shoulders				
Breach or blockade				
Pot holes				
Removal of debris				

Nature of defect/ deficiency	Defect found (Yes/No)	If defect found, Chainage & side	Compliance of previous defect (Yes/No/NA)	AE Remarks
Hard/earth shoulders, side slopes, drains and culverts				
Desilting of drains in urban/semi-urban areas				
Road side furniture including road signs and pavement marking				
Damage to shape or position; poor visibility or loss of retro-reflectivity				
Street lighting and telecom (ATMS)				
Any major failure of the system				
Faults and minor failures				
Trees and Plantation				
Obstruction in a minimum head-room of 5 m above carriageway or obstruction in visibility of road signs				
Rest areas				
Cleaning of toilets				
Defects in electrical, water and sanitary installations				
Toll plaza[s]				
Failure of toll collection equipment including ETC or lighting				
Other Project Facilities and Approach roads				
Damage or deterioration in Approach Roads, - [pedestrian facilities, truck lay-bys, bus-bays, bus- shelters, cattle crossings, Traffic Aid Posts, Medical Aid Posts and other works]				

Annexure III- Weekly Inspection Report in O&M period

Nature of defect or deficiency		Defect found (Yes/No)	If defect found, Chainage & side	Compliance of previous defect (Yes/No/NA)	AE Remarks
ROADS					
(a)	Carriageway and paved shoulders				
(i)	Breach or blockade				
(ii)	Roughness value exceeding 2,500 mm in a stretch of 1 km (as measured by a standardised roughometer/bump integrator)				
(iii)	Pot holes				
(iv)	Cracking in more than 5% of road surface in a stretch of 1 km				
(v)	Rutting exceeding 10 mm in more than 2% of road surface in a stretch of 1 km (measured with 3 m straight edge)				
(vi)	Bleeding/skidding				
(vii)	Ravelling/Stripping of bitumen surface exceeding 10 sq m				
(viii)	Damage to pavement edges exceeding 10 cm				
(ix)	Removal of debris				
(b)	Hard/earth shoulders, side slopes, drains and culverts				
(i)	Variation by more than 2% in the prescribed slope of camber/cross fall				
(ii)	Edge drop at shoulders exceeding 40 mm				
(iii)	Variation by more than 15% in the prescribed side (embankment) slopes				
(iv)	Rain cuts/gullies in slope				

Nature of defect or deficiency		Defect found (Yes/No)	If defect found, Chainage & side	Compliance of previous defect (Yes/No/NA)	AE Remarks
(v)	Damage to or silting of culverts and side drains during and immediately preceding the rainy season				
(vi)	Desilting of drains in urban/semi-urban areas				
(c)	Road side furniture including road signs and pavement marking				
(i)	Damage to shape or position; poor visibility or loss of retro-reflectivity				
(d)	Street lighting and telecom (ATMS)				
(i)	Any major failure of the system				
(ii)	Faults and minor failures				
(e)	Trees and plantation				
(i)	Obstruction in a minimum head-room of 5 m above carriageway or obstruction in visibility of road signs				
(ii)	Deterioration in health of trees and bushes				
(iii)	Replacement of trees and bushes				
(iv)	Removal of vegetation affecting sight line and road structures				
(f)	Rest areas				
(i)	Cleaning of toilets				
(ii)	Defects in electrical, water and sanitary installations				
(g)	Toll plaza[s]				
(i)	Failure of toll collection equipment including ETC or lighting				
(ii)	Damage to toll plaza				

Nature of defect or deficiency		Defect found (Yes/No)	If defect found, Chainage & side	Compliance of previous defect (Yes/No/NA)	AE Remarks
(h)	Other Project Facilities and Approach roads				
(i)	Damage or deterioration in Approach Roads, - [pedestrian facilities, truck lay-bys, bus-bays, bus-shelters, cattle crossings, Traffic Aid Posts, Medical Aid Posts and other works]				
BRIDGES					
(a)	Superstructure of bridges				
(i)	Cracks				
(ii)	Spalling/scaling				
(b)	Foundations of bridges				
(i)	Scouring and/or cavitation				
(c)	Piers, abutments, return walls and wing walls of bridges				
(i)	Cracks and damages including settlement and tilting				
(d)	Bearings (metallic) of bridges				
(i)	Deformation				
(e)	Joints in bridges				
(i)	Loosening and malfunctioning of joints				
(f)	Other items relating to bridges				
(i)	Deforming of pads in elastomeric bearings				
(ii)	Gathering of dirt in bearings and joints; or clogging of spouts, weep holes and vent-holes				
(iii)	Damage or deterioration in parapets and handrails				
(iv)	Rain-cuts or erosion of banks of the side slopes of approaches				

Nature of defect or deficiency		Defect found (Yes/No)	If defect found, Chainage & side	Compliance of previous defect (Yes/No/NA)	AE Remarks
(v)	Damage to wearing coat				
(vi)	Damage or deterioration in approach slabs, pitching, apron, toes, floor or guide bunds				
(vii)	Growth of vegetation affecting the structure or obstructing the waterway				

Annexure IV- Month of survey for equipment based road condition assessment

Equipment based road inspection shall be done by the Consultant twice a year as per the month of the year defined in the following table.

However, since the first survey shall be conducted at the time of completion testing, the following modification to the schedule shall be adopted. For example, if majority of highway length (>50%) passes through a state, where defined survey months are May and November, if completion testing is conducted in April, then the first equipment based survey shall be conducted in the month of April. This shall be considered as the equipment based survey to be conducted in the month of May. The 2nd equipment based survey shall be conducted in the month of November, the 3rd survey shall be conducted in the month of May and so on. As regards FWD, the first test/survey shall be conducted at the time of completion in April. The 2nd test/survey shall be conducted in April of next year and so on.

Region	State	Survey before rains	Survey after rains
East	Bihar	May	Nov
East	Chhattisgarh	May	Nov
East	Jharkhand	May	Nov
East	Orissa	May	Nov
East	West Bengal	May	Nov
Central	Madhya Pradesh	May	Nov
NE	Arunachal Pradesh	Mar	Oct

Region	State	Survey before rains	Survey after rains
NE	Assam	Mar	Oct
NE	Manipur	Mar	Oct
NE	Meghalaya	Mar	Oct
NE	Mizoram	Mar	Oct
NE	Nagaland	Mar	Oct
NE	Sikkim	Mar	Oct
NE	Tripura	Mar	Oct
North	Chandigarh	May	Nov
North	Delhi	May	Nov
North	Haryana	May	Nov
North	Himachal	May	Nov
North	Jammu And Kashmir	May	Nov
North	Punjab	May	Nov
North	Uttar Pradesh	May	Nov
North	Uttaranchal	May	Nov
South	Andaman And Nicobar Islands	Apr	Nov
South	Andhra Pradesh	Apr	Nov
South	Karnataka	Apr	Nov
South	Kerala	Apr	Nov
South	Pondicherry	Jun	Jan
South	Tamil Nadu	Jun	Jan
West	Dadar Nagar Haveli	Apr	Oct
West	Daman And Diu	Apr	Oct
West	Goa	May	Nov
West	Gujarat	Apr	Oct
West	Maharashtra	May	Nov
West	Rajasthan	Apr	Oct

Annexure V- Monthly Progress Report in Construction Phase

[NAME & LOGO OF IMPLEMENTING AGENCY]

[PROJECT NAME]

Authority Engineer

[NAME OF CONSULTING FIRM]

MONTHLY PROGRESS REPORT NO. [XX]

FOR THE MONTH OF: [MONTH], [YEAR]

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1 Executive Summary

1.1 Construction progress in current month

Key reporting metrics	Value/ %/ Amount
Scheduled Physical Progress (%)	
Cumulative Physical Progress upto current month (%)	
Physical Progress during current month (%)	
Financial progress (%)	
Cumulative Expenditure till date (Rs Cr)	
Tests passed as % of total tests witnessed by IE	
Tests passed as % of total tests conducted by IE	
Number of pending COS proposals	
Amount for pending COS (Rs Cr)	

1.2 Current issues and recommended actions by IE / AE

1. **Slow Progress of Structures:** The progress of structures (especially, major bridges, flyovers and ROB's) is very slow. Overall progress is only XX%. Out of the total YY underpasses not even single underpass structure and its approaches is completed so far. No bridge is open to traffic so far.
 - a. *Recommendation: Concessionaire should improve the progress of structures. Request for revised workplan from Concessionaire including specific activities on structures.*
2. **Status of Change of Scope Proposals:** Concessionaire has submitted Xx No. Change of Scope proposals. Yy No. of them are still pending for decision. Concessionaire has not submitted necessary clarifications / details for the following Changes of Scope in spite of several reminders.
 - a. *Recommendation: Concessionaire to submit all pending clarifications to Authority. Authority and Concessionaire to expedite pending COS proposals.*

1.3 Strip Plan (Summary)

1. Workfront Unavailable & reason for Unavailability

	Length (km)	% Total Pending Length
Total Length	80	
Total Workfront Unavailable	7	12%
Pending Land Acq.	0	0%
Pending Clearances	7	12%
Encumbrances	0	0%

2. Length completed by layer (MCW)

	Length (km)	% Total Length
Total Length	80	
Total Length Completed (Till DBM)	27.5	33%
BC	27	32%
DBM	27.5	33%
WMM	29.5	35%
GSB	32.5	39%
Sub-Grade	35	42%
C&G	47.9	57%

3. Length completed by layer (Service Road)

	Length (km)	% Total Length
Total Length	35	
Total Length Completed (Till DBM)	0	0%
BC	0	0%
DBM	0	0%
WMM	0	0%
GSB	0	0%
Sub-Grade	0	0%
C&G	0	0%

Detailed report

2 Project Overview

2.1 Salient Features of Project

Project Name	
NH No. (New/ Old)	
Scheme/ Phase	
Mode of the Execution (BOT Toll/ BOT Annuity/ EPC/ HAM/ Item Rate/ Others)	
No. of Lanes/ Configuration	
Length of the Project (in Km)	
Total Project Cost (in Cr)	
No. of Bypasses (Name of Town, Length)	
No. of Major Bridges (Number and Location)	
No. of Toll Plazas (Number and Location)	
No. of Fly Overs (Number and Location)	
DPR Consultant Name	
Lead & Consortium Members of Banks	
Concessionaire Name (SPV & Parent Company)	
Date of Award (LOA Date)	
Appointed Date	
Concession Period	
Construction Period (in Days)	
O&M Period (in Days)	
Scheduled Date of Completion	
Authority Engineer	
IE / AE Agreement Date	
IE / AE Mobilization Date	

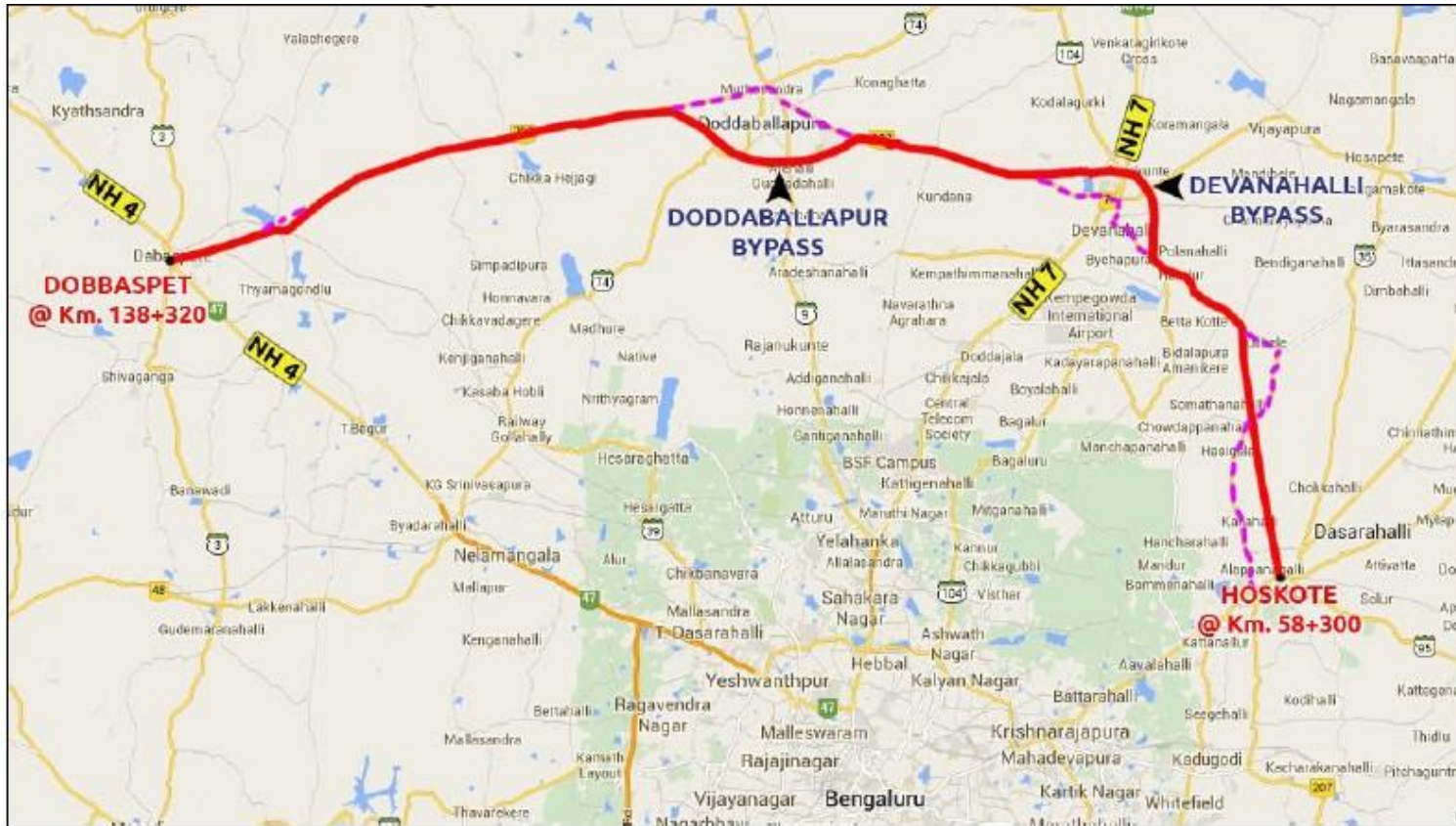
ALL FIGURES & GRAPHS IN TEMPLATES ARE ILLUSTRATIVE. PLEASE ADD ACTUAL DETAILS

2.2 Project Milestones

Project Milestone	Description (Days from Appointed Date)	Planned		Actual		Delay (No. of months)	Current Status
		Physical Progress (%)	Scheduled Date	Physical Progress (%)	Revised Date		
Milestone I	[Description]	25	[DD/MM/YYYY]	25	[DD/MM/YYYY]	5	Achieved
Milestone II	[Description]	65	[DD/MM/YYYY]		[DD/MM/YYYY]	22	Started, not achieved
Scheduled Completion	[Description]	100	[DD/MM/YYYY]		[DD/MM/YYYY]	21	Not started

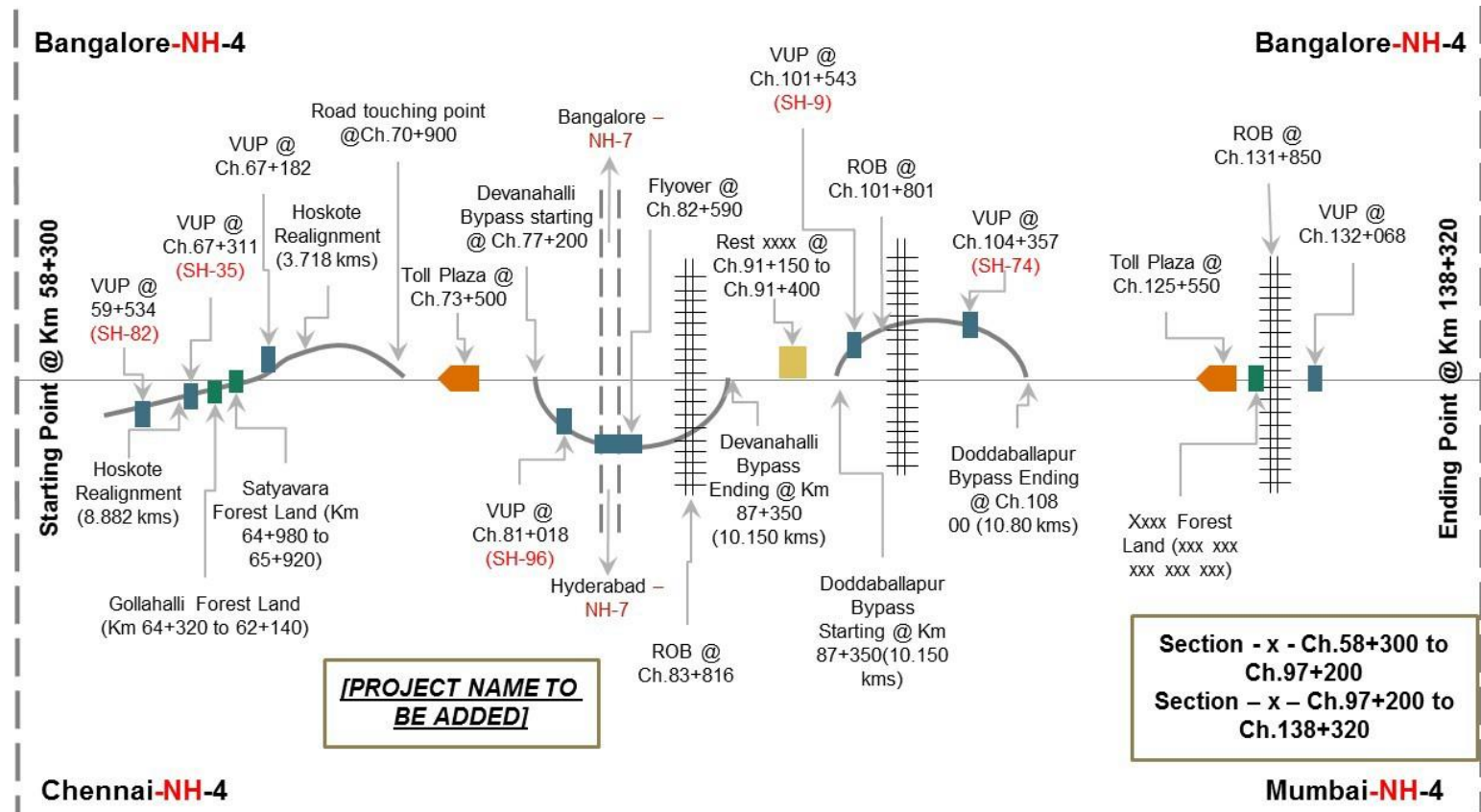
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2.3 Location Map



ALL FIGURES & GRAPHS IN TEMPLATES ARE ILLUSTRATIVE. PLEASE ADD ACTUAL DETAILS

2.4 Key Plan



[Above image is illustrative only. Please include chainage and name below the axis and list of features above the axis]

ALL FIGURES & GRAPHS IN TEMPLATES ARE ILLUSTRATIVE. PLEASE ADD ACTUAL DETAILS

3 Critical Issues & Action Log

3.1 Pending Issues & Action Log

S.No	Issue Description	Type	Ongoing/ New Issue/ Resolved	Concerned Authority	Chainage(s) affected due to the issue	Length affected (km)	Action(s) taken till now	Action(s) suggested by the AE	Expected date/ Actual Date for resolving issue
1	Diversion of Xx ha of forest Land	Clearance	Ongoing Issue	MoEF	[Chainage]	4 kms	1. Proposal submitted to MoEF Letter sent by RO to MoEF nodal officer on [DD/MM/YYYY]	Escalate to higher level officers at MoEF	[DD/MM/YYYY]
2	21 Cr compensation disbursement pending	Land Acquisition	Resolved	[CALA Name]	[Chainage]	2.4 km	1. Escalated to chief secretary level through DO from Chairman/ Secretary, dated [DD/MM/YYYY]		[DD/MM/YYYY]
3	Slow progress by concessionaire	Concessionaire Issue	New Issue	[Concess. Name]	[Chainage]	57 km	None	Project Director to call higher ups of concessionaire	[DD/MM/YYYY]

3.2 Obligations as per Contract

Expected Contents & Structure

Please write a summary of non-compliances of contractual obligations highlighting reasons for delay, stating pending actions and their potential risk to the project's progress and recommended actions by the IE.

- ***Critical obligations and constraints of concessionaire as per contract***
- ***Critical obligations of authority as per contract***
- ***Critical pending obligations of IE as per contract***

NOTE: Please include important issues requiring intervention of various parties, giving details and background wherever necessary

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ILLUSTRATIVE. PLEASE ADD ACTUAL DETAILS

4 Physical Progress

Component	% Weightage	Physical Progress(During Current Month)	Physical Progress (Cumulative, Upto Current Month)
Road Works	35.00%	5%	15.0%
Major Bridge works and ROB / RUB	40.00%	1.20%	26.2%
Structures	21.00%	0.00%	0.8%
Other Works	4.00%	0.00%	0.0%
Physical Progress		2%	42.0%

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4.1 Detailed Scope of Work & Physical Progress by Component

Component	Cost Weightage in Project (%)	Item Description	Cost Weightage in Component (%)	Planned in Scope (As per Scope of Work)	Progress till Date	%Physical Progress	Value of Physical Progress (7X4)
1	2	3	4	5	6	7	8
1. Road works including culverts, minor bridges, underpasses, overpasses, approaches to ROB/RUB/ Major Bridges/ Structures (but excluding service roads)	35.00%	1. C&G	5.00%	80 km	45 km	56%	2.8%
		2. Embankment	5.00%	80 km	40 km	50%	2.5%
		3. Sub Grade	5.00%	80 km	35 km	44%	2.2%
		4. GSB	5.00%	80 km	33 km	41%	2.1%
		5. WMM	5.00%	80 km	30 km	38%	1.9%
		6. DBM	5.00%	80 km	28 km	35%	1.8%
		7. BC	5.00%	80 km	27 km	34%	1.7%
2. Major Bridge works and ROB/RUB	40.00%	1. Major Bridges	10.00%	6 No	6 No	100%	10.0%
		2. Minor Bridges	5.00%	23 No	21 No	91%	4.6%
		3. Flyovers	5.00%	2 No	0	0%	0.0%
		4. ROB	5.00%	228 No	121 No	53%	2.7%
		5. VUP	5.00%	11 No	6 No	55%	2.7%
		6. PUP	5.00%	15 No	6 No	40%	2.0%
		7. RUB	5.00%	136 No	114 No	84%	4.2%
3. Structures (elevated sections, reinforced earth)	21.00%	1. Foundation	5.00%	35 km	2.2 km	6%	0.2%
		2. Sub-structure	5.00%	35 km	2.1 km	6%	0.2%
		3. Super-structure	5.00%	35 km	2.1 km	6%	0.2%
		4. Reinforced Earth Wall	6.00%	35 km	2.1 km	6%	0.2%
4. Other Works	4.00%	1. Toll Plaza	4.00%	4 No	0	0%	0.0%

GRAND TOTAL			100%				42.0%
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5 Land Acquisition and Clearance

5.1 LA Summary

Description	Total Required (ha)	Total in possession at start (ha)	Total to be acquired (ha)
Existing ROW	135.00	135.00	0.00
Pvt. Land To be Acquired	240.00	0.000	240.00
Public Land To be Transferred	52.00	50.35	1.65
Grand Total	427	185	242

ALL FIGURES & GRAPHS IN TEMPLATES ARE ILLUSTRATIVE. PLEASE ADD ACTUAL DETAILS

5.2 LA Detail by CALA

CALA	Total Land left to be Acquired (Ha)	3H Pending (ha)	3H Done (ha)	3G Pending (ha)	3G Done (ha)	3D Pending (ha)	3D Done (ha)	3A Pending (Ha)	3A Done (ha)	Amount Awarded (Crore Rs.)	Amount Deposited (Crore Rs.)	Amount Disbursed by CALA (Crore Rs.)	Pending Amount (Crore Rs.)
[CALA 1]	150	15	15	15	135	0	150	0	150	396	370	327	43
[CALA2]	67	0	0	0	67	0	67	0	67	132	132	120	23
[CALA 3]	23	23	23	23	0	14	9	0	23	0	0	0	0
Grand Total	240	38	202	38	202	14	226	0	240	528	502	447	66

5.3 LA Detail by Village for each CALA

CALA 1

Village	Total Land left to be Acquired (Ha)	3H Pending (ha)	3H Done (ha)	3G Pending (ha)	3G Done (ha)	3D Pending (ha)	3D Done (ha)	3A Pending (Ha)	3A Done (ha)	Amount Awarded (Crore Rs.)	Amount Deposited (Crore Rs.)	Amount Disbursed by CALA (Rs Cr)	Pending Amount (Rs Cr)
[Village 1]	150	15	15	15	135	0	150	0	150	396	370	327	43
[Village 2]	67	0	0	0	67	0	67	0	67	132	132	120	23
[Village 3]	23	23	23	23	0	14	9	0	23	0	0	0	0
Grand Total	240	38	202	38	202	14	226	0	240	528	502	447	66

ALL FIGURES & GRAPHS IN TEMPLATES ARE ILLUSTRATIVE. PLEASE ADD ACTUAL DETAILS

5.4 Manpower details of CALA

CALA	Provided by NHAI/ State Govt	Amins/ Surveyors	Patwaris/ Village accountant	Revenue Inspectors/ Kanungo	Tehsildars/ Dep. Tehsildars	Clerks/ Sahayaks	Accountants	Computer operators	Peons	Chainman	Total
[CALA 1]	NHAI	4	1	0	0	3	0	3	1	0	12
[CALA 1]	State Govt	2	0	2	0	0	0	1	0	0	5
[CALA 2]	NHAI										
[CALA 2]	State Govt										
Grand Total											

5.5 Clearances Summary

Environment				
Proposal Description	Status	Length Impacted	Current Stage	Issues/ Comments
Approval of construction in coastal zone	Obtained	Nil	Completed	
Forest Land				
Proposal Description	Status	Length Impacted	Current Stage	Issues/ Comments
Diversion of Xx ha ([Chainage])	Pending	[Length in km]	Completed	Stage 1 clearance pending

Diversion of Xx Ha. (<i>[Chainage]</i>)	Obtained	Nil	Ongoing	
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ALL FIGURES & GRAPHS IN TEMPLATES ARE ILLUSTRATIVE. PLEASE ADD ACTUAL DETAILS

Wildlife				
Proposal Description	Status	Length Impacted	Current Stage	Issues/ Comments
Diversion of Xx Ha. of forest land	Pending	<i>[Length in km]</i>	Ongoing	Queries sent to MoEF by RO, compliance pending
Tree Cutting				
Proposal Description	Status	Length Impacted	Current Stage	Issues/ Comments
N.A.	N.A.	N.A.	N.A.	N.A.
Railway (ROBs/RUBs)				
Proposal Description	Status	Length Impacted	Current Stage	Issues/ Comments
<i>[GAD Number]</i>	Proposal Submitted, Approval pending	<i>[Chainage]</i>	Uploaded on portal on <i>[DD/MM/YYYY]</i>	N.A.

5.6 Status of utilities shifting

Utility Category	Name	Status	Length affected	Department	Date of request by Authority for estimate	Date when Estimate was Received from concerned dept.	Date of Approval by Authority RO/ HQ	Date of Deposit of supervision charge	Progress of Physical Shifting	Date of Certification from Agency for Completion	Estimate Amount	Issue/ Comments
Water		Estimate Approved	[Length in km]	[DEPARTMENT]	[DD/MM/YYYY]	[DD/MM/YYYY]	[DD/MM/YYYY]	[DD/MM/YYYY]	Not started	TBD		
Electricity		Estimate Approved	[Length in km]	[DEPARTMENT]	[DD/MM/YYYY]	[DD/MM/YYYY]	[DD/MM/YYYY]	[DD/MM/YYYY]	Not started	TBD		
Others		Estimate Approved	[Length in km]	[DEPARTMENT]	[DD/MM/YYYY]	[DD/MM/YYYY]	[DD/MM/YYYY]	[DD/MM/YYYY]		TBD		

6 Change of Scope

S No.	Proposal Details	Date of first submission to AE	Current Status	COS Amount	Expected/ Actual Date of Approval
1	Construction of [Flyover Name] at [Chainage]	[DD/MM/YYYY]	Approved in principle by Authority. Detailed quantities in proper order yet to be submitted	[+/- Amount]	[DD/MM/YYYY]
2	Nallah diversion through box culvert at [Chainage]	[DD/MM/YYYY]	Clarifications to be submitted by Concessionaire , expected date [DD/MM/YYYY]	[+/- Amount]	[DD/MM/YYYY]

7 Mobilization of Resources

S No.	Equipment Name	Make	Model	Age of Equipment	Planned/ Required Quantity	Actual Quantity	Deployed During the Month	Reason(s) for Under/Over Mobilization	Expected Delay due to Under-Mobilization	Remarks
	Excavator/Poclain					5				
	Paver					2				
	Transit Meter					0				
	Plate Compactors					0				
	[ADD OTHERS]									

8 Financial Progress Details (for PPP projects)

8.1 Pen Picture - Escrow

TPC (Cr)	Cumulative inflow to Escrow till previous month (Cr)	Cumulative outflow from Escrow till previous month(Cr)	Inflow to Escrow during the month (Cr)	Outflow from Escrow during the month(Cr)
1,206	1,033	900	30	35

Are the Escrow withdrawals in accordance with the order of withdrawal as specified in the Concession Agreement?

Tick as applicable

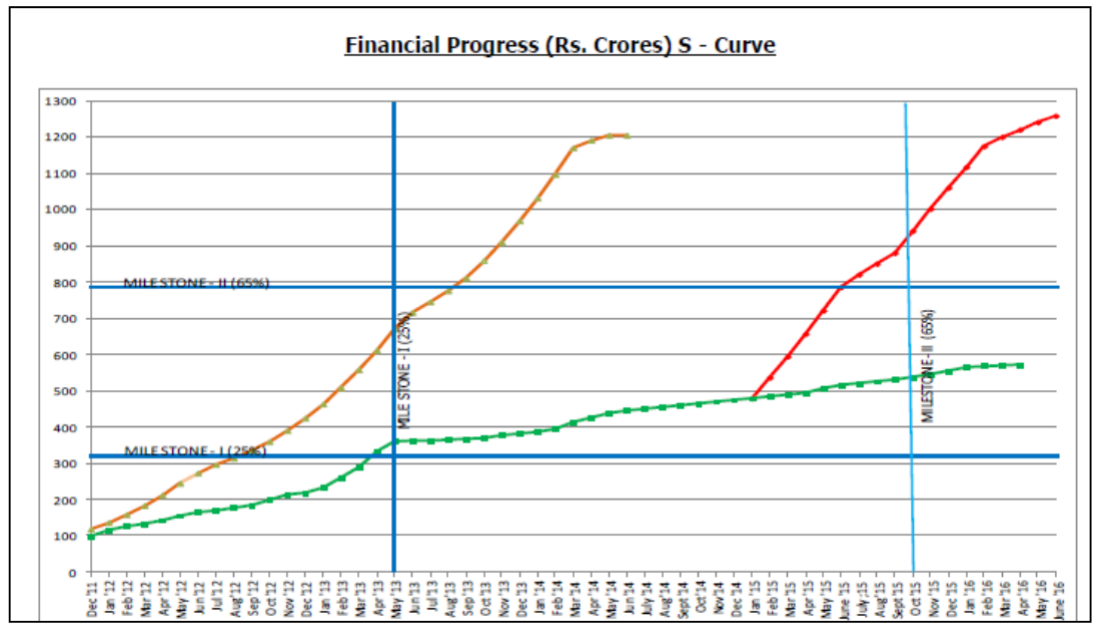
Yes	No
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If not, details to be provided below:

ALL FIGURES & GRAPHS IN TEMPLATES ARE ILLUSTRATIVE. PLEASE ADD ACTUAL DETAILS

8.2 Escrow details

TPC (Cr)	Cumulative exp. till date (Cr)	Escrow Plan till date- Debt (BOT)(Cr)	Escrow Plan till date- Equity (BOT) (Cr)	Escrow Plan till date- VGF (BOT) (Cr)	Escrow Actual till date- Debt (BOT) (Cr)	Escrow Actual till date- Equity (BOT) (Cr)	Escrow Actual till date- VGF (BOT) (Cr)
1,206	1,033	900	306	-	769	264	-



PLEASE EXCLUDE OUTSTANDING MOBILIZATION FEE/ ADVANCE IN S-CURVE

9 Summary of quality control tests

9.1 Tests witnessed by IE / AE

Description	Frequency of tests		Unit	Total test up to Previous Month			Total test in This Month			Cumulative No. of tests			Remarks
	No.s	Qty.		Conducted	Pass	Fail	Conducted	Pass	Fail	Conducted	Pass	Fail	
Sub grade													
Procter test	1	1500	m3	0	0	0	0	0	0	0	0	0	

Description	Frequency of tests		Unit	Total test up to Previous Month			Total test in This Month			Cumulative No. of tests			Remarks
	No.s	Qty.		Conducted	Pass	Fail	Conducted	Pass	Fail	Conducted	Pass	Fail	
Atterberg Limits	1	1500	m3	0	0	0	0	0	0	0	0	0	
Free Swell Index	1	1500	m3	0	0	0	0	0	0	0	0	0	
Grain Size Analysis	1	1500	m3	0	0	0	0	0	0	0	0	0	
C.B.R	1	3000	m3	0	0	0	0	0	0	0	0	0	
Granular Sub Base													
Gradation	1	200	m3	151	151	0	5	5	0	156	156	0	
Atterberg Limits	1	200	m3	151	136	15	5	5	0	151	136	15	
Procter test	1	1500	m3	0	0	0	0	0	0	0	0	0	
Wet Mix Macadam													
Filter material													
Concrete													
Cement													
Water													
Prime Coat													
Tack coat													

Description	Frequency of tests		Unit	Total test up to Previous Month			Total test in This Month			Cumulative No. of tests			Remarks
	No.s	Qty.		Conducted	Pass	Fail	Conducted	Pass	Fail	Conducted	Pass	Fail	
Dense Bituminous Macadam													
Bituminous Concrete													
Bitumen test													
DLC													
Steel													

9.2 Tests conducted by IE / AE

<Quality inspection test results to be reported in a table similar to the table provided in previous section>

ALL FIGURES & GRAPHS IN TEMPLATES ARE ILLUSTRATIVE. PLEASE ADD ACTUAL DETAILS

10 Monitoring of maintenance obligations during construction phase

10.1 Critical issues and action log

SNo	Issue Description	Ongoing/New Issue	Concerned Authority	Chainage(s) affected due to the issue	Length affected (km)	Action(s) taken till now	Action(s) suggested by SC	Expected Date for resolving issue
1	Drying up of plants on median	Ongoing	Concessionaire	325+200 to 327+800	2.6	Irregular watering	Replacement of plants and daily watering	NA
2	Large potholes	New	Concessionaire	387+300 LHS	0.1	None	Filling of potholes	<DD/MM>

10.2 Summary of repair work

SNo	Description	Unit	Total	Work done upto previous month	Work done during reporting month	Balance	Remarks
1	Carriageway and paved shoulders						
(a)	Pot Holes	Sqm	42	-	-	42	
(b)	Roughness value exceeding 2,500mm	mm					
(c)	Cracking in more than 5% of road surface	Sqm	7179	-	-	7179	
(d)	Rutting exceeding 10mm in more than 2% of road surface	Sqm	5	-	-	5	
(e)	Bleeding/Skidding	Sqm					
(f)	Ravelling	Sqm					
(g)	Damage to pavement edges exceeding 10cm	m					
2	Hard/Earth Shoulders						

SNo	Description	Unit	Total	Work done upto previous month	Work done during reporting month	Balance	Remarks
(a)	Rain cuts/ gullies in slope	Cum	650	25	-	625	
(b)	Edge drop at shoulders exceeding 40 mm	m					
3	Drains and culverts						
(a)	Cleaning of Culvert	Nos	1	-	-	1	
(b)	Damage to or silting of culverts						
(c)	Silting of drains in urban/ semi urban areas						
4	Road furniture						
(a)	Sign Boards	Nos	456	-	-	456	
(b)	Kilometer Stones						
(c)	Metal Beam Crash Barrier						
(d)	Bus Shelters	Nos	72	4	-	68	
(e)	Junction signs	Nos	26	8	-	18	
(f)	Median Grills	Rmt	894	-	-	894	
(g)	Studs	Nos	5328	-	-	5328	
(h)	Delinators	Nos	8236	-	-	8236	
(i)	Road Marking	Sqm	1899	-	-	1899	
(j)	Kerb Painting	Sqm	4575	-	-	4575	
(k)	Guard Posts	Nos	3657	-	-	3657	
5	Street lighting and telecom (ATMS)						
(a)	Street lights	Nos					
(b)	Telecom	Nos					
6	Trees and plantation						
(a)	Removal of vegetation affecting sight line and road structures	Ha	77.42	-	3.57	73.85	
(b)	Replacement of trees and bushes	Nos	15072	-	-	15072	
7	Buildings and bridges						

SNo	Description	Unit	Total	Work done upto previous month	Work done during reporting month	Balance	Remarks
(a)	Rest areas						
(b)	Toll plazas						
(c)	Bridges						

10.3 Status of damages

SNo	Period	Amount of damages (Rs)
1	Upto June 2016	
2	Jul 2016	
3	Aug 2016	
4	Sep 2016	
	Total	

11 Safety features

11.1 Pen picture of safety features

Details to be provided after assessment of the site requirement vis-à-vis provisions in the Concession Agreement:

Location of Black Spots	Suggested Remedial Measures within provisions of Concession Agreement	Additional Remedial Measures (if any)	Financial implications of additional Remedial Measures for Authority(Cr.)

11.2 Accident Report

S No	Date	Chainage no	Time of accident	Sex (M/F)	A	B	C	D	E	F	G	H	I	J	K	No of affected persons			Help provided by
					Accident location	Nature of accident	Classification of accident	Causes	Load conditions of vehicle	Road condition	Intersection type of control	Weather condition	Age of victim	Type of victim	Type of vehicle	Fatal	Major	Minor	
					1	1/1/17	382/050 RHS	05:25 pm	M	2	2	3	4	1	1	-	1	3	

Mapping of report fields to responses

A	1. Urban 2. Rural
B	1. Overturned 2. Head On Collision 3. Hit from Back 4. Hit to Fix Object 5. Right turn Collision 6. Left turn Collision 7. Veered Out off The Road 8. Hit Pedestrian 9. Unknown/Hit & Ran Away
C	1. Fatal 2. Major injury 3. Minor injury
D	1. Drunken 2. Over Speeding 3. Vehicle out of Control 4. Driven on wrong side 5. Mechanical Problem 6. Drowsiness/Not Applicable 7. Fault of Driver
E	1. Normally Loaded 2. Overloaded/Handing 3. Empty 4. Unknown
F	1. Straight road 2. Slight Curve 3. Sharp Curve 4. Hump 5. Dip
G	1. T-Junction 2. Y-Junction 3. Four arm Junction 4. Staggered Junction 5. Junction with more than 6. Round about
H	1. Fine/Clear 2. Mist/Fog 3. Cloudy 4. Light Rain 5. Heavy Rain 6. Strong Wind 7. Dust Storm 8. Cold 9. Hot
I	1. 0-18 Years 2. 18-25 Years 3. 25-40 Years 4. 40-60 Years 5. 60-80 Years
J	1. Driver 2. Passenger 3. Pedestrian 4. Cyclist 5. Others
K	1. Two Wheeler 2. Auto Rickshaw 3. Car/Jeep 4. Bus 5. Light Truck 6. Heavy Truck 7. Tractor 8. Bicycle 9. Cycle Rickshaw 10. Hand Drawn Cart 11. Animal Drawn Cart

ALL FIGURES & GRAPHS IN TEMPLATES ARE ILLUSTRATIVE. PLEASE ADD ACTUAL DETAILS

12 Annexures

Annex 1. Detailed List of Physical Components as per Schedule G

Component	Physical Item
Road works including culverts, minor bridges, underpasses, overpasses, approaches to ROB/RUB/ Major Bridges/ Structures (but excluding service roads)	A- Widening and strengthening of existing road
	(1) Earthwork up to top of the sub-grade
	(2) Granular work (sub- base, base, shoulders)
	(a) GSB
	(b) WMM
	(3) Shoulders
	(4) Bituminous work
	(a) DBM
	(b) BC
	(5) Rigid Pavement
	Concrete work
	(6) Widening and repair of culverts
	(7) Widening and repair of minor bridges
	B- New realignment/bypass
	(1) Earthwork up to top of the sub-grade
	(2) Granular work (sub- base, base, shoulders)
	(a) GSB
	(b) WMM
	(3) Shoulders
	(4) Bituminous work
	(a) DBM
	(b) BC
	(5) Rigid Pavement
Concrete work	
C- New culverts, minor bridges, underpasses, overpasses on existing road, realignments, bypasses:	
(1) Culverts	

ALL FIGURES & GRAPHS IN TEMPLATES ARE ILLUSTRATIVE. PLEASE ADD ACTUAL DETAILS

Component	Physical Item
Road works including culverts, minor bridges, underpasses, overpasses, approaches to ROB/RUB/ Major Bridges/ Structures (but excluding service roads)	(2) Minor bridges
	(a) Foundation
	(b) Sub-structure
	(c) Super-structure (including crash barriers etc. complete)
	(3) Cattle/Pedestrian underpasses
	(a) Foundation
	(b) Sub-structure
	(c) Super-structure (including crash barriers etc. complete)
	(4) Pedestrian overpasses
	(a) Foundation
	(b) Sub-structure
	(c) Super-structure (including crash barriers etc. complete)
	(5) Grade separated structures
	(a) Underpasses
	(i) Foundation
	(ii) Sub-structure
	(iii) Super-structure (including crash barriers etc. complete)
	(b) Overpass
	(i) Foundation
	(ii) Sub-structure
	(iii) Super-structure (including crash barriers etc. complete)
	(c) Flyover
	(i) Foundation
(ii) Sub-structure	
(iii) Super-structure (including crash barriers etc. complete)	
(d) Foot over Bridge	
Major Bridge	A- Widening and repairs of Major Bridges

Component	Physical Item
works and ROB/RUB	(1) Foundation
	(a) Open Foundation
	(b) Pile Foundation/Well Foundation
	(2) Sub-structure
	(3) Super-structure (including crash barriers etc. complete)
	B- Widening and repair of
	(a) ROB
	(1) Foundation
	(2) Sub-structure
	(3) Super-structure (including crash barriers etc. complete)
	(b) RUB
	(1) Foundation
	(2) Sub-structure
	(3) Super-structure (including crash barriers etc. complete)
	C- New Major Bridges
	(1) Foundation
	(a) Open Foundation
	(b) Pile Foundation/Well Foundation
	(2) Sub-structure
	(3) Super-structure (including crash barriers etc. complete)
	D- New rail-road bridges
	(a) ROB
	(1) Foundation
	(2) Sub-structure
	(3) Super-structure (including crash barriers etc. complete)
	(b) RUB
	(1) Foundation
	(2) Sub-structure
	(3) Super-structure (including crash barriers etc. complete)

ALL FIGURES & GRAPHS IN TEMPLATES ARE ILLUSTRATIVE. PLEASE ADD ACTUAL DETAILS

Component	Physical Item
Structures (elevated sections, reinforced earth)	(1) Foundation
	(2) Sub-structure
	(3) Super-structure (including crash barriers etc. complete)
	(4) Reinforced Earth Wall (includes Approaches of ROB, Underpasses, Overpasses, Flyover etc.
Other Works	(i) Service roads/ Slip Roads
	(ii) Toll Plaza
	(iii) Road side drains
	(iv) Road signs, markings, km stones, safety devices,
	(a) Road signs, markings, km stones,
	(b) Concrete Crash Barrier/ W-Beam Crash Barrier in Road work
	(v) Project facilities
	(a) Bus bays
	(b) Truck lay-byes
	(c) Rest areas
	(vi) Repairs to bridges/structures
	(vii) Road side plantation
	(viii) Protection works
	(a) Boulder Pitching on slopes
	(b) Toe/Retaining wall
	(ix) Tunnel
	(a) Excavation
	(b) Construction of support system including rock bolting, lining etc.
	(c) On complete completion of tunnel
	(x) Miscellaneous

* The above list is illustrative and may require modification as per the actual scope of the work

Annex 2. Onwards

IE / AE should include comments, status update, data points and reports in following annexures which have not been included elsewhere in the main report. Such reports may include but not limited to:

- Review status of drawings/ design reports
- Review status of other documents
- Minutes of review meeting
- Detailed Inspection report of project highway
- Correspondence details
- Weather report
- Organizational chart of Concessionaire / Contractor and IE / AE
- List of lab equipment
- Details of user complaints
- Project photographs

Annexure VI- Monthly Status Report in O&M phase

[NAME & LOGO OF IMPLEMENTING AGENCY]

[PROJECT NAME (O&M)]

Authority Engineer

[NAME OF CONSULTING FIRM]

MONTHLY STATUS REPORT NO. [XX]

FOR THE MONTH OF: [MONTH], [YEAR]

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All figures and graphs in templates are illustrative. Please add actual details.

1 Executive Summary

1.1 Overall road condition

Road condition	LHS	RHS
Satisfactory road condition	40 km	20 km
Poor road condition	50 km	70 km
Total length of project	90 km	90 km

1.2 Key reporting metrics

Key metrics	Value/Amount
No of pending NCRs	35
Damages amount recommended on Concessionaire (Rs Cr)	Rs 5.9 Cr
No of pending Change of Scope proposals	2
No of pending disputes	2
Monthly toll collection (Rs Cr) <i>(If applicable)</i>	Rs 3.8 Cr
No of accidents	12
No of encroachments	47

1.3 Key maintenance activities undertaken

Asset	Maintenance activities undertaken
Pavement	<ul style="list-style-type: none"> Concessionaire has commenced the work for renewal and repair of pavement on the project highway w.e.f 14th September 2016
Shoulder	
Drainage	<ul style="list-style-type: none"> Cleaning of drainage in builtup areas n progress
Median	
Road furniture	<ul style="list-style-type: none"> Concessionaire has taken up repairs and maintenance of MBCB and electric poles, etc on issuance of NCPs from Authority Engineer
Bridges	
Buildings	<ul style="list-style-type: none"> As per provisions of CA and policy decided by MoRTH/NHAI, 2 ETC lanes at each toll plaza have been operationalised w.e.f. 25th September 2016. The connectivity of ETC lanes with Central Clearing

Asset	Maintenance activities undertaken
	House (CCH) has been achieved and presently ETC lanes are operationalized in Hybrid Mode due to less number of tags purchased by highway users
Horticulture	<ul style="list-style-type: none"> • Planting of new trees from Chainage 200+300 to 226+650 • Trimming of plants which were causing obstruction to highway users

1.4 Pending issues

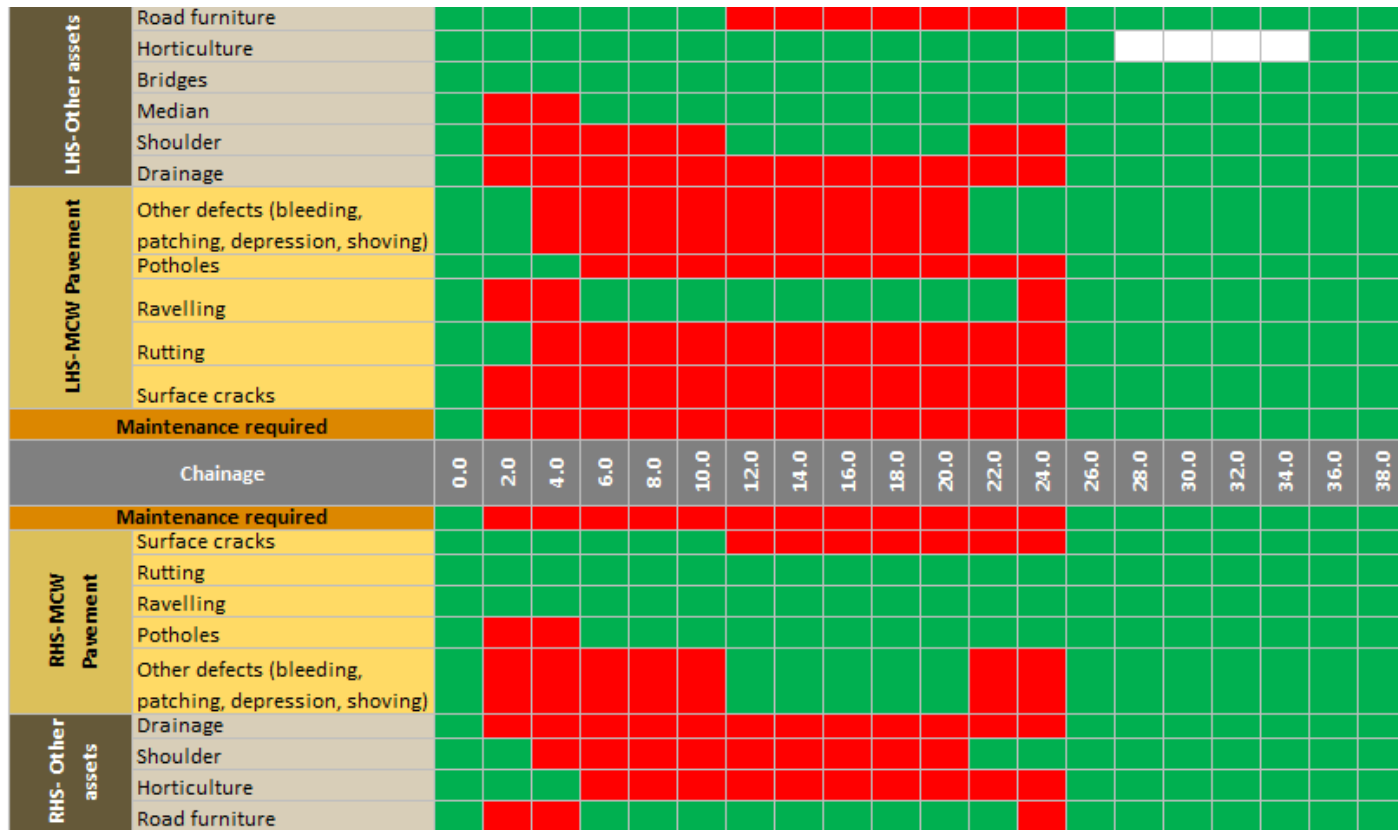
- Overlay on the entire stretch of project length of 252 km and service roads has not been taken up by Concessionaire yet since the date of completion of overlay is due in next 25 days
- Over all progress is very slow regarding repair of potholes and rutting on Main Carriageway which is causing inconvenience to highway users and is also a concern from road safety point of view.
- Street lighting in
 - **Anantapur bypass** completed on main carriageway and is energized but for service roads street lighting is pending on both sides
 - **Kurnool bypass** erection of poles only completed in main carriageway not yet energized till to date, but for service roads not started

1.5 Recommended actions by Authority Engineer

- In spite of the repeated requests, there is no material change in status of works pertaining to repairs/ rectifications of defects on the project highway. **AE** has recommended the damages of Rs 5.9 Cr on the Concessionaire on account of delay in repairs of defects in road and bridge works in terms of the provision of Clause 15.8.1 of the Concession Agreement. Concessionaire shall be liable for imposition of further damages on similar lines till the date of completion
- Concessionaire is requested to take at most care for completing the overlay before 31.03.2017 since the existing road condition is getting deteriorated day by day causing much inconvenience to the traffic

All figures and graphs in templates are illustrative. Please add actual details.

1.6 Strip Plan for maintenance



Satisfactory road condition (Maintenance not required)	Green
Poor road condition (Maintenance required)	Red

All figures and graphs in templates are illustrative. Please add actual details.

Main report

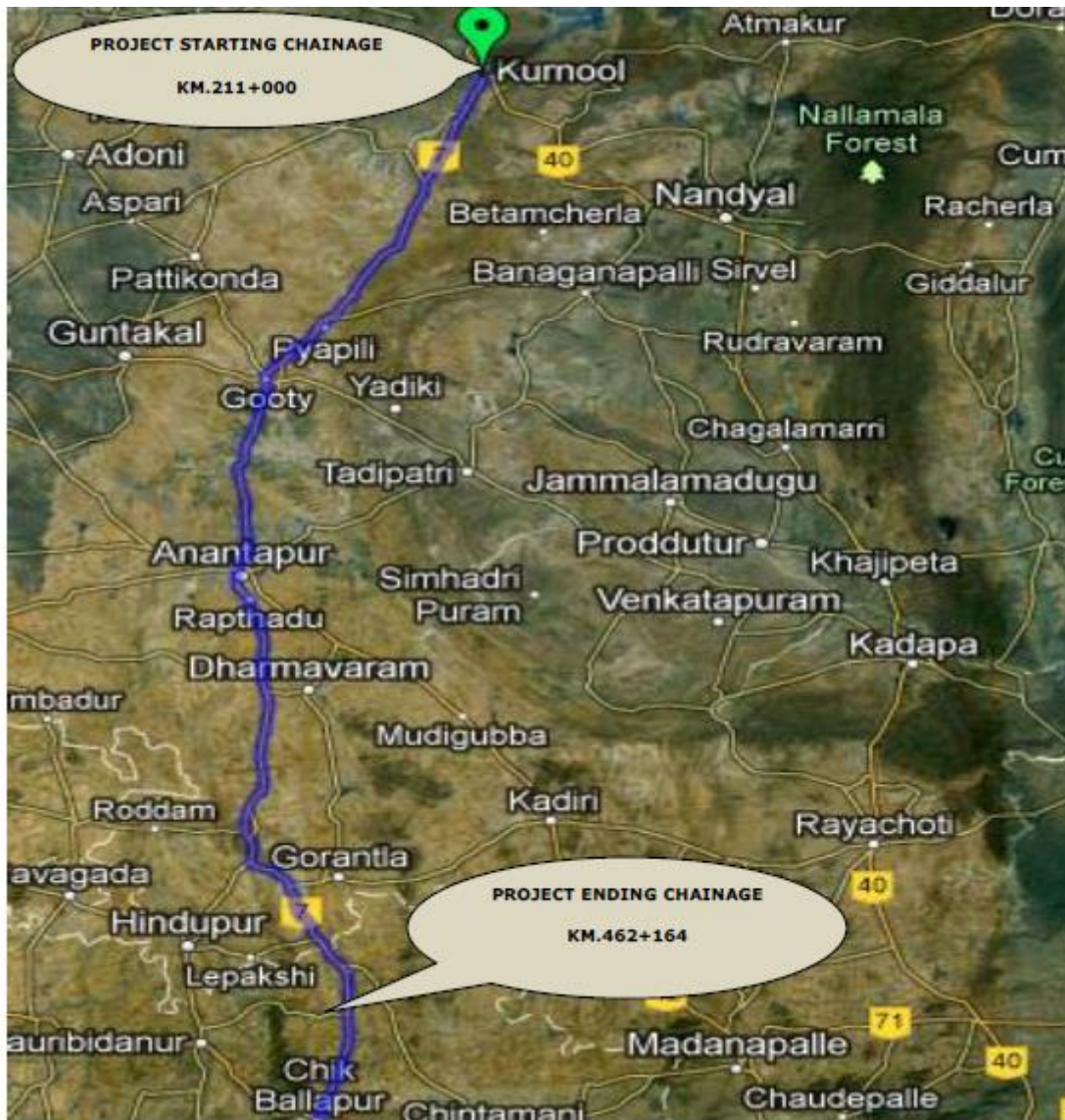
2 Project Overview

2.1 Key project details

Project Name	
NH no (New/Old)	
Mode of the Project	
No. of Lanes	
Length of the Project	
Total Project Cost	
Concessionaire/ Contractor	
Date of Award (LOA date)	
Appointed Date	
Commercial Operation Date (COD)	
Concession Period	
O&M Period	
Authority Engineer	
AE Agreement Date	
AE Mobilization Date	
AE Scheduled Completion Date	
AE EOT (Extension Of Time)	

All figures and graphs in templates are illustrative. Please add actual details.

2.2 Location Map



All figures and graphs in templates are illustrative. Please add actual details.

2.5 Summary of project features

SNo	Particulars	Value
1	No of flyovers	2
2	Service Road	45
3	ROBs	5
4	ROB location (chainage)	261.975, 299.606, 350.547, 396.135 & 420.302
5	RUBs	0
6	RUB location (chainage)	NA
7	No of Bypass	7
8	Length of Bypass	43
9	No of Major Bridges	7
10	No of Minor Bridges	67
11	No of Culvert	640
12	No of VUP	16
13	No of PUP/ Cattle Underpass	12
14	No of Major Intersection/ Junction	22
15	No of Toll Plaza	3
16	Location of Toll Plaza (chainage)	
17	No of Truck Laybye	30
18	No of Bus Bays	122
19	No of Wayside Amenities	0
20	Location of Wayside Amenities (chainage)	NA

All figures and graphs in templates are illustrative. Please add actual details.

3 Critical Issues and Action taken

3.1 Issue and action log

SNo	Issue Description	Ongoing/New Issue	Concerned Authority	Chainage(s) affected due to the issue	Length affected (km)	Action(s) taken till now	Action(s) suggested by SC	Expected Date for resolving
1	Drying up of plants on median	Ongoing	Concessionaire	325+200 to 327+800	2.6	Irregular watering	Replacement of plants and daily watering	NA
2	Large potholes	New	Concessionaire	387+300 LHS	0.1	None	Filling of potholes	<DD/MM M>

All figures and graphs in templates are illustrative. Please add actual details.

3.2 Summary of items (Cumulative Observations/ Deficiencies)

SNo	Description	Unit	Total	Work done upto previous month	Work done during reporting month	Balance	Remarks
1	Carriageway and paved shoulders						
(a)	Pot Holes	Sqm	42	-	-	42	
(b)	Roughness value exceeding 2,500mm	mm					
(c)	Cracking in more than 5% of road surface	Sqm	7179	-	-	7179	

SNo	Description	Unit	Total	Work done upto previous month	Work done during reporting month	Balance	Remarks
(d)	Rutting exceeding 10mm in more than 2% of road surface	Sqm	5	-	-	5	
(e)	Bleeding/Skidding	Sqm					
(f)	Ravelling	Sqm					
(g)	Damage to pavement edges exceeding 10cm	m					
2	Hard/Earth Shoulders						
(a)	Rain cuts/ gullies in slope	Cum	650	25	-	625	
(b)	Edge drop at shoulders exceeding 40 mm	m					
3	Drains and culverts						
(a)	Cleaning of Culvert	Nos	1	-	-	1	
(b)	Damage to or silting of culverts						
(c)	Silting of drains in urban/ semi urban areas						
4	Road furniture						
(a)	Sign Boards	Nos	456	-	-	456	
(b)	Kilometer Stones						
(c)	Metal Beam Crash Barrier						
(d)	Bus Shelters	Nos	72	4	-	68	
(e)	Junction signs	Nos	26	8	-	18	
(f)	Median Grills	Rmt	894	-	-	894	
(g)	Studs	Nos	5328	-	-	5328	

SNo	Description	Unit	Total	Work done upto previous month	Work done during reporting month	Balance	Remarks
(h)	Delinators	Nos	8236	-	-	8236	
(i)	Road Marking	Sqm	1899	-	-	1899	
(j)	Kerb Painting	Sqm	4575	-	-	4575	
(k)	Guard Posts	Nos	3657	-	-	3657	
5	Street lighting and telecom (ATMS)						
(a)	Street lights	Nos					
(b)	Telecom	Nos					
6	Trees and plantation						
(a)	Removal of vegetation affecting sight line and road structures	Ha	77.42	-	3.57	73.85	
(b)	Replacement of trees and bushes	Nos	15072	-	-	15072	
7	Buildings and bridges						
(a)	Rest areas						
(b)	Toll plazas						
(c)	Bridges						

3.3 Obligations as per contract

Please write a summary of contractual obligations of Concessionaire and non-compliances of critical obligations highlighting reasons for delay and stating pending actions

- **Critical obligations of Concessionaire as per contract**
- **Non compliances of critical contractual obligations**

3.4 Major maintenance and Inspection schedule

Item	Responsibility	Last completed on	Due date
Major maintenance	Concessionaire	[DD/MM/YY]	[DD/MM/YY]
Periodic overlay	Concessionaire	[DD/MM/YY]	[DD/MM/YY]
Pavement inspection using NSV	Authority Engineer	[DD/MM/YY]	[DD/MM/YY]
Roughness using Laser Profilometer	Authority Engineer	[DD/MM/YY]	[DD/MM/YY]
Pavement strength using FWD	Authority Engineer	[DD/MM/YY]	[DD/MM/YY]
Bridge inspection using MBIU	Authority Engineer	[DD/MM/YY]	[DD/MM/YY]
Road sign inspection using Reflectometer	Authority Engineer	[DD/MM/YY]	[DD/MM/YY]
Other			

All figures and graphs in templates are illustrative. Please add actual details.

4 Inspection Report

4.1 Summary of NCR issued

SNo	Highway asset	Total NCR issued till previous month (A)	NCR issued in reporting month (B)	NCR closed in reporting month (C)	Balance NCR (A+B-C)
1	Pavement	48	12	25	35
2	Shoulder	7	0	3	4
3	Drainage				
4	Median				
5	Road furniture				
6	Bridges				
7	Buildings				
8	Horticulture				
9	[Other assets]				
	Total				

4.2 Equipment based inspection report

Starting Chainage	Ending Chainage	Lane number	Pavement Surface Defects							Roughness	Pavement Strength		
			Cracks (cm)	Potholes (cm)	Raveling (cm)	Bleeding (cm)	Rutting depth (mm)	Texture depth (mm)	Skid Resistance		IRI (International Roughness Index)	Elastic Modulus	P. Modulus
0.000	0.500	L1	2X10	20X35	2.5X1.2	4X12	25	1.4		4.23	7110	34	14
0.500	1.000	L1	3X5	10X15	1.5X0.8	3X10	24	1.8		2.68	7430	36	15

1.000	1.500	L1												
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Report of equipment based inspection needs be provided as an Annexure to monthly report as per the defined frequency. Following documents/media to be submitted for equipment based inspection.

- Video footage of all cameras installed on Network Survey Vehicle- ROW cameras and pavement camera
- Network Survey Vehicle report capturing dimensions of following key metrics of pavement
 - Cracks
 - Potholes
 - Raveling
 - Bleeding
 - Rutting
 - Texture depth
 - Skid resistance
 - Roughness (IRI)
- Falling Weight Deflectometer (FWD) report capturing following key metrics of pavement strength
 - Deflection Bowl (Transient Deflections at seven different points)
 - Corrected Elastic Modulus Bituminous E1
 - Corrected Elastic Modulus Granular E2
 - Corrected Elastic Modulus Subgrade E3
 - Subgrade CBR
 - Bituminous layer coefficient A1
 - Base layer coefficient A2
 - Granular base layer coefficient A3
 - Modified structural number

- Mobile Bridge Inspection Report (MBIU) capturing following key metrics of bridges
 - Condition Approach
 - Condition Signs
 - Condition Debris
 - Condition Joint
 - Condition Deck
 - Condition Rails
 - Condition Protect
 - Condition Stream
 - Condition Superstructure
 - Condition Piers
 - Condition Abutment
- Retroreflector report capturing following key metrics of road furniture
 - Coefficient of retroreflected luminance R_A (nighttime retroreflection) of road traffic signs

All figures and graphs in templates are illustrative. Please add actual details.

5 Monitoring of ETC lanes

5.1 Monthly ETC Report

TYPE OF VEHICLE			For Corresponding month of previous year		For Previous Month		For Current Month		
			No of vehicles	Fee Collected	No of vehicles	Fee Collected	Fee per vehicle	No of vehicles	Fee Collected
A	Car	Total	3845	384500	4659	465,900.00	100	4289	428,900.00
B	LCV	Total	1521	243360	1312	209,920.00	160	1399	223,840.00
C	Bus	Total	2404	793386	2284	765,207.00	201	2349	786,915.00
D	Truck	Total	1603	528924	1523	510,138.00	134	1566	524,610.00
E	3 Axle	Total	18990	6836400	16310	5,953,150.00	365	15127	5,521,355.00
F	MAV	Total	16119	8381880	21111	11,188,830.00	530	18790	9,958,700.00
G	Over Size	Total	1	630	27	17,280.00	640	36	23,040.00
Total for the Month			49844	18,050,618	52963	19,898,834		49016	18,265,661

5.2 On-ground infrastructure report

Total number of plaza lanes =

Total number of dedicated ETC lanes =

Total number of hybrid lanes =

SNo	Description	Total units	Units working	Units damaged/missing	Equipment owner/provider	Equipment as per specifications (Y/N)	Remarks
1	Hardware						
(a)	Over-head transceiver	4	3	1	ABC Co.	Y	
(b)	Hand-held reader						
(c)	Lane controller						
(d)	AVC						
(e)	Camera						
(f)	Weigh-in-motion						
(g)	Static weigh bridge						
(h)	Any other items						
2	Softwares						
(a)	Software - Lane/ Plaza level						
(b)	TMS						
(c)	Any other items						

5.3 On-ground ETC operations and SLA adherence

Lane	Average queue length during peak time	Average queue length during non peak time	Average Transaction time (cash)	Average transaction time (RFID)	Average transaction time (cards)	Average transaction time (wallet)	Average transaction time (others)
Lane 1	10 vehicles	5 vehicles	15 seconds	10 seconds	20 seconds	25 seconds	UPI - 20 seconds
Lane 2							

Lane 3							
-----------	--	--	--	--	--	--	--

- Average system uptime = 80%
- Transactions uploaded (as per SLA) = 80%
- Blacklists uploaded (as per SLA) = 50%
- Blacklists downloaded (as per SLA) = 85%

6 Status of Damages for breach of maintenance activities

6.1 Damages for non completion of project facilities

SNo	Period	Amount of damages (Rs)
1	Upto June 2016	15,00,35,000
2	Jul 2016	2,00,88,000
3	Aug 2016	2,00,88,000
4	Sep 2016	1,94,40,000
	Total	20,96,51,000

Supporting Calculations for damages for Sep 2016

- No of days in Sep = 30
- Performance security is Rs 64,80,00,000
- As per CA Clause 12.3.2 damages payable is 0.1% of performance security per day = Rs 6,48,000
- Total damages payable = 30 X 6,48,000 = Rs 1,94,40,000

6.2 Damages for breach of maintenance activities

SNo	Period	Amount of damages (Rs)
1	Upto June 2016	1,00,35,000
2	Jul 2016	6,63,196
3	Aug 2016	6,63,196
4	Sep 2016	52,22,444
	Total	1,65,83,836

Supporting Calculations for damages for reporting month

S No	Nature of defect	Unit	Total Quantity	Rate	Cost o repair	Damages as per CA	Damages at higher side	Date of inspection	No of days as per CA	Damages from	No of days damages	Damages amount

6.3 Damages for non completion of major maintenance/ periodic overlay

SNo	Period	Amount of damages (Rs)
1	June 2016	6,48,000
2	Jul 2016	2,00,88,000
3	Aug 2016	2,00,88,000
4	Sep 2016	1,94,40,000
	Total	6,02,64,000

Supporting Calculations for damages for Sep 2016

- No of days in Sep = 30
- Performance security is Rs 64,80,00,000
- As per CA Clause 12.3.2 damages payable is 0.1% of performance security per day = Rs 6,48,000
- Total damages payable = 30 X 6,48,000 = Rs 1,94,40,000

All figures and graphs in templates are illustrative. Please add actual details.

7 Change of Scope proposals

SN o	Proposal Details	Date of first submission to AE	Current status	COS Amount	Expected/ Actual date of approval
1	Construction of [Flyover Name] at [Chainage]	[DD/MM/Y YYY]	Approved in principle by Authority. Detailed quantities in proper order yet to be submitted	[+/- Amount]	[DD/MM/Y YYY]
2	Nallah diversion through box culvert at [Chainage]	[DD/MM/Y YYY]	Clarifications to be submitted by Concessionaire, expected date [DD/MM/YYYY]	[+/- Amount]	[DD/MM/Y YYY]

8 Status of pending disputes

SN o	Dispute Details	Date of first submission to AE	Suggested resolution by AE	Dispute Amount (if applicable)	Current stage
1	Increased tollable length to be applicable in toll fee calculations	[DD/MM/YYYY Y]	No merit in increasing tollable length hence no action required by NHAI	NA	SAROD
2	Filling stations energized without obtaining NOC from ministry	[DD/MM/YYYY Y]	NHAI to consider the Concessionaire's request for intervention and assistance	[+/- Amount]	B/w Concessionaire and NHAI

All figures and graphs in templates are illustrative. Please add actual details.

9 Reports

9.1 Monthly Toll Collection Report (Applicable only if project highway is tolled)

TYPE OF VEHICLE			For Corresponding month of previous year		For Previous Month		For Current Month		
			No of vehicles	Fee Collected	No of vehicles	Fee Collected	Fee per vehicle	No of vehicles	Fee Collected
A	Car	Single	3845	384500	4659	465,900.00	100	4289	428,900.00
		Return	1506	218370	1972	295,800.00	150	1758	263,700.00
		Local	769	38450	979	48,950.00	50	1029	51,450.00
B	LCV	Single	1521	243360	1312	209,920.00	160	1399	223,840.00
		Return	134	31490	162	38,880.00	240	132	31,680.00
		Local	1683	134640	1838	147,040.00	80	1461	116,880.00
C	Bus	Single	2404	793386	2284	765,207.00	201	2349	786,915.00
		Return	359	177903	173	87,567.00	303	203	102,717.00
		Local	277	45738	205	34,782.00	102	318	54,060.00
D	Truck	Single	1603	528924	1523	510,138.00	134	1566	524,610.00
		Return	240	118602	116	58,378.00	202	136	68,478.00
		Local	185	30492	136	23,188.00	68	212	36,040.00
E	3 Axle	Single	18990	6836400	16310	5,953,150.00	365	15127	5,521,355.00
		Return	131	70740	34	18,700.00	550	37	20,350.00
		Local	69	12420	84	15,540.00	185	131	24,235.00
F	MAV	Single	16119	8381880	21111	11,188,830.00	530	18790	9,958,700.00

TYPE OF VEHICLE		For Corresponding month of previous year		For Previous Month		For Current Month		
		No of vehicles	Fee Collected	No of vehicles	Fee Collected	Fee per vehicle	No of vehicles	Fee Collected
	Return	2	1550	20	15,800.00	790	35	27,650.00
	Local	6	1560	18	4,770.00	265	8	2,120.00
G	Over Size	1	630	27	17,280.00	640	36	23,040.00
	Return		0	0	-	965		-
	Local		0	0	-	320		-
Total for the Month		49844	18,050,618	52963	19,898,834		49016	18,265,661

All figures and graphs in templates are illustrative. Please add actual details.

9.2 Accident Report

S No	Date	Chainage no	Time of accident	Sex (M/F)	A	B	C	D	E	F	G	H	I	J	K	No of affected persons			Help provided by
					Accident location	Nature of accident	Classification of	Causes	Load conditions of	Road condition	Intersection type of	Weather condition	Age of victim	Type of victim	Type of vehicle	Fatal	Major	Minor	
1	1/1/17	382/050 RHS	05:25 pm	M	2	2	3	4	1	1	-	1	3	1	3	-	1	1	Ambu